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Publish without Bias or Perish without Replications

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5 **Abstract**

6 There is mounting evidence that a large portion of experimental
7 results cannot be replicated, leading many to believe that science is
8 now in the throes of a replicability crisis. In response, there have
9 been calls to reduce publication bias against negative results because
10 of the effect that publication bias has on the publication record. Oth-
11 ers, however, argue that publication bias need not be detrimental to
12 scientific progress. Here, we propose a novel mechanism by dint of
13 which reducing publication bias can benefit science regardless of the
14 effect that publication bias has on the publication record. To do so,
15 we introduce a series of increasingly complex mathematical models.
16 Our models represent a scientific community consisting of discovery
17 researchers who test novel hypotheses, and confirmation researchers
18 who test known hypotheses. Results show that reducing publication
19 bias can have the surprising consequence of increasing the share of con-
20 firmation researchers who conduct replications. When a large share of
21 scientists conduct confirmation research, scientists have an incentive
22 to conduct high-quality research as others are likely to check their
23 findings. Our models therefore suggest an underappreciated reasons
24 why reducing publication bias might benefit science.

25 **1 Introduction**

26 There is mounting evidence that a large portion of experimental results can-
27 not be replicated. This is so across scientific disciplines, from the social and

28 behavior sciences to biomedical and clinical research (Collaboration et al.,
29 2015; Begley and Ellis, 2012; Camerer et al., 2016, 2018). As a result, many
30 scientists report believing that science is now in the throes of a “replicability
31 crisis” (Baker, 2016). If this is accurate, there are reasons to worry. On most
32 accounts, failed replications are an indication that experimental protocols
33 are unreliable (Machery, 2020; Romero, 2019). Although some argue that
34 concerns may be overblown (Feest, 2019; Leonelli, 2018), there is a growing
35 sense that something must be done in response.

36 Several proposals for improving replicability have already been made.
37 A reward system based on the priority rule incentivizes the publication of
38 new discoveries at the expense of replicability (Heesen, 2018; Romero, 2017).
39 Changing the underlying incentives could therefore improve the overall qual-
40 ity of research. Another proposal is to invest in theory development, as the
41 prior probability that a hypothesis is true can have a large effect on replica-
42 bility (Ioannidis, 2005; Bird, 2020; Stewart and Plotkin, 2021). More contro-
43 versially, some propose raising the usual standards of statistical significance
44 (Benjamin et al., 2017)—but see McShane et al. (2019) for considerations
45 against this approach. Unsurprisingly, expunging fraud and ethically ques-
46 tionable research practices might also boost replicability (Fanelli, 2009).

47 One proposal that has received a significant amount of attention is re-
48 ducing publication bias. Also known as the “file drawer” problem (Sterling,
49 1959; Rosenthal, 1979), publication bias against negative results occurs when
50 there is a preference for the publication of positive results—that is, results
51 that seem to confirm a hypothesis of interest. Some argue that publication
52 bias contributes to low replicability by increasing the share of false positives
53 in the publication record and thus hindering the capacity of science to self-
54 correct (Romero, 2016)—see also Greenwald (1975), van Assen et al. (2014),
55 and Nosek et al. (2018). Others argue that publication bias is not necessar-
56 ily a problem, as science might be able to self-correct even in the presence
57 of publication bias (Bruner and Holman, 2019). Indeed, some even claim
58 that abolishing publication bias entirely would be detrimental to scientific
59 progress (de Winter and Happee, 2013).

60 Central to both camps in this debate is the effect that publication bias
61 might have on the publication record: if publication bias harms science, it
62 is because it skews the publication record. However, we argue in this paper
63 that there is another mechanism by dint of which publication bias might
64 be detrimental to science. To do so, we analyze a series of mathematical
65 models loosely inspired by Romero (2018, 2020). Our models represent a

66 scientific community consisting of discovery researchers who test novel hy-
67 potheses, and confirmation researchers who test known hypotheses. Follow-
68 ing Zollman (2010), Holman and Bruner (2017), and O’Connor (2019), our
69 models assume that the population undergoes evolution by cultural selec-
70 tion. Research practices from successful researchers are therefore more likely
71 to spread, as others are more likely to adopt research practices of successful
72 researchers.

73 Equilibrium and stability analysis yields a series of interesting results.
74 First, we show that discovery and confirmation researchers can coexist in
75 a population. This is so if researchers experience the effect of a competi-
76 tive research environment, where resources for conducting research (funding,
77 jobs, publishing opportunities, etc.) are limited and thus rewards are higher
78 if there are fewer researchers of a given type in the community. Second,
79 we show that a population of discovery and confirmation researchers cannot
80 resist invasion by researchers who mix discovery and confirmation research.
81 Finally, we explicitly consider the effect of publication bias on the population
82 and propose a novel mechanism by dint of which reducing publication bias
83 against negative results might benefit science. Reducing publication bias in-
84 creases the relative incentive for confirmation research. Reducing publication
85 bias can therefore increase the share of researchers who conduct replications,
86 boosting replicability independently of the effect that publication bias has
87 on the publication record.

88 The paper proceeds as follow. In Section 2, we describe and justify the
89 model framework we use to evaluate this proposal. Building on this frame-
90 work, we present a series of increasingly complex models in Sections 3, 4, 5,
91 and 6. Analytical results show that discovery and confirmation researchers
92 can coexist in a population provided that they experience the effect of a
93 competitive research environment. Results also show that a population of
94 discovery and confirmation researchers cannot resist invasion by researchers
95 who mix between the two types of research. Surprisingly, results further
96 show that reducing publication bias against negative findings can increase
97 the share of confirmation researchers. In Section 7, we discuss the signif-
98 icance of these findings and suggest that promoting pre-registrations and
99 pre-registered reports might be an efficient way to increase replicability. We
100 conclude in Section 8 by noting some limitations of our approach.

101 2 The Credit Economy: A Framework

102 Models of the credit economy of science have become a common fixture in
103 philosophy of science and social epistemology—for landmark papers and re-
104 cent discussions, see Kitcher (1990), Strevens (2003), Weisberg and Muldoon
105 (2009), Bruner (2013), Bright (2017), Zollman (2018), and Heesen (2019).
106 There is also a long tradition in economics of building similar models—for
107 examples, see Partha and David (1994) and Stephan (1996). A central as-
108 sumption of these models is that scientists pursue not only epistemic goods,
109 but also non-epistemic ones, such as credit (Merton, 1957, 1973). Credit in
110 science comes in many different forms. But it usually includes the reputa-
111 tion, social status, awards, or number of citations that scientists receive for
112 their research.

113 In keeping with such models, our framework assumes that scientists pur-
114 sue credit when conducting research. Following Smaldino and McElreath
115 (2016), O’Connor (2019), and Stewart and Plotkin (2021), we also suppose
116 that scientists conduct research by testing hypotheses. If a test indicates
117 that the hypothesis is true, we say that the result is positive; otherwise, we
118 say the result is negative. Upon publishing a test result, the scientist receives
119 some credit for their work.

120 Another assumption central to our framework is that the scientific com-
121 munity undergoes cultural evolution—for a similar approach, see also Zoll-
122 man (2010), Holman and Bruner (2017), and O’Connor (2019). This means
123 that we represent the scientific community as a population of scientists en-
124 gaging in different research projects and that scientists choose what type of
125 research to conduct by copying others. The choice of whom to copy is made
126 on the basis of credit: not only are scientists more likely to copy colleagues
127 with a high social status, but high-status scientists are also more likely to
128 recruit and train students in research practices that yield more credit. In
129 this way, the research profile of a scientific community can change over time.

130 Bringing together these assumptions, we let a scientific community change
131 according to the following expression:

$$\dot{x}_i = x_i(w_i - \bar{w}) \tag{1}$$

132 where x_i represents the frequency of scientists conducting research of type i ,
133 \dot{x}_i represents the instantaneous rate of change in the frequency of scientists
134 of that type, \bar{w} is the mean value of w_i over all types i , and w_i is a function

135 of the credit c_i that a scientist of type i receives for their research. These
136 equations describe the replicator dynamics in an infinite population (Taylor
137 and Jonker, 1978; Sandholm, 2010). An infinite-population model might be
138 a good representation of large populations, such as modern-day scientific
139 communities, but it is a well-known fact that the dynamics of an infinite
140 population need not coincide with that of a finite population. In any case,
141 w_i represents the “cultural fitness” of the corresponding type. Here, cultural
142 fitness measures how likely it is that a researcher of a certain type will give
143 rise to researchers of the same type—either because a colleague chooses to
144 imitate them, or because they train a student in the research practice of their
145 choice.

146 In the next few sections, we build on this simple framework by introducing
147 a series of increasingly complex models. These models represent important
148 features of scientific communities, such as a competitive research environ-
149 ment and the advantage of opportunistic research. We analyze these models
150 by first probing for their equilibrium states—that is, states of the population
151 that are not subject to change. We then ask which of the available equi-
152 librium states are stable in the sense that the population returns to that
153 state after a small perturbation. Equilibrium and stability properties there-
154 fore tell us how a scientific community would change its composition under
155 different initial conditions. This is important because the composition of a
156 scientific community can play a role in replicability: when few scientists con-
157 duct confirmation research, few bother to check previous findings so there is
158 little incentive for others to conduct high-quality research. Knowing what
159 factors affect the composition of a scientific community can therefore help us
160 understand what drives the replicability crisis.

161 **3 Discovery and Confirmation Research**

162 In keeping with the assumption that scientists conduct research by test-
163 ing hypotheses (Smaldino and McElreath, 2016; O’Connor, 2019; Stewart
164 and Plotkin, 2021), we start out by supposing that there are two types of
165 researchers in the scientific community: discovery researchers, and confir-
166 mation researchers. Discovery researchers test novel hypotheses (hypothe-
167 ses that have never been tested before), while confirmation researchers test
168 known hypotheses (hypotheses that have already been tested at least once).

169 Of course, neither type of researcher knows for a fact whether the hy-

170 hypotheses that they test are true or false. All they have to go by are the test
 171 results that they obtain from their research. Given ample evidence of sys-
 172 tematic bias in the publication record (Dwan et al., 2008, 2013), we assume
 173 that discovery researchers publish a test result only if it leads to a novel
 174 finding—that is, only if the result is positive (we relax this assumption later
 175 on). Confirmation researchers publish a test result whether it is positive or
 176 negative, as there are less incentives for only publishing positive results when
 177 replicating previous work.

178 Next, we let c_N represent the credit that a discovery researcher receives
 179 for publishing a result that leads to a novel finding. Similarly, we let c_R be the
 180 credit that a confirmation researcher receives for publishing a result—whether
 181 it is a positive result that confirms a previous finding or negative result
 182 that disconfirms it. We further assume that novel findings yield more credit
 183 per publication than replications, with $c_N > c_R$. This is because scientists
 184 generally prefer to publish a new discovery than a replication confirming or
 185 disconfirming a previously known result. At the same time, we suppose that
 186 discovery researchers publish at a lower rate than confirmation researchers.
 187 One reason for this is that confirmation researchers publish both positive
 188 and negative results, while discovery researchers only publish positive results.
 189 Another reason is that new discoveries are typically harder to come by than
 190 replications. We therefore let p_N be the rate with which discovery researchers
 191 publish novel findings and p_R the rate with which confirmation researchers
 192 publish replication studies, with $p_R > p_N$.

193 Expressing these assumptions in the framework of the replicator dynam-
 194 ics, the instantaneous rate of change in the frequency of discovery and con-
 195 firmation researchers is given by:

$$\dot{x}_N = x_N(w_N - \bar{w}) \tag{2a}$$

$$\dot{x}_R = x_R(w_R - \bar{w}) \tag{2b}$$

197 where x_N and x_R represent the frequency of discovery and confirmation re-
 198 searchers, \dot{x}_N and \dot{x}_R represent the instantaneous rate of change in the fre-
 199 quency of these researcher types, w_N and w_R are the fitness functions of the
 200 corresponding researcher type, and \bar{w} is the mean fitness of the population.
 201 For now, we let w_N and w_R be simply given by $w_N = c_N \cdot p_N$ and $w_R = c_R \cdot p_R$.
 202 Since we are considering a population composed entirely of discovery and
 203 confirmation researchers, the mean fitness is $\bar{w} = x_N \cdot w_N + x_R \cdot w_R$.

204 This is our simplest model. We analyze it by studying its equilibrium
 205 properties. Since $x_N = 1 - x_R$, it suffices to track the frequency of one
 206 researcher type. To find the equilibrium states, we determine the frequency
 207 of confirmation researchers when their frequency does not change. This can
 208 be done by setting $\dot{x}_R = 0$ and solving for x_R , which gives multiple solutions.
 209 For example, trivial solutions exist when $x_R^* = 1$ and $x_N^* = 0$ or, similarly,
 210 when $x_R^* = 0$ and $x_N^* = 1$. In both cases, the equilibrium state correspond
 211 to a state of the population in which only one type of researcher is able to
 212 persist (Figure 1).

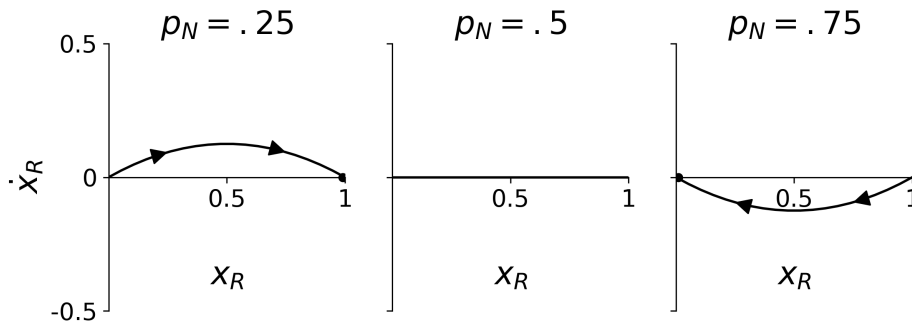


Figure 1: **Equilibria in a population of discovery and confirmation researchers.** Discovery and confirmation researchers cannot coexist at equilibrium. *Left:* when the publication rate for discovery researchers (p_N) is low relative to the publication rate for confirmation researchers, the population state with all confirmation researchers is the only stable equilibrium. *Center:* when the publication rate for discovery researchers is intermediate, any state is an equilibrium provided the population is indefinitely large (but not when the population is finite). *Right:* when the publication rate for discovery researchers is high, the state with all discovery researchers is the only stable equilibrium. Arrows indicate selection gradient; circles indicate equilibria. Shown are results for $c_N = 2$, $c_R = 1$, and $p_R = 1$.

213 Depending on fitness, both equilibria can be stable. When $w_R > w_N$, the
 214 dynamics carries the population to the equilibrium with $x_R^* = 1$. In this case,
 215 $\dot{x}_R > 0$ for all values of x_N so that only confirmation researchers persist in the
 216 population. If a small number of discovery researchers enters the population,
 217 they are eventually driven to extinction due to the lower amount of credit that
 218 they receive. When instead $w_N > w_R$, the dynamics carries the population

219 to the equilibrium with $x_R^* = 0$. In this case, only discovery researchers
220 persist in the population. If we perturb the population by adding a few
221 confirmation researchers, the lower amount of credit that they receive ensures
222 that discovery researchers eventually outcompete confirmation researchers.

223 There is also a set of non-trivial solutions when $w_N = w_R$. In this case,
224 discovery and confirmation researchers receive the same amount of credit so
225 that selection cannot differentiate between them. In large communities that
226 approximate the infinite population described by the replicator equations,
227 this means that the frequency of both researcher types does not change:
228 any value of x_N^* or x_R^* and thus any composition of the population is at
229 equilibrium.

230 4 Academic Competition

231 We now assume that researchers experience the effect of negative frequency
232 dependence. Negative frequency dependence means that selection for a par-
233 ticular type is inversely proportional to the frequency of that type in the
234 population. In biology, it has long been known that selection for a partic-
235 ular type can decrease with the frequency of that type in the population
236 (Allen and Clarke, 1984; Brisson, 2018). In our model, negative frequency
237 dependence means that the more researchers of a given type there are, the
238 lower the fitness of an individual researcher of that type. Negative frequency
239 dependence is a plausible assumption in the highly competitive research en-
240 vironment of modern-day science: scientists must often compete for limited
241 resources, such as funding, academic positions, and slots in journals and con-
242 ferences (Kerr, 1995; Cyranoski et al., 2011; Schillebeeckx et al., 2013; Powell,
243 2015). Having more scientists conduct research of a certain type therefore de-
244 creases the amount of credit that individual scientists conducting that same
245 type of research can receive.

246 There are of course multiple ways to represent negative frequency depen-
247 dence. For simplicity, we assume that w_N and w_R decrease in direct propor-
248 tion to the frequency of the corresponding researcher type. In particular, we
249 let the fitness of both researcher types take the following form:

$$w_N = \frac{c_N \cdot p_N}{x_N} \tag{3a}$$

250

$$w_R = \frac{c_R \cdot p_R}{x_R} \tag{3b}$$

251 where the fitness of a type is high when the type is rare and low when it is
 252 common.

253 To consider the effect of negative frequency dependence in a population
 254 of discovery and confirmation researchers, we substitute expressions (3) for
 255 the corresponding terms in the replicator equations given by (2). This yields:

$$\dot{x}_N = x_N \left(\frac{c_N \cdot p_N}{x_N} - \bar{w} \right) \quad (4a)$$

256

$$\dot{x}_R = x_R \left(\frac{c_R \cdot p_R}{x_R} - \bar{w} \right) \quad (4b)$$

257 where the mean fitness of the population is defined as before but now sim-
 258 plifies to $\bar{w} = c_N \cdot p_N + c_R \cdot p_R$. So when researchers of a given type are
 259 rare in the population, their fitness goes up; when they are common, their
 260 fitness goes down. Negative frequency dependence therefore represents a low-
 261 rationality analog of rationally choosing the less frequency strategy when the
 262 less frequent strategy yields a higher payoff.

263 Again, it is enough to track the frequency of one researcher type since
 264 $x_N = 1 - x_R$. The equilibrium states are found by setting $\dot{x}_R = 0$ and
 265 solving for x_R . As before, there are two values that trivially satisfy this
 266 equality: $x_R^* = 1$, and $x_R^* = 0$. In both equilibria, discovery and confirma-
 267 tion researchers do not coexist in the population. But now these equilibria
 268 are not stable. If, say, a small number of confirmation researchers were to
 269 invade a population of discovery researchers, the invading confirmation re-
 270 searchers would face little competition from researchers of the same type.
 271 Initially, confirmation researchers would therefore receive a larger amount of
 272 credit than discovery researchers. The same is true if a small number of dis-
 273 covery researchers were to invade a population of confirmation researchers:
 274 initially, discovery researchers would receive more credit than confirmation
 275 researchers. With negative frequency dependence, there is always an advan-
 276 tage in being the less common researcher type.

277 There is also a non-trivial equilibrium. In this case, the equilibrium fre-
 278 quency of confirmation researchers is given by:

$$x_R^* = 1 - \frac{c_N \cdot p_N}{c_N \cdot p_N + c_R \cdot p_R} \quad (5)$$

279 where $x_N^* = 1 - x_R^*$. This non-trivial equilibrium is stable. When there
 280 are more discovery researchers than the equilibrium frequency, they have

281 lower fitness than confirmation researchers; when there are fewer discovery
 282 researchers than the equilibrium frequency, they have higher fitness than
 283 confirmation researchers. In either case, there is an advantage in being the
 284 type with a frequency below what the equilibrium can sustain. This works
 285 against whatever researcher type is overabundant, which restores the equi-
 286 librium (Figure 2).

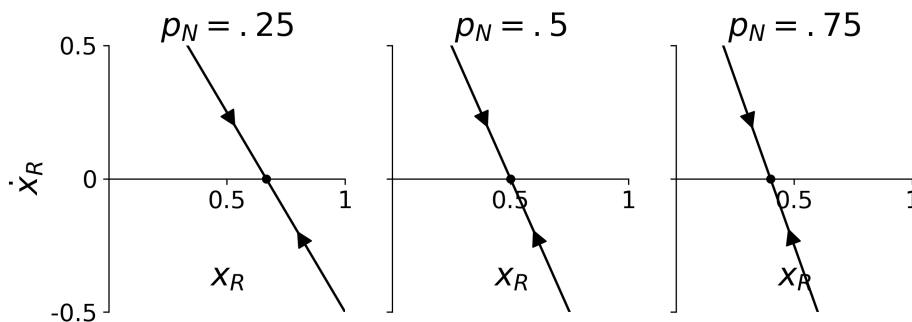


Figure 2: **Equilibria in a population of discovery and confirmation researchers with academic competition.** With negative frequency dependence, discovery and confirmation researchers can coexist at equilibrium. *Left:* when the publication rate for discovery researchers (p_N) is low, there are more confirmation than discovery researchers at equilibrium. *Center:* when the publication rate for discovery researchers is intermediate, there are as many confirmation as discovery researchers at equilibrium. *Right:* when the publication rate for discovery researchers is high, there are more discovery than confirmation researchers at equilibrium. Arrows indicate selection gradient; circles indicate equilibria given by equation (5). Shown are results for $c_N = 2$, $c_R = 1$, and $p_R = 1$.

287 When discovery and confirmation researchers experience the effect of neg-
 288 ative frequency dependence, both researcher types can therefore coexist indef-
 289 initely in the population. At equilibrium, the exact distribution of discovery
 290 and confirmation researchers depends on the values of w_N and w_R . When
 291 $w_N = w_R$, discovery and confirmation researchers coexist in the population
 292 at equal frequencies since $x_R^* = 0.5$. When $w_R > w_N$, both types of re-
 293 searchers coexist in the population but confirmation researchers outnumber
 294 discovery researchers ($x_R^* > x_N^*$). And when $w_N > w_R$, both researcher types
 295 again coexist but discovery researchers outnumber confirmation researchers

296 $(x_N^* > x_R^*)$.

297 If we suppose that our models represent a polymorphic population of pure
298 strategists, these results hold only under the assumption that researchers can-
299 not conduct both types of research. This assumption is very stringent. It
300 requires confirmation researchers to be incapable of ever making new discov-
301 eries and discovery researcher to never do any confirmatory work. In the
302 next section, we relax this assumption.

303 5 Opportunistic Research

304 In this version of the model, we introduce an opportunistic researcher type.
305 Researchers of this type are opportunistic in that they mix the behaviors of
306 discovery and confirmation researchers. Accordingly, we let m be the proba-
307 bility that mixed-type researchers conduct discovery research, and $1 - m$ be
308 the probability that they conduct confirmatory research. Otherwise, mixed-
309 type researchers behave as other researcher types: they receive c_N when
310 publishing a novel finding at rate p_N , and they receive c_R when publishing
311 the result of a replication at rate p_R .

312 Researchers continue to experience the effect of negative frequency depen-
313 dence. The fitness of each researcher type therefore depends on the frequency
314 of that type in the population. However, the frequency of the mixed-type
315 researcher now affects the fitness of each pure-type researcher differently. In
316 particular, the fitness of the three researcher types is now given by:

$$w_N = \frac{c_N \cdot p_N}{m \cdot x_M + x_N} \quad (6a)$$

$$w_R = \frac{c_R \cdot p_R}{(1 - m)x_M + x_R} \quad (6b)$$

$$w_M = m \cdot w_N + (1 - m)w_R \quad (6c)$$

319 where w_M represents the fitness of mixed-type researchers and x_M is the
320 frequency of that type. The fitness of all three researcher types therefore
321 depends on the value of m .

322 Using the same framework as before, we substitute expressions (6) for
323 the corresponding terms in the replicator equations (2). The dynamics of
324 the population is now given by:

$$\dot{x}_N = x_N \left(\frac{c_N \cdot p_N}{m \cdot x_M + x_N} - \bar{w} \right) \quad (7a)$$

$$\dot{x}_R = x_R \left(\frac{c_R \cdot p_R}{(1-m) \cdot x_M + x_R} - \bar{w} \right) \quad (7b)$$

$$\dot{x}_M = x_M \left(m \cdot \frac{c_N \cdot p_N}{m \cdot x_M + x_N} + (1-m) \cdot \frac{c_R \cdot p_R}{(1-m) \cdot x_M + x_R} - \bar{w} \right) \quad (7c)$$

where \dot{x}_M represents the rate of change in the frequency of mixed-type researchers. Although the mean fitness now includes terms for the three researcher types, notice that terms cancel out so that $\bar{w} = c_N \cdot p_N + c_R \cdot p_R$.

As the population is now composed of three researcher types, we find the equilibrium states by setting $\dot{x}_R = 0$ and $\dot{x}_N = 0$ and solving for x_R and x_N simultaneously. An equilibrium state is thus any pair of values x_R^* and x_N^* that solves both equations, with $x_M^* = 1 - x_N^* - x_R^*$. Solving for x_R^* and x_N^* , we find a line of equilibria given by:

$$x_R^* = \frac{\bar{w}(m(1-x_N^*) + x_N^*) - c_N \cdot p_N}{m\bar{w}} \quad (8)$$

where x_N^* can take any value in the unit interval. Any point in the interior of this line represents a population consisting of not only discovery and confirmation researchers, but also mixed-type researchers. In any such equilibrium, there is no strict division of replication labor: some scientists conduct discovery or confirmation research exclusively, but others conduct both types of research (Figure 3).

There are trivial equilibria too: one equilibrium when $x_R^* = 1$, another when $x_R^* = 0$, and yet another when $x_M^* = 1$. These trivial equilibria differ with respect to their stability. The equilibrium where there are only confirmation researchers ($x_R^* = 1$) is unstable. A small number of discovery researchers can invade because they enjoy the advantage of being rare. For the same reason, mixed-type researchers can invade as well: by choosing a sufficiently high value of m , they reap the benefit of conducting the less frequent type of research. The equilibrium with only discovery researchers ($x_R^* = 0$) is likewise unstable. A few confirmation researchers can invade a population of discovery researchers, as confirmation researchers enjoy the advantage of being the less common type. Mixed-type researchers can also invade, as they receive the benefit of the less frequent research type if they

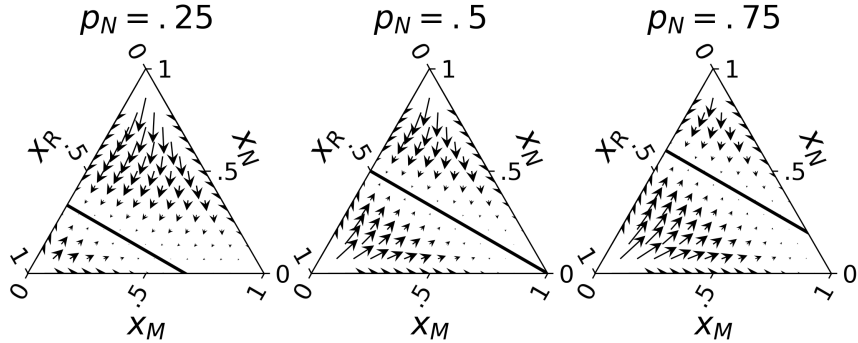


Figure 3: **Equilibria in a population with academic competition and opportunistic researchers.** With negative frequency dependence, discovery, confirmation, and opportunistic researchers can coexist at equilibrium. *Left:* when the publication rate for discovery researchers (p_N) is low, the equilibria sustain more researchers doing confirmation than discovery research. *Center:* when the publication rate for discovery researchers is intermediate, the equilibria sustain the same fraction of researchers doing confirmation as discovery research. *Right:* when the publication rate for discovery researchers is high, the equilibria sustain more researchers doing discovery than confirmation research. Arrows indicate selection gradient; lines indicate equilibrium states given by equation (8). Shown are results for $c_N = 2$, $c_R = 1$, $p_R = 1$, and $m = 0.5$.

353 choose an appropriately low value of m . Although there is a strict division
 354 of replication labor in these trivial equilibria, the equilibria are unstable.

355 But the equilibrium with only mixed-type researchers ($x_M^* = 1$) is neu-
 356 trally stable. In this case, the population falls on the equilibrium line given
 357 by equation (8). If the population is shaken out of equilibrium along this
 358 line, the population is taken to another equilibrium state on the same line.
 359 For example, if a small portion of discovery and confirmation researchers
 360 were to enter a population of mixed-type researchers, the invaders might
 361 be able to persist depending on the exact ratio of discovery and confirma-
 362 tion researchers that arrive. Yet, the mixed-type researchers would do no
 363 worse than the invaders. Pure and mixed types would therefore coexist in a
 364 heterogeneous population.

365 6 Publication bias

366 This last model considers the effect of publication bias. Publication bias oc-
367 curs when the result of a study—rather than its theoretical or methodological
368 quality—biases the decision to publish it (Rothstein et al., 2006; Song et al.,
369 2010). There is ample evidence for publication bias against negative results
370 across scientific disciplines (Dwan et al., 2008, 2013). In the context of hy-
371 pothesis testing, a positive result corresponds to rejecting the null hypothesis
372 in favor of an alternative hypothesis of interest. Informally, a positive result
373 thus corresponds to a new discovery. A negative result, on the other hand,
374 corresponds to failing to reject the null hypothesis and the absence of a new
375 discovery. Publication bias against negative results can therefore occur if
376 journals prefer to publish positive over negative findings, or if scientists an-
377 ticipate such a bias and are thus more likely to submit positive results for
378 publication than negative results (Franco et al., 2014).

379 Whether the underlying mechanism for publication bias is due to jour-
380 nals’ editorial preferences or authors’ response to such preferences, we can
381 model publication bias by supposing that scientists have different incentives
382 to publish positive versus negative results and that scientists therefore pub-
383 lish positive and negative results at different rates. Accordingly, we assume
384 that discovery researchers receive different amounts of credit for publishing
385 positive and negative results. In particular, we let c_N^+ be the credit that a
386 researcher receives for publishing a positive result and c_N^- be the credit that
387 they receive for publishing a negative result, with $c_N^+ > c_N^-$. We can now
388 explicitly represent the strength of bias for positive over negative results.
389 For simplicity, we do so by letting b represent the share of publications that
390 report a positive result, with $1 - b$ corresponding to the share of publications
391 that report a negative result. The parameter b therefore ranges from 0 if
392 only negative results are published to 1 if only positive results are published.

393 As before, p_N is the publication rate for discovery researchers. With-
394 out the effect of negative frequency dependence, the credit that discovery
395 researchers receive is $p_N (b \cdot c_N^+ + (1 - b) \cdot c_N^-)$. The assumption of no fre-
396 quency dependence is unrealistic in a competitive research environment, so
397 we ignore this case. When there is negative frequency dependence, the credit
398 that discovery researchers receive in a population consisting entirely of dis-
399 covery and confirmation researchers is now given by:

$$w_N = \frac{p_N (b \cdot c_N^+ + (1 - b) \cdot c_N^-)}{x_N} \quad (9)$$

400 where we assume no publication bias for confirmation researchers and the
 401 credit that confirmation researchers receive is thus $w_R = p_R \cdot c_R$, as before.

402 We now consider how publication bias alters the population dynamics
 403 with negative frequency dependence and pure types. To do so, recall that
 404 the corresponding population dynamics is given by equations (4). To find
 405 the equilibrium frequency of discovery researchers, we therefore substitute
 406 expression (9) into equations (4). Setting $\dot{x}_R = 0$ and solving for x_R yields:

$$x_R^* = 1 - \frac{p_N (b \cdot c_N^+ + (1 - b) c_N^-)}{p_N (b \cdot c_N^+ + (1 - b) c_N^-) + c_R \cdot p_R} \quad (10)$$

407 where x_N^* is the equilibrium frequency of confirmation researchers and $x_N^* =$
 408 $1 - x_R^*$, as before. This equation is decreasing in b provided that $c_N^+ > c_N^-$.
 409 The equilibrium frequency of confirmation researchers, x_R^* , is thus always
 410 decreasing in b as long as discovery researchers receive more credit for positive
 411 than negative results. In a population of pure types and negative frequency
 412 dependence, publication bias against negative results therefore decreases the
 413 share of confirmation researchers at equilibrium (Figure 4).

414 Next, we consider how publication bias alters the population dynamics
 415 with negative frequency dependence and mixed-type researchers. The credit
 416 that discovery researchers receive in this case is given by:

$$w_N = \frac{p_N (b \cdot c_N^+ + (1 - b) c_N^-)}{m \cdot x_M + x_N} \quad (11)$$

417 where the credit for mixed-type researchers is $w_M = m \cdot w_N + (1 - m)w_R$.

418 In this case, equations (7) describe the population dynamics. To find the
 419 equilibrium states, we therefore substitute expression (11) into equations (7).
 420 Setting $\dot{x}_N = 0$ and $\dot{x}_R = 0$ and solving for x_N and x_R , this yields:

$$x_R^* = \frac{\bar{w} (m(1 - x_N^*) + x_N^*) - p_N (b \cdot c_N^+ + (1 - b) c_N^-)}{m\bar{w}} \quad (12)$$

421 where x_N^* can again take any value in the unit interval. Under the assumption
 422 that $c_N^+ > c_N^-$, this expression is also decreasing in b . So x_R^* is decreasing in b
 423 whenever discovery researchers receive more credit for a positive result than
 424 for a negative result. In a population of pure and mixed types with negative

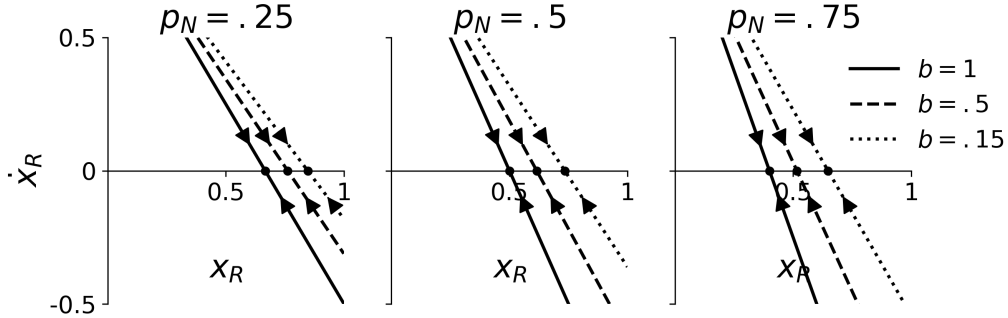


Figure 4: **Equilibria in a population of discovery and confirmation researchers with academic competition and varying levels of publication bias.** Decreasing publication bias (b) increases the share of confirmation researchers at equilibrium (*solid lines*: $b = 1$; *dashed lines*: $b = 0.5$; and *dotted lines*: $b = 0.15$) for different publication rates (p_N) among discovery researchers (*left, center, and right panels*). Arrows indicate selection gradient; circles indicate equilibria given by equation (10). Shown are results for $c_N^+ = 2$, $c_N^- = 0.5$, $c_R = 1$, and $p_R = 1$.

425 frequency dependence, publication bias against negative results again reduces
 426 the share of confirmation researchers at equilibrium (Figure 5).

427 In summary, increasing publication bias against negative results decreases
 428 the share of confirmation researchers at equilibrium. Equivalently, reducing
 429 publication bias against negative results increases the share of confirmation
 430 researchers at equilibrium. Intuitively, this is because reducing publication
 431 bias has an equalizing effect on the amount of credit that researchers of
 432 different types receive. Thus, reducing publication bias makes it easier for
 433 confirmation research to thrive when the credit economy would otherwise
 434 favor discovery research.

435 7 Discussion

436 In a series of increasingly complex models, we show that discovery and confir-
 437 mation researchers can coexist in a population. This is possible if researchers
 438 experience the effect of a competitive research environment, where the credit
 439 that a scientist receives for their research depends on how many other scien-
 440 tists conduct research of the same type. We then show that a population of

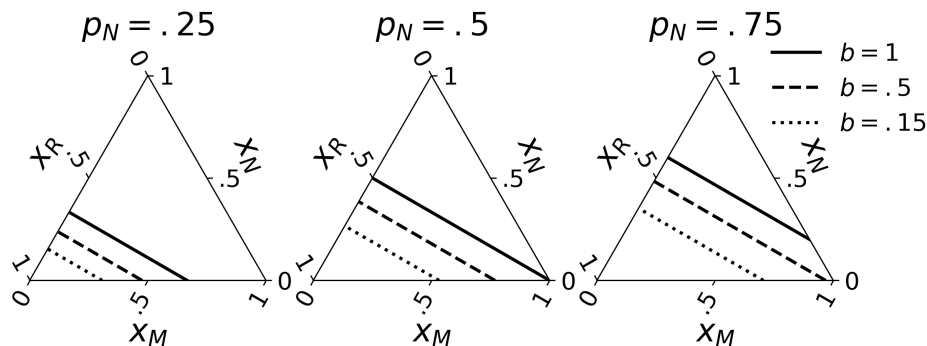


Figure 5: **Equilibria in a population with academic competition, opportunistic researchers, and varying levels of publication bias.** Decreasing publication bias (b) increases the share of researchers doing confirmation work at equilibrium (*solid lines*: $b = 1$; *dashed lines*: $b = 0.5$; and *dotted lines*: $b = 0.15$) for different publication rates (p_N) among those conducting discovery research (*left, center, and right panels*). Lines indicate equilibria given by equation (12); for clarity, selection gradient is not shown. Shown are results for $c_N^+ = 2$, $c_N^- = 0.5$, $c_R = 1$, $p_R = 1$, and $m = 0.5$.

441 discovery and confirmation researchers cannot resist invasion by researchers
 442 who mix discovery and confirmation research. Finally, we show that reducing
 443 publication bias against negative results increases the share of confirmation
 444 research at equilibrium.

445 Our results therefore suggest that mechanisms to reduce publication bias—
 446 for example, pre-registrations and registered reports—might be beneficial
 447 to science regardless of the effect that publication bias has on the pub-
 448 lication record. Pre-registrations reduce publication bias against negative
 449 results by ensuring that researchers commit to a study design before know-
 450 ing their results. When coupled with registered reports in which a study’s
 451 methods are pre-registered and peer-reviewed before research is carried out,
 452 pre-registrations can also reduce publication bias by committing journals
 453 to publish a study whether results are positive or negative. That is, pre-
 454 registrations and registered reports reduce publication bias against negative
 455 results by decreasing the share of positive findings that discovery researchers
 456 publish (Wagenmakers et al., 2012; Nosek et al., 2018, 2019).

457 Independently of the effect that this may have on the publication record,
 458 reducing publication bias levels the difference in credit that discovery and

459 confirmation researchers receive—especially when making discoveries is at
460 a premium. With a smaller credit gap between discovery and confirmation
461 research, the relative incentive to conduct replications goes up at the same
462 time that the relative incentive to make discoveries goes down. By reduc-
463 ing publication bias, pre-registrations and registered reports therefore make
464 scientists less averse to confirmatory work. This increases the share of con-
465 firmation researchers in the population, meaning that more scientists end up
466 conducting replications and thus that there is additional pressure for others
467 to conduct high-quality and reproducible research.

468 It is also worth emphasizing that reducing publication bias would not
469 involve a large overhaul of existing methodological practices or the reward
470 system of science. In fact, publishers can easily reduce publication bias by
471 creating special tracks for registered reports, as many already do. Researchers
472 should welcome the option to publish registered reports, as they are then
473 guaranteed to publish their work no matter what results they obtain. Regis-
474 tered reports also seem to increase research quality (Soderberg et al., 2021),
475 so journal editors should be eager to embrace the practice. For the same
476 reason, science consumers and funding agencies should prefer research that
477 comes out as registered reports. Reducing publication bias against negative
478 results might therefore provide an effective response to the replicability crisis.

479 **8 Conclusion**

480 How should we respond to the replicability crisis? In this paper, we introduce
481 and analyze a formal framework to address this question. Our framework al-
482 lows us to describe a novel mechanism by means of which reducing publica-
483 tion bias—via pre-registrations and pre-registered reports—benefits science
484 regardless of the effect that publication bias has on the publication record.
485 In particular, we show that reducing publication bias against negative re-
486 sults increases the share of confirmation research at equilibrium. Reducing
487 publication bias may therefore boost replicability if incentives for scientists
488 to conduct high-quality research are higher when other scientists attempt to
489 replicate previous results.

490 It is important to discuss some limitations of our approach, however.
491 First, we restrict our attention to the distinction between discovery and con-
492 firmation research. Although science consists in a complex tangle of multi-
493 farious practices, it is helpful to focus on the distinction between these two

494 types of research (Brandon, 1994; Steinle, 1997). This is because a closer
495 look at the empirical evidence reveals that scientists do engage in discovery
496 and confirmatory practices across disciplines (Franklin, 2005; Sakaluk, 2016).
497 Our models therefore capture a crucial feature of scientific practice, even if
498 other aspects—e.g. the distinction between manipulation and observation,
499 among others—play an important role as well.

500 Second, we assume that scientists can conduct both discovery and con-
501 firmation research without incurring any cost for switching from one type
502 of research to another. This is because meta-scientific work shows that sci-
503 entists do in fact switch from one type of research to another, even though
504 the quality of confirmatory research may go down when scientists attempt
505 to confirm their own discoveries (Makel et al., 2012; Kunert, 2016). It is
506 therefore a realistic feature of our models that the cost of switching from one
507 type of research to another would have to be extremely high for scientists
508 to not ever conduct both types of research. But it would be interesting to
509 explicitly consider such a cost in further extensions of our model, as well as
510 the possibility that scientists can spontaneously try out a different type of
511 research.

512 More generally, it is important to highlight that the modeling approach
513 we take here provides a “how-possibly” explanation (Dray, 1957; Grüne-
514 Yanoff, 2013). That is, our models are silent on whether mechanisms that re-
515 duce publication bias—e.g. pre-registrations and registered reports—actually
516 boost the share of confirmation research in real-world scientific communities
517 and whether such mechanisms do in fact promote the use of high-quality
518 research practices. Rather, our models allow us to isolate a mechanism that
519 may or may not be instantiated in the real world. Although we believe that
520 isolating this mechanism is a valuable endeavor on its own rights, it would be
521 important to draw on the available empirical evidence and ultimately check
522 whether such a mechanism operates in the real world—for example, by com-
523 paring the share of replications or other forms of confirmation research that
524 journals with and without registered reports end up publishing. We leave
525 treatment of this question for future work.

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