

1 **Cognitive bias in animal behavior science: A philosophical perspective**

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19 **Abstract**

20 Emotional states of animals influence their cognitive processes as well as their behavior.
21 Assessing emotional states is important for animal welfare science as well as for many fields
22 of neuroscience, behavior science, and biomedicine. This can be done in different ways, e.g.,
23 through assessing animals' physiological states or interpreting their behaviors. This paper
24 focuses on the so-called *cognitive judgment bias* test, which has gained special attention in the
25 last two decades and has become a highly important tool for measuring emotional states in
26 non-human animals. However, less attention has been given to the epistemology of the
27 cognitive judgment bias test and to disentangling the relevance of different steps in the
28 underlying cognitive mechanisms. This paper sheds some light on both the epistemology of
29 the methods and the architecture of the underlying cognitive abilities of the tested animals.
30 Based on this reconstruction, we propose a scheme for classifying and assessing different
31 cognitive abilities involved in cognitive judgment bias tests.

32
33 **Keywords:** ambiguous stimuli; cognitive bias; judgment bias; emotions; representation;
34 decision-making

36 **Statements and Declarations**

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47

48 **1 Introduction**

49 Assessing animals' emotional states has explanatory, predictive, and illustrative value for
50 animal welfare science, neuroscience, and psychopharmacology (Mendl et al. 2009), as well
51 as for the discourse concerning attributing rights to *sentient species*. However, this assessment
52 is particularly difficult in non-human animals because of the lack of verbal interaction. That is
53 why scientists in these fields are looking for various indicators of emotional states such as
54 behavioral and physiological changes that accompany such states in order to assess in which
55 emotional state an animal is, or whether or not animals of the considered species have them at
56 all (Kremer et al. 2020). For example, the state of fear may be accompanied by behavior like
57 freezing, fleeing, or even attacking, and by physiological changes such as increased heart rate,
58 increased blood pressure, and enhanced levels of circulating glucocorticoids (Mendl et al.
59 2009). In biomedical research, animal models for emotional disorders, such as anxiety and
60 depression, are often based on exposing animals to stressful conditions and then recording
61 behavioral indicators, e.g., immobility, exploration versus avoidance, self-grooming, and
62 vocalizations (see Bourin 2015; Kalueff et al. 2016; Simola and Granon 2019; Wang et al.
63 2017). Animal research in general uses emotional indicators mostly to detect negative
64 emotional states (Proctor et al. 2013) and the methods for assessing positive states are limited
65 (Paul et al. 2005). This limits the research of emotions in non-human animals, particularly
66 from the perspective of animal welfare because of the aspiration to induce positive states, in
67 addition to reducing pain and suffering, in animals (Boissy et al. 2007). Additionally, many
68 commonly used indicators suffer from certain epistemic problems (which are discussed in

69 detail in the next section). This motivates scientists to consider novel indicators that are
70 potentially more reliable and can also detect positive states (Kremer et al. 2020).

71 An increasingly used indicator of emotional states in non-human animals is *cognitive bias*
72 (Paul et al. 2005). This indicator has its background in psychological experiments on humans;
73 emotional states affect our memory, attention, and judgment (Mathews et al. 1995; Mineka
74 and Sutton, 1992). A paradigmatic example of such influences is that people in negative
75 emotional states, like anxiety, depression, or fear, tend to remember and focus on negative
76 events and interpret ambiguous situations negatively.

77 The potential utility of testing cognitive bias in welfare research was demonstrated in the
78 seminal study of Harding et al., who showed that rats housed in “unpredictable”/stressful
79 conditions (which cause depression-like symptoms) were inclined to respond more negatively
80 to ambiguous situations than rats housed in “predictable”/familiar conditions. Their judgment
81 was biased (Harding et al. 2004).¹ The authors suggested using behavioral responses in
82 ambiguous situations as an indicator of emotional states (Harding et al. 2004; Paul et al.
83 2005), which initiated numerous studies that demonstrated that cognitive judgment bias can
84 be found in a wide range of taxa, from pigs to bumblebees (reviewed in Lagisz et al. 2020;
85 Mendl et al. 2009; Neville et al. 2020). Since both pharmacological and environmental
86 manipulations of affective states alter judgment bias (reviewed in Lagisz et al. 2020; Neville
87 et al. 2020), cognitive judgment bias tests can be considered as a promising tool for assessing
88 emotional states of non-human animals.

89 In this paper we pursue two main goals. First, we want to examine the epistemic role of
90 judgment bias as an indicator of emotions. We start by pointing at known epistemic problems
91 with the more traditional indicators of emotional states (behavioral and physiological
92 changes) and point at some advantages as well as limits of using judgment bias as an indicator
93 of emotional states in animals. We aim at assessing the epistemic value of the judgment bias
94 test and demonstrate its empirical motivation.

95 Second, we scrutinize judgment bias as such. What kind of cognitive abilities are in play?
96 We are not engaging, however, in a conceptual analysis of the notion of judgment bias, but
97 rather looking at cognitive abilities underlying the judgment bias that is used as an indicator
98 of emotional states, and aiming at determining what kind of abilities these are. Animal
99 welfare science might not need to determine exactly what kind of ability is used as an
100 indicator as long as there are proper ways of tracking or individuating the emotional states.

¹ What exactly the housing conditions were and what it meant by responding “more negatively to ambiguous situations” will be clarified and discussed in section 2.

101 From other perspectives, however, this question is worth pursuing, because (1) for cognitive
102 science and philosophy of mind, the (exact) kind of cognitive abilities of non-human animals
103 is germane to understanding language acquisition and the evolution of higher cognitive
104 abilities. (2) Pinpointing underlying cognitive abilities in different species might clarify
105 minimal requirements for cognitive and emotion-like systems to produce such a phenomenon.
106 (3) Even from the perspective of animal welfare studies, there are disparities between
107 treatments of animals with higher and lower cognitive abilities. Therefore, it might be
108 important to determine which level of cognitive abilities is in play in cases of judgment bias.
109 This is important because evidence of judgment bias across the animal kingdom has fueled a
110 heated debate on attributing emotional states and consciousness to species that are usually not
111 considered being sentient (Mendl and Paul 2016) – a debate that has ramifications for
112 questions concerning animal welfare and animal rights.

113

114 **2 Epistemic limits of emotional indicators**

115 Most scientists seem to agree that at least some emotional states can be ascribed to (some)
116 non-human animals (Scarantino and Sousa 2021). However, in affective science there are not
117 only many different theories on what constitutes emotions, but also the terminology is
118 inconsistent, which can create misunderstandings when discussing emotions in non-human
119 animals (see e.g., Adolphs and Andler 2018; Barrett et al. 2007; Izard 2010; LeDoux 2012;
120 Paul and Mendl 2018). Therefore, before discussing the limitations of emotional indicators,
121 we need to clarify the terminology. In this paper, we use the term “emotional state” broadly,
122 referring to inner representational states without presupposing subjective or conscious
123 experience. Concerning the structure of emotional states, we try to generalize across both,
124 discrete approaches – considering basic emotions as discrete entities, underlaid by separate
125 neurological systems (see Ekman 1992) – and dimensional approaches – specifying emotions
126 by the position in multidimensional space, with two common dimensions being valance (i.e.,
127 pleasantness or unpleasantness of emotional state) and arousal (i.e., activity or energy level)
128 (see Russell and Barrett 1999).

129 Since emotions are not directly observable, assessing emotional states requires the use of
130 indicators. There are two major types of problems with emotional indicators like behavioral
131 and physiological changes. First, they are not unique to a specific emotional state. In other
132 words, two or more different emotional states could be accompanied by the same/similar
133 physiological and behavioral changes. This means that the indicators are not always indicators

134 of *uniquely one* emotional state (e.g., fear) or emotional dimension (e.g., unpleasant). This is
135 problematic in biomedical and animal welfare research when trying to assess whether an
136 animal is in a specific emotional state. For example, detection of an elevated level of
137 circulating glucocorticoids as compared to the baseline could indicate that the animal is in a
138 negative state (e.g., fear), but the same effect would be observed if the animal is aroused
139 positively and thus, in a positive state (e.g., reward anticipation). Without appropriate context,
140 the elevated glucocorticoid level thus turns out to be an indicator for emotional arousal in
141 general rather than indicating a negative state (Ralph and Tilbrook 2016). Play behavior, to
142 take another example, is generally considered a good indicator of positive emotional states,
143 but in some cases, increased playing activity was connected with a negative emotional state of
144 the tested animal as assessed by an independent method (Ahloy-Dallaire et al. 2018; Richter
145 et al. 2016). Consequently, even commonly used indicators can fail to indicate the emotional
146 state correctly when considered alone or taken out of biological context. Let us call this type
147 of problem *the specificity problem* of emotional indicators.

148 The second type of problem concerns the reliability of the emotional indicators *as*
149 *indicators*. The observed physiological and behavioral changes are not exclusively caused by
150 emotional states. A specific change in the behavior or physiological state of an animal could
151 be caused by, for example, an adaptive coping mechanism that does not involve any
152 emotional states. Moreover, certain stereotypic behaviors are unreliable indicators of
153 emotional state. It has been shown that they could be a direct way to cope with a stressor (e.g.,
154 poor housing conditions) directly by “do-it-yourself-enriching” the environment or by
155 calming themselves and thus, blocking stress, rather than coping with the condition indirectly
156 *via* first eliciting another emotional state that then lowers stress (Mason and Latham 2004).
157 Let us call this type of problem *the reliability problem* of emotional indicators.

158 One way to overcome these epistemic difficulties is to look for new ways of assessing
159 animal emotional states that are: (1) more emotion-specific; (2) more reliable; or (3) give rise
160 to more reliable and/or emotion-specific indicators *in combination with* already existing
161 indicators. A relatively new and popular emotional indicator that is assumed to overcome
162 these problems is judgment bias. Before scrutinizing the explanatory power of judgment bias
163 experiments, let us see how exactly these experiments are set up.

164 *Cognitive judgment bias test.* Judgment bias experiments were, among other things, designed
165 to show that decision-making and judgment in non-human animals are influenced by
166 emotional states. The design of a judgment bias test can be generalized as follows. Animals
167 are first trained to respond differently to two distinct cues of the same perceptual dimension

168 (e.g., visual, auditory, or spatial cues): they learn to respond to a “positive” training cue to
169 obtain a reward (e.g., lever press for a high-pitched tone), and to respond in another way to a
170 “negative” training cue to avert punishment (e.g., no lever press for a low-pitched tone).² This
171 is the *training phase*. When the animals have learned to respond correctly to training cues,
172 they proceed to the test where they are presented with “ambiguous” cues, which are
173 interspersed between training cues – this is the *testing phase*. Ambiguous cues are located
174 qualitatively between the training cues associated with negative and positive effects – hence
175 ambiguous – and are usually not rewarded. The behavioral response to ambiguous cues is
176 considered to indicate whether the animal “anticipates” positive outcomes (responding as
177 expecting reward) or negative outcomes (responding as avoiding punishment). These
178 responses are shown to be sensitive to a change in emotional state (Lagisz et al. 2020; Neville
179 et al. 2020) and they could be used as indicators of emotional states.

180 For interpreting the observational data, it is required to know in which emotional state the
181 animals are when responding to the ambiguous cues. Therefore, they are manipulated in a
182 (emotionally) *priming phase* to be in a certain emotional state before being tested (in the
183 testing phase). A typical setting divides animals into two groups. One group is manipulated by
184 a treatment considered to induce a negative emotional state. The other serves as the control
185 group and stays unmanipulated. Priming could be an unpredictable housing, lasting
186 throughout the training and testing phase of the experiment, or an enforced electrical shock
187 applied just before the testing phase. Similarly, positive treatment can be used as priming
188 (e.g., providing enrichment).

189 We could observe the following outcomes of such a judgment bias experiment:

- 190 1. The animals from the “negatively” primed group might show a negative judgment bias by
191 responding more often in the negative way with respect to the ambiguous cues, i.e., by the
192 behavior they have learned to avoid punishment, than animals from the control group. The
193 interpretation of this result would be that animals from the “negatively” primed group are
194 in a negative emotional state and thus, that this particular priming is inducing a negative
195 emotional state. The same goes for positive treatment.
- 196 2. Priming might also not lead to any change in the interpretation of the ambiguous cue, so
197 that there is no statistically significant difference between the treatment and the control
198 group. This might mean that the priming did not evoke any emotional state that lasts until

² The association of a specific cue (e.g., tone pitch) with reward or punishment is usually counterbalanced. Also, there are test designs based on so-called go/go tasks where animals always need to respond to both “positive” and “negative” cues, which removes the confounding effect of motivation to respond.

199 the judgment bias test, or that the bias is too small to be detectable with a concrete
200 experimental setting. However, as long as data from different individuals are averaged, it
201 might also be the case that individuals react to the same treatment with different emotions,
202 some with positive ones and others with negative ones.

203

204 Let us now come back to the epistemic problems with emotional indicators. It seems quite
205 obvious that the judgment bias test inherits the reliability problem. The described experiments
206 may show that there is a correlation between a treatment, expected to induce certain emotional
207 states, and behavioral responses in a judgment bias test (as described in outcome 1). However,
208 different factors, besides the emotional state, can influence judgment bias (Whittaker and
209 Barker 2020). Consequently, if it is possible and plausible that cases of judgment bias could
210 occur without any involvement of emotional states, then judgment bias has the same
211 reliability problem as other indicators. This, of course, does not mean that the overall
212 reliability could not be increased if we took additional indicators into account. The point is
213 rather that if we look at each emotional indicator (including judgment bias) separately and try
214 to assess the emotional states by it, then the reliability problem remains unsolved.

215 At first glance, it seems that the specificity problem, too, accompanies judgment bias as an
216 emotional indicator. It is hard to imagine that one could be able to specify the exact kind of
217 emotional state of an animal (fear, anger, depression-like states, joy, frustration, etc.) just
218 from the judgment bias, be it negative or positive. However, the experiments seem to suggest
219 that there are correlations between negative bias and negative emotional states in general and
220 between positive bias and positive emotional states in general (Lagisz et al. 2020). This is
221 certainly a relevant differentiation and might, in many cases, even be sufficient from the
222 particular perspective of animal welfare scientists, because, as mentioned before, their interest
223 often is assessing whether or not animals are in negative (or positive) emotional states. So
224 while, for example, an elevated level of circulating glucocorticoids could be indicating either
225 a state of fear or one of excitement and thus, does not allow to infer a negative or a positive
226 emotional state, a state of fear would usually correlate with a negative judgment bias and a
227 state of excitement with a positive one. In this respect, judgment bias promises to be more
228 specific than some other indicators.

229 To sum up, in light of inherent epistemic problems of traditional emotional indicators,
230 there are at least two reasons to consider judgment bias tests as an alternative: (1) Where
231 emotional indicators have different degrees of reliability, having another indicator *in addition*
232 to the already existing ones can increase the overall reliability of these indicators when all are

233 pointing to the same emotional state; (2) With a cognitive bias test, it seems possible to assess
234 whether a certain treatment *induces* a positive or negative emotional state, which is of eminent
235 value for animal welfare science and biomedical science.

236 Having discussed some inherent problems with emotional indicators and established the
237 epistemic motivation of judgment bias tests, let us now discuss the underlying cognitive
238 mechanism.

239

240 **3 Underlying cognitive abilities**

241 We are now going to scrutinize cognitive abilities that could underline and explain behavioral
242 responses to ambiguous situations in judgment bias tests. However, before introducing
243 possible candidates, let us first specify the category of cognitive abilities. With this category
244 we are referring to mental capacities, like the abilities to represent, to have emotions, to
245 perceive, to judge, and other higher level mental capacities. These are to be discerned from
246 the neuronal activities and processes that form the basis of these capacities. Such more
247 fundamental processes cannot *as such* explain animal activities and behaviors in judgment
248 bias tests; the concept of judgment bias focuses on representational states rather than on their
249 neuronal basis. This can be seen in both “folk psychology” and empirical sciences. Consider,
250 for example, answers to questions like: “Why is that squirrel climbing that tree so fast? Why
251 is that honeybee flying in that direction?” The answers would usually refer to representational
252 states or abilities rather than to – unknown – neural states: because the squirrel is *scared of*
253 and *running away from* the dog (representing it *as dangerous*) or because the honeybee
254 *represents* the nectar to be in that direction, say, as a result of *observing* the dance of a fellow
255 bee and *interpreting* it *as representing* the nectar occurrence in that direction. Analogically,
256 the answer to the question of why the animals in the cognitive bias tests respond to the
257 ambiguous cue in a specific way would refer to some kinds of emotional or inner
258 representational states or ability. That is why ascertaining possible representational abilities
259 that can result in the behaviors in question has immense explanatory value for scientists
260 conducting cognitive bias tests.

261 A last remark before our analysis of the underlying mechanisms; this is not an analysis of
262 the terms “ambiguous,” “bias,” or “judgment” or of their applications. Our listed candidates
263 of inner states and abilities that explain the reaction to the ambiguous cue in the judgment bias
264 tests may or may not confirm the usage of these terms – whatever the criteria of this
265 confirmation might be. Nevertheless, our focus is not on this kind of conformation but on

266 plausible candidates for different cognitive abilities that would result in similar behavioral
267 outputs with similar input conditions in these tests.

268 **3.1 Plausible candidates**

269 Scientists experimenting on judgment bias often do not ask the question about the (exact) kind
270 of cognitive abilities that bring the bias about. They are very cautious in classifying the
271 responses as merely being “as if” the animal expected a certain outcome (Mendl et al. 2009;
272 Paul et al. 2005; Roelofs et al. 2016). Usually, they treat the involved cognitive mechanism as
273 a black box and track it through its behavioral outputs.³ As clarified before, we think that this
274 question is worth answering from both perspectives, that of cognitive science and that of
275 animal welfare science, as it could lead to refined measurements, development of new tests,
276 and better understanding of emotional states in general.

277 Our approach to answer the above question is to make a list of cognitive abilities that are
278 discussed in philosophy of cognition and that we, at the same time, consider as being
279 evolutionary plausible candidates that might produce the biased output in a systematic or
280 regular way. This will outline some of the possible and plausible underlying abilities that
281 contribute to the mechanism in the assumed black box. The answer would in part require
282 describing some inner states of the animals *as* representing the external cues, i.e., assuming
283 that the states are *directed at*, or *are about* an external phenomenon or state of affairs (e.g.,
284 Sterelny 1990).

285 1. *Constitutive lack of discrimination.* It is plausible that the cognitive system of some
286 animals does not discriminate between the cue that, *from our perspective*, should be
287 ambiguous for them, and one of the training cues. This inability might be a “constitutive” lack
288 of discrimination between ambiguous and training cue, and would not be mediated or altered
289 by emotional states and other conditions, for it is a matter of physiology and unmodifiable by
290 priming. Imagine, for example, somebody who suffers from a particular kind of color
291 blindness and cannot discriminate between, say, blue and purple but can distinguish red. This
292 person now receives a purple cue, meant by the experimenter as a middle cue between blue
293 and red and, and sees it as blue. The test person’s perceptual apparatus simply does not
294 discriminate between what we would classify as a middle cue and as one of the others. Now

³ Mendl et al. (2009) sketched a picture of what they hypothesized as underlying mechanisms of judgment bias which we will in part discuss in this section. However, they admitted that this might not concern animal welfare studies in practice: “From a practical animal welfare perspective it is perhaps not necessary to understand the processes underlying judgment biases” (*ibid.* 172).

295 imagine that this is the “normal” case for the whole species that is being experimented on; the
296 cue would not be ambiguous for individuals belonging to this species.

297 This possibility is eliminated if animals show the ability to discriminate between the cues
298 in a separate experiment or if animals respond differently to ambiguous cues than to the
299 training cues in the judgment bias test. This seems to be the case in most published studies
300 since different responses to at least some ambiguous cues are considered a prerequisite for a
301 valid judgment bias test (Gygax 2014, 61). We are mentioning this case for reasons of
302 completeness, and also because it helps to better understand the other candidates.

303 2. *Misrepresentation*. One of the most plausible situations that might hold is that the
304 ambiguous cue is represented – wrongly – as one of the cues the animal was trained upon, i.e.,
305 that it is misrepresented (Dretske 1986; Godfrey-Smith 1989). Assume the cues trained upon
306 were squares and circles, and the ambiguous cue being an octagon. If the content of the
307 representation is an octagon (however, one could possibly find this out), the ambiguous cue
308 would be represented correctly. If the ambiguous cue is represented either as a circle or as a
309 square, or in the very way a circle or a square is represented, it is misrepresented. Or consider
310 the following standard example of a misrepresentation (Agar 1993): a frog *misrepresents* a
311 certain black particle, let us say a small black piece of paper, in the air as, say, a nutritious
312 flying prey, and the prey-capture mechanism of the frog triggers a tongue-dart in the
313 appropriate direction and captures the piece of paper. This could happen for various reasons;
314 the black piece of paper looks just too much like a fly or the frog is just too hungry etc. The
315 point is that the piece of paper is not represented as a piece of paper (which would be
316 impossible as long as we assume that this category does not exist at all for the frog), and that
317 it is also not the case that it is not represented at all. It is represented as something else with
318 which the frog is familiar, in this case as a fly. It is likely that something similar is happening
319 in judgment bias experiments when an animal observes an ambiguous cue; the cue is
320 misrepresented as a “familiar one.”

321 Mendl et al. mention that something like this might be the case with ambiguous cues that
322 are very similar to training cues but argue that this is likely not to be the case when
323 ambiguous cues can be easily distinguished from training cues (Mendl et al. 2009, 172). So, to
324 exclude misrepresentation, does one simply need to confirm that animals can discriminate
325 between ambiguous and training cues in classical discrimination experiments where two cues
326 are presented simultaneously? This would be too quick a conclusion. Consider the following:
327 just because one is able to distinguish between cats and dogs under ideal or standard
328 conditions, it does not mean that one is not likely to confuse them under certain circumstances

329 or in certain contexts, e.g., to mistake in dim light a small dog for a cat. Similarly, just
330 because animals showed the ability to discriminate between the ambiguous cues and the
331 training cues, they need not be able to do so under testing conditions of judgment bias
332 experiments, where multiple ambiguous and training cues are presented sequentially with
333 time gaps in-between (as mentioned by Mendl et al. 2009, 173). They might still misrepresent
334 ambiguous cues as one of the training cues. Misrepresentation can occur for various reasons.
335 The reward is just too delicious, or at least delicious enough to mistake anything *resembling*
336 the positive cue as *being* the positive cue; or the punishment is too severe or severe enough so
337 that anything resembling the negative cue gets mistaken as being the negative cue; or the
338 emotional inducing phase made the test animals too cautious, too afraid, too anxious, too
339 bored etc.

340 As an argument for a more advanced cognitive ability, Mendl et al. use the observations
341 that there is a gradual change in response to cues in judgment bias tests (Mendl et al. 2009,
342 173). In a typical judgment bias test, animals are often introduced to three ambiguous cues;
343 one ambiguous cue is closer to the positive (near-positive), one is closer to the negative
344 training cue (near-negative), and one is perceptually in the middle. This scheme is applied to
345 test whether there is a gradual change in animals' responses across the cues. For example,
346 animals reduce lever pressing from the positive cue *via* ambiguous cues to the negative cue,
347 thus producing a monotonic response curve. If there is a gradual change in responses, it is
348 presumed as validating that animals interpret ambiguous cues in reference to the trained cues
349 (e.g., Gygax 2014, 61; Hintze et al. 2018, 10). Assuming that the middle ambiguous cue is not
350 perceived as actually being one or the other of the training cues, Mendl et al. consider that it is
351 likely that something cognitively more advanced like decision-making is happening. Although
352 we grant that something like this might be happening in animals with higher cognitive
353 abilities, which we will consider next, we want to emphasize misrepresentation as being one
354 of the most likely scenarios, even in cases where one might consider decision-making as
355 being an alternative mechanism. To be clear, our estimation of likelihood here is not based on
356 empirical data but rather on the principle of Ockham's razor to be as scarce as possible with
357 assuming entities, in this case with presupposing involved cognitive instances or abilities. In
358 fact, if it is likely that the animals are misrepresenting the ambiguous near-positive and near-
359 negative cues, we do not need to – and should not – bring some higher cognitive abilities, like
360 decision-making, into play to explain the response to the middle ambiguous cue as long as
361 there is no concrete indication for involvement of the higher capacity. In the case mentioned,

362 there is no such independent argument for the presumption that the animals' gradual
363 responses indicate decision-making.

364 Nevertheless, because it is possible that more advanced cognitive abilities would produce
365 the similar output under the similar input conditions (as in the case of humans), we will still
366 consider this option and try to identify the minimal requirements of such a cognitive system
367 according to an evolutionary perspective.

368 3. *Conflicting content(s)*. The third possibility which could be available in an advanced
369 cognitive system is the representation of the ambiguous cues *as* ambiguous, for example, as
370 something undetermined between two or more *specific* states or objects. To have an analogy
371 from the perspective of a (human) viewer, it is *not* like: "I am seeing something but I don't
372 have any idea what it is," but more like "I am seeing something that is either *x* or *y*, but I
373 cannot exactly tell which one of those two." The latter is analogous to the cases that we are
374 considering now.

375 It is important to note that the conflicting content(s) could be different contents of
376 different representations of the same state of affairs, or a "conflicting" content of a single
377 representation of that state of affairs. Without going too deep into the theories of content, with
378 a *conflicting* content of one representation we are referring to a content that has two or more
379 aspects with different psychological roles (hence "conflicting"), e.g., a state of affairs is
380 represented as being a dog or a cat or even as a dog or a non-dog, where there are different
381 behaviors associated with these different aspects, for example fleeing in case of the
382 representation of a dog and attacking in case of a cat or a non-dog. How exactly these aspects
383 are represented and how the connections between them appear is not relevant here. It is
384 merely relevant that the cognitive system links these different aspects to different behavioral
385 outputs⁴ and that the cognitive system has the means to deal with this conflict.

386 While it might sound natural that humans have such representations, the issue is much
387 more complex than it appears at first glance. In general, the state of affairs in question needs
388 to be represented *as* conflicting (either through the conflicting representations or the
389 conflicting aspects of a representation of the state of affairs), which furthermore means that
390 there are mechanisms, over and above "regular" representational mechanisms, that evaluate
391 these representations and compute, or "decide" about,⁵ the generation of an output signal that
392 enters the behavior-producing mechanisms. This feat of the cognitive system is a capacity

⁴ "Behavioral output" is to be understood in a broad sense and does not need to be a behavior of the animal in the strict sense. It includes, for instance, activities of some subsystems that are triggered by the representation(s).

⁵ We assume "computing/‘decision-making’" as not necessarily being a conscious process.

393 over and above the ability to represent (and misrepresent) something in a specific way,
394 because simple representational systems do not usually evaluate representations or aspects of
395 a representation *against each other*.

396 We want to emphasize that we are not suggesting that there is no evaluation of
397 representations or some kind of computing happening in cases of mere misrepresentations.
398 However, if the animal has a representation with a conflicting content or competing
399 representations, then some kind of *resolving*-mechanisms should come into play that deal with
400 the ambiguity. Doing this in a consistent way requires the involvement of a different, more
401 advanced cognitive ability than would be required in reacting to a mere misrepresentation of a
402 cue. Bear in mind that from the setup of the judgment bias experiments there is not yet much
403 known that allows us to assess which kind of these cognitive abilities (misrepresentation
404 *versus* conflicting contents) is in play. Our analysis suggests a way of gaining better
405 knowledge about the representational systems, i.e., a way to open the black box at least a little
406 bit; does the animal always react the same way to an ambiguous cue, or does it learn to
407 distinguish it from the training cues? One might expect that conflicting content is interpreted
408 cautiously or with hesitation on the first confrontation, but more decisively in later ones,
409 while a plain misrepresentation would not give rise to any hesitation.

410 A judgment bias test, however, would merely hint at certain mechanisms and cannot be
411 used to conclusively distinguish between cases of misrepresentation and of conflicting
412 contents. We will therefore discuss, in section 3.2, more complex experimental setups that
413 could yield more definite results on the representation mechanism involved.

414 4. *Novel representation*. The last option that we want to consider is the possibility of
415 having a *novel* representation, i.e., to represent the state of affairs – the ambiguous cue – *as*
416 *novel*. To use the analogy from before, it is more like: “I am seeing something but I don’t
417 know what exactly it is.”

418 Representing something as novel does not mean that the representation is marked by a
419 “novel”-index. It also does not mean that the one having the representation “thinks” the
420 content is novel (conscious or not). All it means in this context is that the one having the
421 representation has not yet gathered any prior information about what is being represented,
422 which includes in particular that it does not relate the novel representation as being related to
423 the training cues. One of the most central feats of the cognitive system is to use information
424 gathered in prior encounters with an entity in the current or future encounters with that entity
425 (Millikan 2000). It is therefore common for the cognitive system to start tracking and
426 gathering information about newly encountered unknown entities.

427 Representing ambiguous cues as novel is more likely in certain types of judgment bias
428 tests. The most prominent case is when the cues do not differ in only one perceptual
429 dimension (e.g., Douglas et al. 2012; Nogueira et al. 2015; Salmeto et al. 2011). For example,
430 Douglas et al. (2012) used different acoustic sounds: a note on a glockenspiel and a dog-
431 training clicker as training cues, and a squeak from a dog toy as the cue which was considered
432 to be ambiguous. However, do animals perceive these sounds to be different in frequency, in
433 noise level, or in some other dimension? In such cases, it is not clear how animals relate
434 ambiguous cues to training cues; they could be represented as novel (as mentioned by Roelofs
435 et al. 2016). Although for a different reason, novelty could also play a role in judgment bias
436 tests that are based on spatial cues. In this type of tests, ambiguous cues are represented by a
437 novel location which is in-between the trained cues (e.g., Briefer and McElligott 2013;
438 Richter et al. 2012). Jardim et al. showed that in this design, reaction to the ambiguous
439 situation depends on how explorative an individual is and thus, includes the animal's response
440 to novelty (Jardim et al. 2021). It is thus possible that animals represent situations that are
441 intended to be ambiguous as novel, at least in some judgment bias tests.

442 The behavioral output in such cases would depend on various factors, such as the level of
443 individual development of the cognitive system, the individual's prior learning experiences,
444 the overall cognitive capacities of the species, the organism's predispositions, and of course
445 the organism's present environment and emotional state. However, if the organism had a
446 genuinely novel representation, it could be expected that it would change its behavior
447 depending on the kinds of information being gathered about the entities in question (here, the
448 ambiguous cue). For example, if the ambiguous cues are not associated with any reward or
449 punishment and the animal starts to ignore these cues pretty quickly, it would suggest that (at
450 some point) the animal has had a novel representation of the ambiguous cue and that the
451 representation has a different content than the representations of the previously learned ones.
452 This so-called “loss of ambiguity” is observed in many studies (reviewed in Roelofs et al.
453 2016).

454 Before describing our suggestion about (practical) ways of differentiating these options,
455 let us make some important clarifications. Firstly, we do not suggest that our list of possible
456 candidates for mechanisms is complete. This is the list of options that we think are the most
457 plausible candidates for the underlying cognitive abilities. Others might be possible. Secondly
458 and most importantly, we do not think that these possibilities are mutually exclusive. In other
459 words, it is possible that the underlying cognitive ability of a process studied is a complex
460 combination of these options. For example, an animal could misrepresent the ambiguous cue

461 at first but start perceiving it as novel later and change/adjust its behavior accordingly; or the
462 animal could perceive the cue as novel but misrepresent some aspects of it as being dangerous
463 or advantageous and so on. This means that assessing the exact configuration of the
464 underlying cognitive mechanisms through experiments requires thorough planning, more
465 complex training phases (we will address this in the next section), and various controlling
466 scenarios, which taken together might be near impossible to conduct for some species.
467 Nevertheless, in the next section, we will suggest a setting that is less likely to involve
468 *misrepresentation*.

469 **3.2 Ways of differentiating: A new proposal**

470 As we stated earlier, the possibility that the tested animals might lack the ability to
471 discriminate between the ambiguous cues and the cues in the training phase can be eliminated
472 through separate experiments that test their perceptual abilities. However, things are more
473 complicated if we are to establish whether a behavioral output of the judgment bias test is the
474 result of a *misrepresentation*, of *conflicting contents*, or an instance of *novel representation*.

475 As a promising way that is less likely to involve misrepresentation than *conflicting*
476 *contents* or *novel representations*, we propose using a setting that involves two pairs of cues
477 during both training and testing phases.⁶ In the training phase, animals need to learn
478 associating two different cues⁷ with negative and two with positive outcomes. In the testing
479 phase, rather than using novel cues that are supposed to be ambiguous, the properly
480 conditioned animals are exposed only to cues they are already familiar with, namely to a
481 combination of one cue that is associated with a negative outcome and simultaneously to
482 another one associated with a positive outcome. In this kind of experiment, a conflicting input
483 is realized by combining the positive cue of one of the pairs with the negative cue of the other
484 at the same time. Therefore, in contrast to a judgment bias test, the “ambiguity” is represented
485 not by one ambiguous cue, but rather by two different, conflicting cues. Each of the cues is
486 unambiguous and might even address different sensory modes (e.g., visual and auditory).⁸ It is
487 important to test both options of “ambiguous combinations” of cues, a positive cue 1 with a
488 negative cue 2 and a negative cue 1 with a positive cue 2. This rules out that one of the cues
489 might generally override the other. Individuals would need to provide relatively consistent

⁶ This setting has been used in Parker (2008) for a different purpose than we are proposing here.

⁷ Optimally, both senses should have similar perceptual values for the animals to avoid the possibility that the behavioral outcome is the result of the animals being overly sensitive to one cue rather than the other.

⁸ Of course there should be several control groups with negative-negative, positive-positive, and negative-positive with a different timely distance between the sensorially different cues.

490 answers to both “ambiguous combinations” for the experiment to be valid, i.e., ascribing
491 ability to solve conflicting content. Completely random answers of the individual would
492 suggest a lack of relevant problem solving mechanisms.

493 This experiment is not supposed to be an improvement upon the judgment bias test. The
494 suggested setup serves the purpose of singling out specific mechanisms underlying the
495 judgment bias, in the case of scientific interest in doing so. This setup is primarily supposed to
496 test whether animals possess certain conflict-solving mechanisms. However, it does not
497 necessarily exclude a novel representation of the presented conflict.

498

499 *Possible outcomes.* In the following, we discuss the possible outcomes of such an
500 experiment and show which conclusions could be drawn with respect to how the underlying
501 cognitive system represents the cues:

502 The individuals are trained to the cues and then exposed to ambiguous combinations of
503 cues, without any prior exposition to emotion-eliciting conditions. Let us assume that the
504 punishment and rewards in the experiment are “fair,” i.e., they are not too highly evaluated by
505 the animals.⁹

506 A. Each individual might show a consistently biased answer, positively in some
507 individuals and negatively in others. This would allow ascription of a dispositional trait
508 to the individuals that count as long-lasting. We could call these individuals “optimistic”
509 or “pessimistic” decision-makers.

510 B. All individuals might show a similar bias, either positive or negative. One could interpret
511 this as constitutive optimism or pessimism being a certain dispositional trait of the
512 species under investigation, where either a positive or a negative cue overrides an
513 opposing cue. Existence of such “optimistic” or “pessimistic” species traits might be
514 expected if they were selected for due to certain living conditions.¹⁰

515 C. The answer might be found to be arbitrary in all individuals, i.e., the ambiguous
516 combination of cues leads to positive and negative answers in statistically indiscernible
517 proportions in each individual. The conflicting contents, which in isolation lead to a
518 positive and negative answer, respectively, level out. This outcome would strongly
519 suggest that the animals do not possess the relevant problem solving mechanisms at the

⁹ Finding out whether or not the reward and punishment are evaluated “fairly” by the animals would involve prior experience and experiments which might differ from species to species.

¹⁰ The same outcome would be expectable if the punishment or reward are evaluated too highly by the animals. However, this option should be excluded by proper test design, so the explanation of this outcome would (most likely) refer to natural selection.

520 cognitive level¹¹ for this kind of situation. It does not rule out that a modified or refined
521 experiment might indicate the presence of other problem solving mechanisms, e.g., one
522 using different cues or cues of different intensity.

523

524 Notice that if the proposed setting would result in something like (A) and the same kind of
525 animal, i.e., another individual of the same species, or, e.g., of the same cast, social status, or
526 developmental stage, would also show judgment bias in the judgment bias test, that would
527 still not mean that the animals do not misrepresent the ambiguous cue in the judgment bias
528 test. It would, however, imply that for this kind of animal it is possible not to misrepresent the
529 ambiguous cue and to represent it as conflicting. On the other hand, if the result would be
530 something like (C) but the same kind of animals would show judgment bias in the judgment
531 bias test, then this would strongly suggest that the animals in the judgment bias test are
532 misrepresenting the ambiguous cue. The reverse, however, does not hold. If the animals are
533 misrepresenting the ambiguous cue in the judgment bias test, it would not necessarily mean,
534 in our setting, that they do not possess the relevant problem solving mechanisms.

535

536 **4 Conclusion**

537 Judgment bias tests allow assessing emotional states of non-human animals. Central to these
538 tests is confronting animals with ambiguous cues that are intermediates between cues they
539 have learned to link to positive and negative consequences, respectively, and to act
540 accordingly. The mechanism of decision-making is usually taken to be a black box. We
541 discussed how this black box could be opened, at least a little bit, even by experiments of the
542 considered type. Drawing on the philosophical perspective of understanding decision-making
543 as a capacity of certain representational systems, we determined three different ways that
544 ambiguous stimuli could in principle be represented: *misrepresentation*, *conflicting content*,
545 and *novel representation*. We judge misrepresentation to be the most likely scenario.
546 Misrepresentation, however, does not imply the involvement of higher cognitive abilities that
547 evaluate representations against each other. We propose a test regime in which the ambiguous
548 stimulus is replaced by an ambiguous pair of unambiguous stimuli. This test regime makes it
549 less likely that the animals misrepresent the ambiguous situation and aims primarily at testing
550 the involvement of certain problem solving mechanisms that resolve a representation with a
551 conflicting content. Finding out which species have this kind of mechanism would not only be

¹¹ There still might be mechanisms merely at the neuronal level to prevent “cognitive-freezing” and to cause the animals to get past the situation.

552 an interesting result in itself, but also help better understand the mechanism of biased
553 judgment in non-human animals, which could help further develop judgment bias tests.

554

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