

General Covariance, Gauge Theories and the Kretschmann

Objection.

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How can we reconcile two claims that are now both widely accepted:

Kretschmann's claim that a requirement of general covariance is physically

vacuous and the standard view that the general covariance of general relativity

expresses the physically important diffeomorphism gauge freedom of general

relativity? I urge that both claims can be held without contradiction if we attend

to the context in which each is made.

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1. Introduction

TWO VIEWS...

When Einstein formulated his general theory of relativity, he presented it as the culmination of his search for a generally covariant theory. That this was the signal achievement of the theory rapidly became the orthodox conception. A dissident view, however, tracing back at least to objections raised by Erich Kretschmann in 1917, holds that there is no physical content in Einstein's demand for general covariance. That dissident view has grown into the mainstream. Many accounts of general relativity no longer even mention a principle or requirement of general covariance.

What is unsettling for this shift in opinion is the newer characterization of general relativity as a gauge theory of gravitation, with general covariance expressing a gauge freedom. The recognition of this gauge freedom has proven central to the physical interpretation of the theory. That freedom precludes certain otherwise natural sorts of background spacetimes; it complicates identification of the theory's observables, since they must be gauge invariant; and it is now recognized as presenting special problems for the project of quantizing of gravitation.

...That We Need not Choose Between

It would seem unavoidable that we can choose at most one of these two views: the vacuity of a requirement of general covariance or the central importance of general covariance as a gauge freedom of general relativity. I will urge here that this is not so; we may choose both, once we recognize the differing contexts in which they arise. Kretschmann's claim of vacuity arises when we have some body of physical fact to represent and we are given free reign in devising the formalism that will capture it. He urges, correctly I believe, that we will always succeed in finding a generally covariant formulation. Now take a different context. The theory—general relativity—is fixed both in its formalism and physical

preparation).

Over the last two decades there has been extensive historical work on this episode. Earlier works include Stachel (1980) and Norton (1984); the definitive work will be Renn et al. (in

formulation of special relativity expressed its satisfaction of the principle of relativity of This conclusion seemed automatic to Einstein, just as the Lorentz covariance of his 1905 covariance of his theory embodied an extension of the principle of relativity to acceleration. Einstein had several bases for general covariance. He believed that the general generally covariant theory was physically admissible.²

year quest, with the final three years of greatest intensity, as Einstein struggled to see that a transformation of the spacetime coordinate system. This event marked the end of a seven Science. These equations were generally covariant; they retained their form under arbitrary gravitational field equations of his general theory of relativity to the Prussian Academy of In November 1915 an exhausted and exhilarated Einstein presented the

Einstein...

2. Einstein and Kretschmann's Objection

reformulations are possible for any spacetime theory. discusses the difficulty of making good on Kretschmann's claim that generally covariant reconciliation to the fertile "gauge principle" used in recent particle physics. An Appendix Sections 2 and 3, I will briefly review the two viewpoints. Finally in Section 5 I will relate the In Section 4 I will lay out this reconciliation in greater detail. As preparation, in

To Come

general covariance which turns out to express an important gauge freedom. interpretation. Each formal property of the theory will have some meaning. That holds for its

debate that follows, see Norton (1993) and Kynasiewicz (1999)

⁴ For further discussion of Kretschmann's objection, Einstein's response and of the still active

Norton (1993).

³ The analogy proved difficult to sustain and has been the subject of extensive debate. See

own ends. In his objection, he agreed that the physical content of spacetime theories is Kretschmann actually embraced Einstein's point-coincidence argument and turned it to his Kretschmann's argument was slightly more subtle than the above remarks.

generally covariant formulations of theories tractable. ⁴

calculus.") Kretschmann pointed to this calculus as a tool that made the task of finding had used the "absolute differential calculus" of Ricci and Levi-Civita (now called "tensor sufficient energy into the task of reformulating it. In arriving at general relativity, Einstein theory could be given a generally covariant formulation as long as we are prepared to put merely challenged his mathematical ingenuity. For, Kretschmann urged, any spacetime asserted, Einstein had placed no constraint on the physical content of his theory. He had mistaken the character of his achievement. In demanding general covariance, Kretschmann Shortly after, Erich Kretschmann (1917) announced that Einstein had profoundly

...and Kretschmann

and that restriction can be based in no physical fact.

less covariance restricts our freedom to relabel the spacetime coordinates of the coincidences

assigned to each coincidence. Therefore a physical theory should be generally covariant. Any

transformations; all we do in the transformations is relabel the spacetime coordinates

meanings of their worldlines. These coincidences are preserved under arbitrary coordinate

of a pointer with a scale, or, if the world consisted of nothing but particles in motion, the

physical content of a theory is exhausted by a catalog of coincidences, such as the coincidence

inertial motion. ³ He also advanced what we now call the "point-coincidence" argument. The

argument more precise. For example, see Howard (1999).
 gives only a list of illustrations and many pitfalls await those who want to make the
 original argument. Just what is a point-coincidence? Einstein gives no general definition. He
 his own point-coincidence argument. However a persistent ambiguity remains in Einstein's
 5 Rhetorically, Kretschmann's argument was brilliant. To deny it, Einstein may need to deny

where $\{x^i\}_m$ are the Christoffel symbols of the second kind.

$$(4) \quad d^2x^i/ds^2 + \{x^i\}_m dx^m/ds = 0$$

with R_{iklm} the Riemann-Christoffel curvature tensor. The free falls are now governed by

$$(3a) \quad R_{iklm} = 0$$

where the matrix of coefficients g_{ik} is subject to a field equation

$$(3a) \quad ds^2 = g_{ik} dx^i dx^k$$

becomes

We introduce arbitrary spacetime coordinates x^i , for $i = 0, \dots, 3$ and the invariant line element

$$(2) \quad d^2x/dt^2 = d^2y/dt^2 = d^2z/dt^2 = 0$$

Free fall trajectories (and other "straights" of the geometry) are given by

$$(1) \quad ds^2 = c^2 dt^2 - dx^2 - dy^2 - dz^2$$

the invariant line element

x, y, z , special relativity is the theory of a Minkowski spacetime whose geometry is given by

In its standard Lorentz covariant formulation, using the standard spacetime coordinates $t,$

Civita's methods it is quite easy to give special relativity a generally covariant formulation.

Kretschmann's objection does seem sustainable. For example, using Ricci and Levi-

formulations.⁵

relativity. For this very reason all spacetime theories can be given generally covariant

exhausted by the catalog of spacetime coincidences; this is no peculiarity of general

Examples such as this suggest that Kretschmann was right to urge that generally covariant reformulations are possible for all spacetime theories. While the suggestion is plausible it is certainly not proven by the examples and any final decision must await clarification of some ambiguities. See Appendix 1: Is a Generally Covariant Reformulation Always Possible? for further discussion.

3. The Gauge Freedom of General Relativity

Active General Covariance

Einstein spoke of general covariance as the invariance of form of a theory's equations when the spacetime coordinates are transformed. It is usually coupled with a so-called "passive" reading of general covariance: if we have some system of fields, we can change our spacetime coordinate system as we please and the new descriptions of the fields in the coordinate systems will still solve the theory's equations. Einstein's form invariance of the theory's equations also licenses a second version, the so-called "active" general covariance. It involves no transformation of the spacetime coordinate system. Rather active general covariance licenses the generation of many new solutions of the equations of the theory in the same coordinate system once one solution has been given.

For example, assume the equations of some generally covariant theory admit a scalar field $\phi(x^i)$ as a solution. Then general covariance allows us to generate arbitrarily many more solutions by, metaphorically speaking, spreading the scalar field differently over the spacetime manifold of events. We need a smooth mapping on the events—a diffeomorphism—to effect the redistribution. For example, assume we have such a map that sends the event at coordinate x^i to the event at coordinate x'^i in the same coordinate system. Such a map might be a uniform doubling, so that x'^i is mapped to $x^i = 2 \cdot x^i$. To define the redistributed field ϕ' , we assign to the event at x'^i the value of the original field ϕ at the event

⁷ See Earman and Norton (1987), Norton (1999).

the rubber membrane so it doubles in size, we have the new field. is represented by numbers written on a flat rubber membrane. If we now uniformly stretch
⁶ To visualize this redistribution in the two dimensional case, imagine that the original field

assume the two systems of fields differ in some physical way we must insist upon a difference

neighbor fails to fix the fields within. This is a violation of determinism. In short, if we only within. This means that, in a generally covariant theory, fixing all fields outside this Moreover, the two systems of field will agree everywhere outside the hole, but they differ manifold. Since observables are given by invariants, they agree in everything observable. systems of fields agree completely in all invariants; they are just spread differently on the represent the same physical reality as the old? It would be very odd if they did not. Both diffeomorphically all the fields of some generally covariant theory. Do the new fields

comes smoothly to differ within. We now use the transformation to duplicate identity everywhere outside some nominated neighborhood of spacetime ("the hole") and argument."⁷ The transformation on the manifold of events can be set up so that it is the

A vivid way to lay out the physical arguments is through Einstein's "hole mathematics. It is a matter of physics and must be settled by physical argumentation. representations of the same physical reality. That this is so cannot be decided purely by the related by a gauge transformation, that is, one that relates mathematically distinct physically distinct fields? The standard view is to assume that they do not, so that they are The fields $\varphi(x^i)$ and $\varphi'(x^i)$ are mathematically distinct. But do they represent

Why it is a Gauge Freedom

Covariance.

with coordinate x^i .⁶ If the field is not a scalar field, the transformation rule is slightly more complicated. For further details of the scalar case, see Appendix 2: From Passive to Active

gravity see Rovelli (1997).

⁸ For further discussion of these and related issues and their import for the quantization of

invariant under the gauge transformation. In redistributing the fields, the transformation include invariance under the gauge transformation and the assertion would fail to be has such and such a value at some event of the manifold? No. The invariance must also a theory. Might an observable result consist of the assertion that an invariant of some field observable is a subset of the physically real and that in turn is expressed by the invariants of Our notion of what is observable is affected by similar considerations. What is metrical field over the manifold.

events in the world, that association must change in concert with our redistribution of the of the events of the manifold. In so far as we can associate an event of the manifold with real and perhaps only way to make sense of this is to give up the idea of an independent existence events are endowed with different properties, yet nothing physical has changed. The simplest transformation is purely gauge and we end up changing nothing physical. So now the same diffeomorphism to the field and spread the metrical properties differently over events, the gauge freedom makes it very difficult to retain this view. For, when we apply a some kind of independent background spacetime in which physical processes can unfold. The metric tensor field g_{ik} . The natural default is to take the manifold of events as supplying manifold of spacetime events which is then endowed with metric properties by means of a interpretation of a theory such as general relativity. ⁸ The theory is developed by positing a Accepting that this gauge freedom has important consequences for the physical

Its Physical Consequences

the two systems of fields represent the same physical reality. solution is that these differences are purely ones of mathematical representation and that that transcends both observation and the determining power of the theory. The ready

world. That specification is the job of the interpretation. See next footnote.

manifold is just a mathematical structure until we specify what it may represent in the properties we then consider are the purely formal properties. A real valued field on some can be considered quite independently of what we take them to represent in the world. The commonly physical theories use mathematical structures in place of words. These structures properties would include such things as the choice of English and the number of words. More consisted of an English language description, independently of their meanings. Formal interpretation. The formalism of a theory would be the actual words used, if the theory

⁹ I am distinguishing the formalism of the theory (and its formal properties) from its

theory that have any designated formal property. ⁹ Imagine, for example, that we wanted a

With this amount of freedom, it is plausible that we can arrive at formulations of any

coefficients of the metric tensor g_{ik} and the Christoffel symbols $\{\Gamma^k_{ij}\}$.

(3a), (3b), (4). In doing so, we introduced new variables not originally present. They are the relativity from its Lorentz covariant formulation (1), (2) to a generally covariant formulation reformulating and reinterpreting terms within a theory. Thus we easily transformed special

Kretschmann's objection succeeds because he allows us every freedom in

The Context in which Kretschmann's Objection Succeeds

4. Reconciliation

under gauge transformation; but the transformation will preserve the equality asserted.

assertion that two invariants are equal. The event at which the equality resided may vary

refined ways of representing observables. For example, they may be expressed by an

physical fact since the gauge transformation alters nothing physical. We must resort to more

result is eradicated by a gauge transformation, it cannot have been a result expressing

might relocate that invariant with that value at quite another event of the manifold. If some

specific heat.

special relativity, "c" refers to the speed of light. In thermodynamics "c" would refer to vary from formulation to formulations and theory. So, in ordinary formulations of mathematical structures of the theory with things in the physical world. These rules can

¹⁰ By "interpretation" I just mean the rules that tell us how to connect the various terms or

assertions. A fortiori there must some physical meaning in the general covariance of general theory has any content at all, we must be able to ascribe some physical meaning to its interpretation. So we might be given general relativity in its standard interpretation. ¹⁰ If a

Matters are quite different if we fix the formalism of the theory and its

The Context in Which the Diffeomorphism Gauge Freedom has Physical Content

covariant formulation that satisfied a number of restrictive physical limitation.

keep the physical content fixed. It became fully fixed only after he found a generally adjust its formal clothing. In the case of the discovery of general relativity, Einstein did not physical content; we must simply be careful not to alter our initial physical content as we preclude it always being achievable. The vacuity would persist even if we demanded a fixed covariance (or some other formal property) without placing further restrictions that would

The physical vacuity arises because we are demanding the formal property of general theory contains the string " $E=mc^2$ ":

we substitute these new variables into the expression for kinetic energy, our reformulated new quantity E , defined by $E = 2K$, and also a new label "c" for velocity v , so that $c=v$. Once kinetic energy K of a particle of mass m moving at velocity v , $K=(1/2) mv^2$. We introduce a might mean.) Here is one way we can generate it. We take the usual expression for the appears. (This is a purely formal property since we place no conditions on what the string formulation of Newtonian particle mechanics in which the string of symbols " $E=mc^2$ "

relativity. It may be trivial or it may not. ¹¹ Consulting the theory, as we did in Section 2 above reveals that the content is not trivial.

Things are just the same in our toy example of forcing the string " $E=mc^2$ " into a formulation of Newtonian particle mechanics. Let us fix the formulation to be the doctored one above. We had forced the string " $E=mc^2$ " into it. But now that we have done it, the string uses symbols that have a meaning and, when we decode what it says about them, we discover that the string expresses something physical, the original statement that kinetic energy is half mass x (velocity)². Mimicking Kretschmann, we would insist that, given Newtonian particle mechanics or any other theory, some reformulation with the string is assuredly possible; so the demand for it places no restriction on the physically possible. But, once we have the reformulation, that string will express something.

The analogous circumstance arises in the generally covariant reformulation of special relativity. The existence of the reformulation is assured. Once we have it, its general covariance does express something. In this case, it is a gauge freedom of the geometric structure just like that of general relativity. The Lorentz covariant formulation of (1) and (2) admits preferred coordinate systems. In effect, some of the physical content of the theory is encoded in them. They specify, for example, which are the inertial motions; a body moves inertially only if there is a coordinate system in which its spatial coordinates do not change with the time coordinate. In the transition to the generally covariant formulation, this

¹¹ Indeed the assertion may prove to be a logical truth, that is, it would be true by the definition of the terms it invokes or it may amount to the definition of term. While their truth is assured, such assertions need not be trivial. For example in a formulation of special relativity we may assert that that coefficients of the metric tensor are linear functions of the coordinates. This turns out to place no physical restriction on the theory; it merely restricts us to particular coordinate systems. It is what is known as a coordinate condition that defines the restricted class of coordinate systems in which the formulation holds.

This summary generates a new puzzle. One of the most fertile strategies in recent decades in particle physics has been to extend the gauge symmetries of non-interacting particles and thereby infer to new gauge fields that mediate the interaction between the particles. Most simply, the electromagnetic field can be generated as the gauge field that mediates interactions of electrons. This power has earned the strategy the label of the "gauge principle." How can this strategy succeed if Kretschmann is right and there is no physical content in our being able to arrive at a reformulation of expanded covariance? In the particle context, this corresponds to a reformulation of expanded gauge freedom. So why doesn't Kretschmann's objection also tell us that the strategy of the gauge principle is physically vacuous?

5. Gauge Theories in Particle Physics

There is no restriction on physical content in saying that there exists a formulation of the theory that has some formal property (general covariance, the presence of the string of symbols " $E=mc^2$ ", etc.) But once we fix a particular formulation and interpretation, that very same formal property will express something physical, although there is no assurance that it will be something interesting.

To summarize

coordinate systems, they may be spread in many mathematically distinct but physically equivalent ways. g_{ik} and the Christoffel symbols $\{k^i_m\}$ may be spread over some coordinate system. In one inertial. The general covariance of (3a), (3b) and (4) leave a gauge freedom in how the metric Christoffel symbols, which, via equation (4) determine whether a particular motion is coordinates to discern which points move inertially. This content is relocated in the content is stripped out of the coordinate systems. We can no longer use constancy of spatial

The solution lies in the essential antecedent condition of Kretschmann's objection. The physical vacuity arises since there are no restrictions placed how we might reformulate a theory in seeking generally covariance. It has long been recognized that the assured achievement of general covariance can be blocked by some sort of additional restriction on how the reformulation may be achieved. Many additional conditions have been suggested, including demands for simplicity and restrictions on which extra variables may introduced. (For a survey, see Norton, 1993, Section 5; Norton, 1995, Section 4.) The analogous solution is what gives the gauge principle its content. In generating gauge fields, we are most definitely not at liberty to expand the gauge freedom of some non-interacting particle field in any way we please. There is a quite precise recipe that must be followed: we must promote a global symmetry of the original particle field to a local symmetry, using the exemplar of the electron and the Maxwell field, and the new field arises from the connection introduced to preserve gauge equivalence.¹²

There is considerably more that should be said about the details of the recipe and the way in which new physical content arises. The recipe is standardly presented as merely expanding the gauge freedom of the non-interacting particles, which should mean that the realm of physical possibility is unaltered; we merely have more gauge equivalent representations of the same physical situations. So how can physically new particle fields

¹² The transition from special relativity in (1) and (2) to the generally covariant formulation (3a), (3b) and (4) can be extended by one step. We replace the flatness condition (3a) by a weaker condition, a natural relaxation, $R_{ik} = g^{lm}R_{limk} = 0$. The result is general relativity in the source free case. Arbitrary, source free gravitational fields now appear in the generalized connection $\{k^i_m\}$. We have what amounts to the earliest example of the use of the gauge recipe to generate new fields. The analogy to more traditional examples in particle physics is obvious.

(5a) $A_k(X_i(x_m)) = B_k(X_i(x_m))$

(5) we recover a version of (5) that holds in the arbitrary coordinate system

the X_m as a function of the x_i , that is $X_m = X_m(x_i)$. Substituting these expressions for X_m into

by the simple expedient of inverting the transformation of (6) to recover the expression for

We can replace the n equations (5) by equations that hold in the arbitrary coordinate system

(6) $x_i = x_i(X_m)$

an arbitrary coordinate system x_i to which we transform by means of the transformation law

where $k = 1, \dots, n$ and the A_k and B_k are functions of the coordinates as indicated. Consider

(5) $A_k(X_i) = B_k(X_i)$

laws of the theory happen to be given by n equations in the $2n$ quantities A_k, B_k

covariance. It is given in just one spacetime coordinate systems X_i . Let us imagine that the

Let us imagine that we are given a spacetime theory in a formulation of restricted

The Substitution Trick...

covariant reformulation will be possible though not necessarily pretty.

difficulties and suggest that for most reasonable answers to these questions generally

we expecting from a generally covariant reformulation? Let me rehearse some of the

in ambiguities in the question. Just what counts as "any" spacetime theory? Just what are

generally covariant reformulation is always possible for any spacetime theory. The problem lies

As Earman (manuscript, Section 3) has pointed out, it is not entirely clear whether a

Possible?

Appendix 1: Is a Generally Covariant Reformulation Always

(manuscript) and contributions to this volume.

emerge? This question is currently under detailed and profitable scrutiny. See Martin (2000),

subset of A and T_2 maps coordinates y_i on B to coordinates z_i on A . Then the composition coordinates x_i on a neighborhood A to coordinates y_i on a neighborhood B that is a proper domains and ranges of the transformations do not match up well. Assume T_1 maps have "These general coordinate transformations may not have all the group properties if the Why the hedged" as much group structure as the coordinate transformations themselves

geometric object field as we can demand. For example, assume the transformations of structure as the coordinate transformations themselves have; that is, it will be as much of a this definition of the transformation law for A_k , the components will inherit as much group transforms to $A_k(x_m(y_r))$, where $x_m(y_r)$ is the inverse of the coordinate transformation. With coordinate transformations. That is, under the transformation x_m to $y_r(x_m)$, $A_k(x_m)$ write as $A_k(x_m)$. The transformation rule between the components is induced by the rule for coordinate system x_m , the geometric object field $A_k(x_m)$, which I now permissible to characterize each side of (5a) as a geometric object field. For example, in each While this definition may appear demanding, it turns out to be sufficiently

systems have the usual group properties. and that the transformation rule that associates the components of different coordinate tuple valued field of components on the manifold, with one field for each coordinate system, geometric object fields. The standard definition of a geometric object field is that it is an n- (manuscript, Section 3) suggests, want to demand that (5a) be expressed in terms of covariance achieved—one of the ambiguities mentioned above. We might, as Farman While (5a) is generally covariant, we may not be happy with the form of the general

... Yields Geometric Objects

spacetime events as we please. application of the intuition that coordinate systems are merely labels and we can relabel We seemed to have achieved a generally covariant reformulation of (5) by the most direct

that part of the transformation outside B.

$T_2 T_1$ cannot coincide with the direct transformation of x^i to z^i since the composition has lost

Instead of starting with A^i in one fixed coordinate system X^i , we might start with the full set

This oddity becomes a disaster if we apply the substitution rule in a natural way.

That is, A^0 is a function of A^0 only and A^1 is a function of A^1 only.

$$(6a) \quad A^i = A^i(X^m(Y^r))$$

transformation, the substitution trick merely gives us

Note that the transformed A^0 and A^1 are linear sums of terms in A^0 and A^1 . For this same

$$(6) \quad A^0 = \gamma(A^0 - vA^1) \quad A^1 = \gamma(A^1 - vA^0) \quad A^3 = A^3 \quad A^4 = A^4$$

the components A^i of the four acceleration would be

with velocity v in the X^1 direction, $c=1$ and $\gamma = (1-v^2)^{-1/2}$. The usual Lorentz transformation for

$$Y^0 = \gamma(X^0 - vX^1) \quad Y^1 = \gamma(X^1 - vX^0) \quad Y^3 = X^3 \quad Y^4 = X^4$$

transformation

$F^i = A^i$, where F^i is the four force and A^i the four acceleration. Under a Lorentz

coordinate system X^i . Our law might be the law governing the motion of a body of unit mass,

is, take a very simple case. Imagine that we have special relativity restricted to just one

vectors or tensor or like structures; it turns everything into scalar fields. To see how odd this

substitution trick does not allow any mixing of the components. That precludes it yielding

the ones we expected. In brief, the reason is that the transformation rule induced by the

While the components A^k turn out to be geometric object fields, they are probably not

But are They the Geometric Objects We Expect?

coordinate transformation yields $x^m(z^p) = x^m(y^r(z^p))$.

will be inherited by A. We will have $A^k(x^m(z^p)) = A^k(x^m(y^r(z^p)))$ since the transitivity of the

coordinate systems z^p to y^r and y^r to x^m conform to transitivity. Then this same transitivity

field.

¹⁴ Ask, what is the X^0 coordinate in coordinate system X^i of some event p ? The answer will be the same number if we ask it from any other coordinate system Y^i as long as we are careful to ask it of the original coordinate system X^i . That is, each coordinate can be treated as a scalar

careful system for discerning just which parts of all this structure has physical significance. mathematical structure present than has physical significance. So the theory will need a geometric object field for each of what was originally a component. There is clearly far more cost elsewhere in the theory however. Our reformulation is overlaid with structure, one a geometric object field. We have gotten general covariance on the cheap. We cannot avoid a Therefore they are also geometric objects. So we can conceive of the entire structure $A^k(X^i)$ as geometric object fields already. The A^i are functions of X^i , that is, functions of scalar fields. conceive the X^i as scalar fields on the manifold—that is really all they are. ¹⁴ Scalar fields are reformulations could have gotten us there much faster. We return to $A^k(X^i)$ of (5). We can If this is our final goal, then another general trick for generating generally covariant

The Coordinates as Scalars Trick

transformation between these geometric objects. they have become, in effect, scalar fields. The Lorentz transformation then reappears as a them into distinct geometric object fields by the substitution trick. As geometric object fields consider A^i in coordinate system X^i and A'^i in coordinate system Y^i separately and convert The escape from this last problem is to separate the two transformation groups. We object field since we no longer have a unique transformation law for the components. try to transform the components A^i —the law (6) and law (6a). We no longer have a geometric end up with two incompatible transformation laws for the transformation X^i to Y^i when we we now try and make this bigger object generally covariant by the substitution trick, we will of all components of A^i in all coordinate systems related by a Lorentz transformation to X^i . If

These devices for inducing general covariance are clumsy but they do fall within the few rules discussed. We might be tempted to demand that we only admit generally covariant formulations if their various parts fall together into nice compact geometric objects. But what basis do we have for demanding this? Are we to preclude the possibility that the theory we started with is just a complicated mess that can only admit an even more complicated mess when given generally covariant reformulations. (Newtonian theory has been accused of this!) And if we are to demand only nice and elegant reformulations, just how do we define "nice and elegant"?

My conclusion is that generally covariant reformulations are possible under the few rules discussed and that efforts to impose further rules to block the more clumsy ones will cause more trouble than they are worth elsewhere.

Appendix 2: From Passive to Active Covariance

As above, assume the equations of some generally covariant theory admit a scalar field $\phi(x^i)$ as a solution. We can transform to a new coordinate system by merely relabeling the events of spacetime; x^i is relabeled x'^i , where the x'^i are smooth functions of the x^i . The field $\phi(x^i)$ transforms to field $\phi'(x'^i)$ by the simple rule $\phi'(x'^i) = \phi(x^i)$. Since the equations of the theory hold in the new coordinate system, the new field $\phi'(x'^i)$ will still be a solution. The two fields $\phi(x^i)$ and $\phi'(x'^i)$ are just representations of the same physical field in different spacetime coordinate systems.

This is the passive view of general covariance. It can be readily transmogrified into an active view, a transition that Einstein had already undertaken with his 1914 statements of the "hole argument". What makes $\phi'(x'^i)$ a solution of the theory under discussion is nothing special about the coordinate system x'^i . It is merely the particular function that ϕ' happens to be. It is a function that happens to satisfy the equations of the theory. We could

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to x^i .

general covariance allows the generation of the field $\varphi(x^i)$ from $\varphi(x^i)$ by the transformation x^i

distinct fields, in so far as their values at given events will (in general) be different. Active

coordinate systems. They are defined in the same coordinate system and are mathematically

$\varphi(x^i)$ and $\varphi(x^i)$. They are not merely two representations of the same field in different

In short, the passive general covariance of the theory has delivered us two fields,

the equations of the theory.

property except the mention of the primed coordinate system x'^i . Thus it is also a solution of

could form a new field $\varphi(x^i)$. Since this new field uses the very same function, it retains every

take that very same function and use it in the original coordinate system, x^i . That is, we

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