1 What is 'Natural' about Naturalistic Neuroscience?

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4 SUMMARY:

5 A growing number of neuroscientific articles now discuss the revolutionary tools and techniques of 6 naturalistic behavioral studies. Falling under the umbrella of as 'naturalistic neuroscience', these studies 7 aim to impart the precision and control of traditional behavioral experiments while also documenting 8 'real-world' animal behavior. The present study examines the tools and techniques used in these studies 9 from both a theoretical and modeling perspective. Results of this paper demonstrate the contradictions 10 generated by 'naturalistic' empirical manipulations, as well as cases where the process of experimental 11 comparability is hindered. I show that focusing on competing experimental aims more closely aligns 12 experimental outcomes compared to the coarse-grained use of the 'naturalistic' concept, which instead 13 oversimplifies the complexity of methodological drivers in behavioral neuroscience. From this analysis, I 14 recommend the use of a revised framework that imparts greater transparency in the experimental aims of 15 researchers studying animal behavior. 16

17 Introduction

18 A central challenge for Neuroscience has been understanding how nervous systems flexibly and reliably 19 generate complex behaviors. How does an animal distinguish a benign encounter from a threat? How is 20 irrelevant information ignored to satisfy its needs? Since the days of Pavlov's salivating dogs or Skinner's 21 bar pressing rats, behavioral neuroscientists have constructed highly constrained lab paradigms to study 22 how experience modifies relatively simple behaviors. These behaviors give scientists the benefit of 23 precision and control: by manipulating the temporal relations between stimulus and response, neural 24 activity can be directly tied to the behavior. However, these behaviors are also seen as highly contrived in 25 the sense that there are no levers or bells in the habitats in which rats' and dogs' brains evolved, which

26 presumably shaped the neural circuits that generate most behaviors.

27 In parallel to simplified behaviors, traditional approaches in behavioral neuroscience have 28 focused on animals with simpler nervous systems. For example, the nematode, Caenorhabditis elegans with 29 a total of 302 neurons, and the sea slug, Aplysia californica, whose nervous system consists of a series of 30 neuronal clusters (ganglia) containing specific neurons identifiable from one slug to the next, were pressed 31 into service to dissect the complicated processes of learning and memory. Experiments using these 32 simplifications in behavior and in the nervous system - what allowed ready access to genetic manipulations - have long been viewed as essential for meeting the challenge of explaining behavioral complexity. 34 The ruthless drive towards simplicity has ignored the many complex behaviors that animals exhibit in their 35 native environments – behaviors shaped evolutionarily by natural and sexual selection acting on 36 development and mature function of CNS neural circuits (Olton and Samuelson 1976; Morris 1981; Miller 37 et al., 2020). By restricting behavioral expression, simplified experiments can generate experimental 38 artifacts and also ignore key individual differences between animals. Neuronal responses can differ when 39 animals are studied outside of artificial lab conditions (Polley et al. 2004), overtraining animals in fixed

40 conditions affects plasticity (Jahangiri et al. 2019), animals respond differently to artificial and natural

- 41 stimuli (Cuthill et al. 2000; Fleishman et al. 1998), and stress can shape behavioral responses (Brandl et al.
- 42 2022, Gouveia and Hurst 2017; Deacon 2006).

43 Traditional, standardized paradigms of operant boxes or associative learning tasks imported into 44 behavioral neuroscience long ago have also been seen as inadequate for providing insights into how animals 45 variably navigate, choose, plan, persevere or give up in their complex natural worlds. Instead, to offer a 46 more ecologically valid study of behavior, scientists use novel methods that still offer precision and control 47 for measuring an animal's activity, but now with the added sensitivity of study animal behavior without 48 traditional methods of restraint. This movement, known as 'Naturalistic neuroscience', has been described 49 as a 'revolution' in behavioral research (Anderson and Perona 2014). Naturalistic neuroscientific studies 50 characterize animal behavior as they would occur 'in the wild', albeit combined with the control and 51 precision of a standard conditioning experiment which rely on improved tools for measuring behavioral and 52 neural activity (Dennis et al. 2021, Matusz et al. 2019, Hoffmann et al. 2023; Ulanovsky, forthcoming). 53 In its promise to hit a Goldilocks balance between degrees of freedom and experimental control, naturalistic 54 neuroscience distinguishes itself from pre-existing frameworks for behavioral study. This balance promises 55 capturing an animal's 'true' behavior by expanding the dimensionality of testing while maintaining rigorous 56 experimental standards. Of note within these efforts, 'naturalistic neuroscientific' studies embed the 57 assumption that the privileged way to study behavior is to get as close as possible to an animal's true, 58 unaltered behavior.

59 However, the coarse-grained concept of 'naturalistic' oversimplifies the complexity of issues that 60 methodologically drive behavioral neuroscience. 'Naturalistic' acts as a placeholder for different conceptual 61 and technological experimental goals, as well as various experimental traditions that range from the use of 62 ethological to computational theories. By outlining these experimental aims, I provide evidence against the 63 view that there is an epistemically privileged way to study behavior, and I caution future empirical studies 64 from using the term 'naturalistic' to describe their behavioral studies. The present study provides evidence 65 of empirical harm when scientists use the underspecified description of 'naturalistic' behavior, focusing on how experimental contradictions can get generated within behavioral research, as well as cases where the 66 67 process of experimental comparability is stifled.

68 The Many Practices that Make Up Naturalistic Neuroscience

- 69 Naturalistic neuroscientific studies are distinct for achieving the control and precision of traditional behavioral
- 70 experiments while showing sensitivity to animals' complexity of responses. Methods cited in naturalistic
- 71 studies vary widely, ranging recording freely moving and uncaged animals (Mao et al. 2021, Voloh et al.
- 72 2023) to entirely substituting the lab with spaces that mimic natural environments (Yartsev and Ulanovsky
- 73 2013) or doing fieldwork studies where the animal is entirely 'in the wild' (Vallet and Wassenhove 2023).
- 74 Many of these efforts take inspiration from ethology, neuroethology, behavioral ecology, and related fields,

75 where there is a longstanding emphasis on environmental considerations and evolutionary perspectives

76 (Krakauer et al. 2017; Miller et al. 2023; Testard et al. 2021; Datta et al. 2019).

77 At the heart of naturalistic neuroscience are the novel technologies that can now capture individual and

78 collective behaviors of freely moving animals (Berdahl et al. 2013; Soria et al. 2021). These tools are thought

to confer advantages over both highly contrived research, as well as studies that might have studied animal

80 behavior in the wild, but lacked the tools that could still give scientists control.

For example, one important innovation in naturalistic neuroscience has been developing tools that no
 longer confine animal movements when engaging in neural recording. Novel techniques, such as wireless

83 head-mounted optogenetic systems (Qazi et al. 2018, Hashimoto et al. 2014, Montgomery et al. 2015) and

84 ultrathin multifunctional optoelectronic devices (Kim et al. 2013) now allow animals to run around freely

85 without close proximity to the computer that is recording neural activity. Advances in materials science have

86 created more flexible electronics, allowing researchers to use optogenetic constructs with a single implanted

87 device without the need for batteries (McCall et al. 2013); developments in wirelessly rechargeable batteries

88 mean that this information can be recorded for days at a time (Kim et al. 2021). Wirelessly networked

89 microchips allow for neural recording and microstimulation (Lee et al. 2021), and the development of

90 miniature wireless fluorescence microscopes (miniScopes) has allowed researchers to record neural activities

91 of freely moving animals at the resolution of single cells (Zong et al. 2021; Dong et al. 2024). These

92 techniques have even expanded the scope of studies to include both the central and peripheral nervous

93 systems (Park et al. 2015).

94 Behavioral paradigms have evolved in parallel with neural approaches and now include virtual 95 reality environments that test animals' responses to rich sensory stimuli (Brown and de Bivort 2018; Naik et 96 al. 2020). Here too, naturalistic studies benefit from sophisticated technologies, such as improved projectors. 97 Virtual realities and avatars have allowed researchers to better test sophisticated social behaviors or 98 interactions between animals and others (Huang et al. 2020), as well as simple navigation (Jeung et al. 2023). 99 Technological advances also allow for a wider range of experimental subjects including, for examples, small 100 invertebrates, in sophisticated testing conditions (Peckmezian and Taylor 2015, Schultheiss et al. 2017). 101 These new tools also enable novel research using traditional model systems. For example, experimentalists 102 can now do whole brain imaging in freely moving zebrafish (Hasani et al. 2023) and conduct neural 103 recordings in freely moving bats (Ulanovsky and Moss 2007; Yartsev and Ulanovsky 2013). Moreover, new 104 tools have opened possibilities for studying novel and nontraditional animal models that were previously 105 inaccessible to neuroscientific query. Examples include miniature microdrives used to study food caching 106 behavior in freely moving tufted titmice (Payne et al. 2021) and remote monitoring techniques used to 107 document sleep in elephant seals (Kendall-Bar et al. 2023). Labs using these techniques also show signs of the 108 theoretical shifts that emerge from these new tools. For example, neuroscientists increasingly cite

109 incorporating more evolutionary and environmental outlooks in the study of behavior, such as examining

- 110 animals that are unique from a phylogenetic standpoint. Such studies include how animals transitioned from
- 111 aquatic mediums to land (salamanders), or those whose behaviors change dramatically depending on
- 112 environmental demands (shrew, squirrel).
- 113 Framing Naturalistic Neuroscience: True Behavior Without Human Intervention
- 114 The emphasis on objectivity currently frames the framework for naturalistic neuroscience. Naturalistic studies
- are viewed as achieving "true" theories of the brain or helping to identify "real" or "real-life" behaviors in the
- 116 world (Miller et al. 2022, p. 13; Mobbs et al. 2021; Shamay-Tsoory and Mendelsohn, 2019). Studying
- 117 naturalistic behaviors includes studying species in their natural habitats (Vallet and Wassenhove 2023), as
- 118 well as modifying the lab environment to resemble the animal's natural habitat.
- The technological advances of naturalistic neuroscience what sits at the heart of these efforts to capture the truth about behavior – also rest on a promise to objectively measure behavior. One way to do this is to record more information, as it is regularly assumed that greater amounts of data used to capture behavior yield greater accuracy about animal activities. Within this framework, 'naturalistic' is a concept that linearly and hierarchically 'scales' (Fan et al. 2021), with the practices of naturalistic behavior best adopted in "modest steps" (Cisek 2024). Such language about naturalistic neuroscience assumes 'improvement' to pre-existing behavioral experiments, once studied in impoverished ways and measured less accurately.
- 126 Relatedly, the technologies that drive naturalistic studies of behavior are also thought to improve 127 accuracy by *changing* and even *reconceptualizing* categories of behavior. Here, AI tools have seemingly 128 improved experimentation by refining behavioral categories previously recognized by humans. For example, 129 by using machine learning video-based tracking, researchers are able to rethink associations with behaviors, 130 such as the relationship between sleep and survival (Geissmann et al. 2019). In other cases, the use of these 131 techniques has identified novel behaviors (Hoyer et al. 2008). The objectivity of these tools is tied to the sheer 132 amount of data captured by them, which use sophisticated analyses to identify which functional categories are 133 significant.
- 134 Generally, the strategy of naturalistic neuroscience tools has consistently aimed to remove the 135 subjectivity and bias of human observers from behavioral experiments. For instance, better vision recognition 136 technologies allow tracking and uninterrupted recording of hours of animal behavior, and algorithms can now 137 parse those recordings to find behavioral patterns that may not be detected by humans manually tracking 138 behavior. Training on multiple animal model datasets and breaking the animal's movements down into 139 behavioral components can enable deep learning algorithms to identify patterns of meaningful activity. These 140 tools – software such as Bonsai, SLEAP, DeepLabCut, Lightning Pose, and others – can even be tailored to 141 specific animals, such Drosophila (BonFly Neurogears 2023) and macaques (Bala et al. 2020, Labuguen et al. 142 2021; OpenMonkeyStudio and MacaquePose). By automating behavioral analysis (Datta et al. 2019), these 143 techniques can move past human constraints to test multiple animals at once and test more animals overall.

144 The position that *naturalistic studies are privileged views on behavior* sets up a tension between 'wild'

145 behavior and laboratory 'controlled' behavior (see Figure 1). However, this tension alone fails to distinguish

146 the various experiments, as many studies may both impart control and capture wild behavior without there

147 being any meaningful way of distinguishing which one is more 'naturalistic' than the other (Fig. 1). A

148 framework that simply juxtaposes 'wild' and 'controlled' behavior also assumes the concept of naturalistic

149 behavior to be fixed, even in the face of the rapid technological progress that shapes what 'naturalistic' means.

150 For instance, what is 'naturalistic' today may no longer qualify as naturalistic once a new and more improved

151 technique for studying wild behavior in a controlled setting arrives (Fig. 1). This demonstrates the reliance of

152 'naturalistic' on the different kinds of techniques that exist in relation to one another.

153 The current framework for naturalistic neuroscience relies on a concept of 'natural' that is both intuitive, and

154 yet vacuous upon investigation. Consider two widely used animals in neuroscientific research: the rat and the

155 fruit fly. One of the reasons the rat is used as a behavioral model is due to its high adaptability, but this fact

156 also blurs where to designate its natural habitat. Rats may have originated from specific parts of the world, but

157 they have long populated every continent thanks to human migration and now live among humans in urban

environments. Which of these histories count when one tries to measure rat behavior 'in the wild'? As I will

159 discuss with the fruit fly, these histories become even more complicated as the domestication of animals

160 continue both inside and outside of the lab.

161 The overly broad category of 'naturalistic' precludes answering many crucial questions, including: 162 Does naturalistic behavior only apply to traditional animal models (Aplysia, flies, worms, rodents)? How does 163 one study naturalistic behavior in 'wild types' that no longer share behaviors with their own species? Is 164 viewing behavior from an evolutionary standpoint more naturalistic than from an ecological one? How much 165 is too much data for a behavioral study? What is the relationship between the 'observer' of behavior and the 166 behavioral subject? What defines behavior in experiments?

167 The intuition of 'naturalistic' rests on its use as a marker to distinguish what is 'good' from what is 168 artificial and 'bad'. Moreover, the associations of 'nature' as pure and unbiased, and what keeps this category 169 distinctly separate from humans, is both socially and politically reinforced (Uggla 2010). This invokes the 170 core issue that 'natural' is a normative placeholder for different values and experimental aims. In the next 171 section, I shift the discussion to these aims in effort to elucidate some of the methodological complexities that 172 have been overlooked by mainstream discussions of naturalistic neuroscientific studies.

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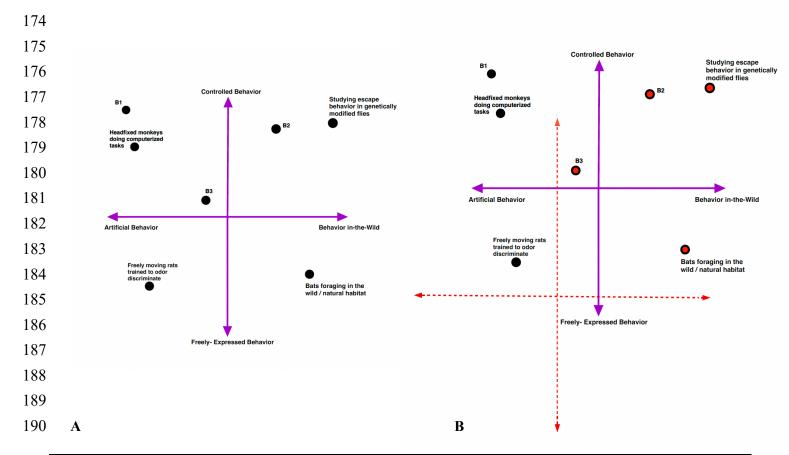


Figure 1: (a) **Naturalistic is Too Broad** Descriptions of naturalistic neuroscience practices are conceptually bound by attempts to get at a more objective characterization of behavior, but they also demonstrate the broadness of the term 'naturalistic'. There may be two behaviors (B1 and B2) that appear separate on the naturalistic scale but are in fact conceptually similar to one another. (b) **Naturalistic is not a Fixed Concept** The conceptual landscape of naturalistic behavior can easily shift depending on technological innovation. Consider that two naturalistic experiments may, to varying degrees, capture 'in the wild' animal behavior while also using technology that offers those researchers fine grained control over their subject's movements. For example, head fixed flies walking in 2D virtual landscapes vs. freely moving flies using virtual landscapes. The first experiment may be considered less naturalistic and more controlled than the second because, even if the fly is moving in a simulated reality, it seemingly has a more authentic experience compared to a head fixed fly. But now imagine that even a minor development in the technological capacities for measurement – such as more robust tools for measuring untethered flies in 3D environments – can change the understanding of 'naturalistic' between these two studies (represented by the red dotted line). What, then, becomes the dividing line on what 'counts' as naturalistic? If the tools and models were to change in the future, 'naturalistic' changes as well.

193 Experimental Aims and Epistemic Commitments

- 194 To better explain why a study is considered naturalistic and how various naturalistic studies compare to one
- 195 another, I propose examining the various ways that scientists impart 'control' in the study of behavior. What
- 196 is 'naturalistic' changes depending on the various experimental aims of scientists, as these indicate differing
- 197 epistemic commitments. Epistemic commitments reveal what the concept of naturalistic is *relative to* by
- 198 clarifying one's theoretical positioning, or what principles one is justifying in believing. For example, a
- 199 neuroscientist may want naturalistic studies because of their systems-level experimental aim of identifying an
- 200 important circuit, showing an epistemic commitment to a principle of reductionism. Another researcher,
- 201 having the experimental aim of using a nontraditional animal model for behavior, may latch onto an epistemic
- 202 commitment about development and the scope of behavioral flexibility.
- 203 Some clarity could be added here by identifying at least some of the differing epistemic commitments
- 204 imparted within naturalistic studies. Doing so can align researchers' interests to make the 'naturalistic'
- 205 concept more meaningful. It can also support non-intuitive positions, such as defending the use of traditional
- 206 models for many naturalistic behavioral experiments, as well as tempering the hype around new techniques
- 207 for naturalistic studies of behavior. In this respect, any discussion of naturalistic neuroscience demands a
- 208 follow up question: 'naturalistic relative to what?'
- 209 Below, I illustrate how, in comparison to the conventional framework of naturalistic neuroscience that simply
- 210 juxtaposes 'wild' and 'controlled' behavior, a focus on epistemic aims provides a better way forward for
- 211 discussing naturalistic studies. Although it is beyond the scope of this review to discuss many naturalistic
- 212 neuroscience studies currently proliferating in the neurosciences, I show the complicated sides to the story of
- 213 studying naturalistic behavior by centering nonhuman animal modeling research. Moreover, this case alone,
- 214 exhibiting the range of epistemic issues that can emerge for those working in similar areas, reveals the
- 215 complexity of the problem as it scales to comparing research across domains.
- 216

217 Models are Mediated: Myth of the 'Wild' Model (overlooking the complexity of experimentation)

- 218 A common point of discussion within the conventional characterization of naturalistic neuroscience,
- 219 particularly as it relates to nonhuman animal models, is a concern with repeatable behaviors and the use of
- 220 overtrained, traditional models. Traditionally, repeatable behaviors have been key to experimental research in
- that they allow stable correlations between behavior and brain activity. Repeatability helps scientists compare
- behavior between animals, control contextual variables that may influence behaviors, and even identify when
- 223 meaningful changes occur in the behavior of a single animal. Researchers have long identified ways to exploit
- 224 systems that exhibit repeatable behaviors (e.g. bar pressing or birdsong) or create conditions to make it more
- 225 likely that an animal will exhibit such behaviors.
- 226 Yet, those appealing to naturalistic neuroscience criticize the highly contrived situations that enable
- 227 repeatability. For example, 'captivity effects', or the behavioral and physiological changes generated by

228 housing animals in confined spaces, have been identified in numerous ways, ranging from genetic expression 229 across the brain (Bedova Duque et al. 2023) to changes in hippocampal (LaDage et al. 2009) and cortical 230 volume (Bedoya Duque et al. 2023). Simply changing the environment that animals are normally housed in 231 leads to behavioral changes that relate directly to health and fitness of the animal (Vogt et al. 2024), including 232 relieving animals of stress that can affect experimental outcomes. These documented individual differences 233 between animals, such as in reward sensitivity, can also affect how one designs and uses behavioral assays. In 234 an extensive review on this matter, researchers document changes in brain morphology and function in many 235 animals – from chickadees and sparrows to mice and rats – being kept in laboratory conditions as opposed to 236 more enriched environments (Calisi and Bentley 2009). Even simple engagement with laboratory animals can 237 influence an animal's behavior. Familiarity with a researcher can affect the performance of an animal in 238 particular cognitive tasks, as shown in ravens and crows (Cibulski et al. 2014).

239 Repeatable behaviors can be artifacts of overly controlled conditions and are often cited by 240 naturalistic neuroscientists as a reason for enriching an animals' environment during behavioral testing 241 (Kentner et al. 2021). Under the conventional conceptual framework, housing in a more enriched condition 242 eliminates the distortions experimental control brings to bear on a study, again assuming that there is a 243 privileged view on behavior. For a model to achieve phenomenal access, or access to a behavioral 244 phenomenon of interest (Dietrich et al. 2020), it is assumed that the scientist simply ought to remove the 245 barriers of experimentation that can produce false behaviors, while still maintaining the standards of 246 laboratory control that can help measure them.

247 Unfortunately, such assumptions often overlook the complexities of experimental methods and the 248 different histories of lab animals. Specifically, standardized and repeatable behaviors are often generated from 249 research models that are engineered as laboratory *tools*, whose status as a tool enables phenomenal access. A 250 canonical example of this is studying naturalistic behavior in Drosophila melanogaster, or the common fruit 251 fly, whose transformation to a 'standardized lab model' was famously documented in research (Kohler 1994). 252 Wild-type controls were gradually and systematically modified over time, becoming a laboratory 253 domesticated wild-type. These changes are so well-known among fly researchers that those who use the 254 model admit to how little is known about variable behavior in the many strains of 'wild type' flies (Soto-255 Yéber et al. 2018, Kaun Lab) and surprisingly little is even known about *Drosophila melanogaster's* natural 256 environment at all (Asinof and Card 2024).

The current characterization of naturalistic neuroscience would perhaps warn us that the failure to enact a model that accurately captures wild behavior is precisely the problem. And yet, as naturalistic neuroscientists put emphasis on phenomenal access, they overlook the process of how phenomenal access is achieved in the first place. Returning to artificially contrived behavioral studies may give us an answer, as we attend to the various experimental aims for using the model, as well as researchers' epistemic commitments.

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263 Consider the following quote from a group engaged in fruit fly research:

264Often the wild-type strain we use is dependent on the lab we were trained in.265My recommendation is to test a bunch of the common ones in your assay266and pick the one that produces the most consistent behavior. Use this for267your background strain (i.e. the strain to which you backcross / outcross all268of your transgenic / mutant lines). If you're not sure where to start, get the269most commonly used line from a lab that does the type of behavior you are270interested in (Kaun Lab)

271 Through the conventional naturalistic framework, one might characterize this advice as a failure of naturalistic 272 experimentation since each 'control' animal seemingly distances the researcher from understanding 'true' or 273 'real' Drosophila behavior. Note, however, that inconsistency in the model would be just as useless as an 274 animal that is *only* consistent, as the former would make a study intractable, and the latter would no longer 275 impart new information. All neuroscientists, naturalistic ones included, instead control their models in ways 276 that support their many experimental aims and epistemic commitments, even when their models are highly 277 mediated. Here, the 'real' behavior does not precede the methods used to control behavior, but rather comes 278 through it, as it enables researchers to observe variability in the first place. It is not only futile to attempt to 279 capture behavior 'in the wild' with a model organism, but the way observation of behavior is mediated also

280 makes it the case that any effort to capture 'real' behavior ignores the process of mediation.

281 Why specific changes in a behavioral study qualify as 'Naturalistic'

282 Scientists constantly gain knowledge by using misbehaving models. Moreover, they continue to gain 283 meaningful information about naturalistic behavior through canonical models notoriously removed from the 284 'real' world, such as the fruit fly, zebrafish, and rodents (Orger and Polavieja 2017; Dennis et al. 2021). Many 285 'naturalistic' studies use Drosophila melanogaster (Vanin et al. 2012; Asinof and Card 2024) even though 286 there is little guarantee that the fly in the wild would even exhibit similar behavior. There are well-described 287 pattern generating circuits that elicit different behaviors across contexts, variable signaling processes that are 288 involved when flies engage in cooperative behavior, and distinct escape responses when stimuli presented to 289 flies vary in speed. What is notably prioritized in such cases is the stability of behavior over changing 290 contexts; thus, all of these discoveries, naturalistic in their own right, were made by making choices about 291 which variables could be ignored or focused on.

Here, the organism's history, including the ways experimenters themselves made choices about which wild type to choose, help render reliable results. Researchers simply use models and engage in naturalistic studies to fit their aims in certain dimensions over others, such as prioritizing predicting behaviors versus the discovery of new patterns associated with behaviors. One non-intuitive outcome of this observation of experimentation is that greater experience with the animal and experimental conditions imparts knowledge over time that is in fact relevant for modeling decisions in naturalistic neuroscientific studies.

298 In neuroscience, experimental aims can range from caring about naturalistic studies for the discovery of neural 299 circuits to furthering a technological end (see Box 1). Studying deviations from a standardized system – an 300 animal that is an engineered tool – can give generalizable information. This might be relevant to the organism, 301 but it might also go beyond knowing about the specific animal itself, since the experimental ends can vary 302 widely: testing the behavioral flexibility of a model, trying to further standardize the model, or knowing what 303 generalizable principles can be extracted. Such is often the case for naturalistic studies in *Drosophila*, where 304 the stated goal is better knowing what the animal would do 'in the wild' while the researchers test the 305 animal's behavioral flexibility to get more generalizable principles. One recent example of this this strategy 306 has been coined 'reverse neuroethology' (Asinof and Card 2024). Here, researchers intentionally choose a 307 highly modeled and controlled system, as this grants them better ways of leveraging the study of its natural 308 behavior. In such cases, naturalistic behavior is paired with methodological development to become a vehicle 309 for capturing criteria that can be applied across species, as opposed to being of interest in an undirected 310 manner.

311 Two studies of social behavior can direct the idea of 'control' in very different ways, such that both 312 are labeled 'naturalistic' but are not considered naturalistic neuroscience to the different groups studying 313 them. Consider the simple change of moving rodents out of confined environments and into significantly 314 larger arenas. Placing rats in large arenas can still fail to meet the naturalistic 'ideal' because these animals are 315 behaviorally modified due to their selective breeding (Kondrakiewicz et al. 2018). Even so, not all naturalistic 316 experiments are directed similarly. While a larger arena certainly matters for a range of experimental goals -317 for example, mechanistically examining system-wide brain activity and knowing how different brain regions 318 may interact or behaviorally understanding the social transmission of fear – expanding the parameters of 319 activity too much can generate worse results if one wants to know how odor cues are socially relaved (Datta et 320 al. 2019) or whether or not exposure to predator odors in early life can affect later behaviors (St-Cyr et al. 321 2018). Having more data in the latter cases will not improving behavioral knowledge. Thus, naturalistic 322 neuroscientists consistently make choices on what kinds of control is appropriate to leverage for one's 323 experimental goals, given they are always navigating the limitations of their models.

324 To put it simply, there can be different experimental goals under the same heading of naturalistic 325 studies. Is the researcher trying to better understand the general mechanisms of a behavior (i.e., systems 326 involved in survival-critical decisions or what kinds of neurons generate courtship behaviors) or is she trying 327 to understand the ability for the animal to adapt in various conditions (to better understand sensory cue 328 integration or changes in neuronal sensitization)? Is she trying to model the animal into a tool that is 329 comparable to another for future neuroscientific study? Is the researcher trying to study repeatable behavior to 330 link their results to another kind of experiment or another species? Although all of these aims might be 331 considered 'naturalistic' relative to a previous practice, they share very little in common beyond the label.

332

333 Replacing Traditional Models with Krogh Animals (Negative Models)

334 In discussions of naturalistic neuroscience, one can improve a behavioral assay for a more canonical model or

re- theorize an experimental approach to modeling altogether by shifting away from the use of canonical

animal *models* – such as flies, rats, and mice – more generally. In this vein, researchers have warned against

337 conflating model organisms with natural, unmodified organisms (Katz 2016), and many doing naturalistic

338 studies have rethought model choice, encouraging the use of nontraditional animals instead of lab-mediated

ones (Mathuru 2020; Stevenson et al. 2017; Testard et al. 2021; Yartsev 2017; Jourjine and Hokstra 2021).

340 This point of view recapitulates conventional framework which assumes that attempts to control and model

341 animals pushes in the opposite direction of what is 'wild' behavior, since it remains to be seen that behaviors

342 from generations of genetically engineered animals would replicate in the 'real world' (Vanin 2012, Crabbe et

343 al. 1999).

In such discussions, Krogh's principle is often popularly invoked by neuroscientists seeking more naturalistic behaviors (Stevenson 2018; Katz 2016). This principle states that for any biological question, there is an organism whose biology is uniquely suited to answering that question.

347 Examples of Krogh's principle include using a squid to study potentiation because they have a giant axon

348 (Yartsev 2017) or using mice to study olfaction because that is the animals' preferred means of sensory

navigation, as they learn with smell better than auditory and visual stimuli (Nigrosh et al. 1975). It can also

involve using other species of traditional models, such as fish and mice that are more specialized to certain

tasks – such as aggression in Siamese fighting fish, parental care in deer mice (Bendesky et al. 2017; Jourjine

and Hoekstra 2021) – to leveraging the loss of an ability, such as sine song, in *Drosophila yakuba* (Kelley

353 2024; Ye et al. 2024). In all these cases, a distinct feature of the animal makes it experimentally useful in a

354 way that advantages it in comparison to others.

355 In contrast to Krogh's principle, animals may be chosen for study on the basis of 'practical' reasons, 356 such as the availability of experimental tools for that model, as well as the logistical conveniences specific 357 models themselves afford. For example, there are many species of fruit flies, but a vast majority of 358 neuroscience research focuses on *melanogaster* because of the availability of lines and reporters. Scientists 359 may also choose this model because of low costs, ease of supply, husbandry, established communities 360 (conferences centered on specific models), databases (FlyBase, Xenbase, WormBook), ease of replicability, 361 and more (Leonelli and Ankeny, Dietrich et al. 2020; Ding et al. 2024; Zilkha et al. 2016). One cause for 362 concern is that these reasons can often trump others when deciding which model system to use for an 363 experiment. For example, there is currently an overwhelming use of mouse and rat models in neuroscientific 364 research, which has limited the range of the kinds of nervous systems studied (Yartsev 2017, Juntti 2019). 365 However, in its criticism of practical models, the traditional conceptual framework for naturalistic behavior 366 continues to fall short by suggesting that the use of nonconventional models somehow leads to a more

accurate behavioral readout. Even in the case of Krogh organisms, different epistemic aims can arise that needto be specified.

369 To help with categorization and comparison, neuroscientists may appeal to naturalistic studies of 370 behavior to study biological diversity, *splitting* organisms into their differences, or they can identify the 371 common mechanisms and patterns by lumping animals together. In a historical review of Krogh's principle, 372 researchers instead show that Krogh organisms do not depend on their generalizability (Green et al. 2020, 4). 373 Unlike standard models whose similarities and differences to other systems are known, the representational 374 scope of the Krogh animal is itself an empirical question. Because of this, it is difficult to know if the 375 identified traits are generalizable or even relevant to other species. 376 When using Krogh systems, researchers may be less concerned with control for generalization and 377 more interested in control with respect to behavioral flexibility. For example, by having extreme adaptations, 378 Krogh animals often serve other experimental goals, such as helping scientists explore variation over 379 identified physiological features (Green et al. 2020, 8), or by as serving as 'negative' models where animals 380 lack the specific features or behaviors that scientists are interested in studying (Green et al. 2020). An 381 octopus, an asocial creature, could be used to study sociality (Edsinger and Dölen 2018), or a naked mole rat, 382 that is cancer resistant, to study anticancer mechanisms (Tian et al. 2013). Serving as comparison cases with 383 positive models, such animals can impart invaluable information about the scope of behavioral flexibility, the 384 importance of environment, energy expenditure, and more. Knowing why certain physiological limitations are 385 not observed in selected species can offer invaluable insights.

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Box 1: Epistemic Commitments underlying Various Experimental Aims

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Various Experimental Goals with Respect to Behavior

Engineering aims: Knowing how context plays a role with respect to behavior and using that to elicit certain responses. Examples of this include leveraging the methodological development and manipulability of model organisms (genetic or otherwise).

Example: This approach includes strategies for 'reverse neuro-ethology' (Asinof and Card 2024).

Technological aims: may not be directly tied to understanding the organism itself, but rather finding common motifs that can be exploited in other contexts (such as computational principles); here, naturalistic behaviors are leveraged as reasons for creating models in the first place so they can be meaningfully compared to other models.

Example: Recognizing animal models as tools and as systems that are represented, some scientists interested in naturalistic behaviors insist on developing nontraditional animals into animal *models*. Examples of this can include taking individualized approaches to increase genetic accessibility in specific animals, such as using adeno-associated viral tools in amphibians (Jaeger et al. 2024), to large-scale, community building efforts, such as "cephalopod-omics," which tries to apply a conglomerate of techniques normally used on invertebrates, such as sequencing, imaging, and genetic manipulations, to invertebrates (Baden et al. 2024). These studies fall under the scope of naturalistic in their commitment to expanding the use of animals for research, even though the idea is to use these tools to make behaviors more meaningfully comparable, such studies introduce an intermediary for comparison as opposed to directly observing behavioral similarities or differences. It is thought that by doing so, experimentalists open the range of models that can be studied in neuroscience as well (Juntti 2019). Doing so may generate models of abstraction that make the models comparable in the first place.

Aim of exploiting variability: Finding out about behavior in order to derive principles that can be applied to a different system; this is not the same as finding generalizable principles, but instead exploiting knowledge about adaptation, aspects of variability, or extreme behavior.

Example: Krogh's principle; researchers can look to naturalistic behaviors that are both extreme behaviors, or even the lack of behaviors (what is not there) to better understand the conceptual potentials and limits of behavior.

- 390
- **391 Consequences of Adopting a Conventional Framework:**
- 392 Finally, failing to characterize what is 'naturalistic' without attention to experimental aims is a disregard to an
- 393 experimenter's conceptual commitments. These can range from methodological commitments such as
- 394 determining if one should be in a field or the lab to decisions about what scientific tradition one should draw
- 395 from.

396 For instance, naturalistic neuroscience appeals to a large range of fields for inspiration, including

- 397 neuroethology, ethology, behavioral ecology, comparative neuroscience, evolutionary neuroscience, and
- 398 evolutionary biology. Here, naturalistic neuroscientists claim it is important to recognize a range of views:
- 399 recognizing behavior as evolved (Krakauer et al. 2017; Miller et al. 2023; Testard et al. 2021; Datta et al.
- 400 2019), acknowledging the role of non-neuronal processes in supporting the complexity of behavior (MacIver
- 401 2009), the importance of fewer constraints on experiments, such as letting an animal run around freely
- 402 (Gomez-Marin et al. 2014; Brown and de Bivort 2018; Parker et al. 2022), identifying innate behaviors

403 (Gomez-Marin et al. 2014), and identifying adaptation and selection pressures (Mobbs et al. 2018; Miller et
404 al. 2023; Testard et al. 2021; Mobbs et al. 2018).

405 The traditions that inspire these activities tend to be cited interchangeably when naturalistic behavior 406 is invoked. Some appeal to evolutionary biology to think about evolved behavior; others look to ethology for 407 methodological inspiration, such as conducting experiments within an open field and uncontrolled 408 environment. However, one challenge to understanding naturalistic behavior from various traditions is that 409 they crucially differ in conceptual commitments, research cultures, and topics of investigation, varying also in 410 their sensitivity to both context and evolutionary and developmental concerns. From traditions like ethology, 411 evolutionary biology, and behavioral ecology alone, a spectrum of views arises that are bookended by two 412 extremes. On one end are propositions to ignore all preceding paradigms used to study behavior; for example, 413 those keen on an ecological tradition may not see value in studying nonhuman systems. Here, they can 414 recommend abolishing all nonhuman animal studies when making attempts to study human behavior. On the 415 other end, scientists see naturalistic studies of behavior as having natural continuity with traditional behavioral 416 paradigms. Although they draw inspiration from ecological experiments, they can propose the status quo with 417 minor adjustments here and there to make a study appear more naturalistic. 418 This picture gets even more complicated given that, even within a *single* tradition, there have been major 419 historical disagreements about what behaviors are relevant to investigate and complicated discussions about 420 how one should investigate them. This has certainly been the case in ethology and neuroethology (Dhein

421 2022). A second challenge, then, involves the difficulty of knowing which practices one should prioritize if422 the experimental aims differ by discipline or research traditions.

423 Ethologists, for example, have traditionally taken interest in the behaviors they observe in animals' 424 respective ecologies and habitats. This means studying escape, food seeking, recognizing prey, and other 425 practices that are relevant to the day-to-day challenges and survival of the animal. This contrasts with the vast 426 repertoire of behaviors studied in neuroscience, such as drug-seeking behavior, play behavior, sociality, 427 novelty-seeking, binge-eating, compulsive-like, anxiety-like or depression-like behaviors, and so on, that are 428 set by the interests of humans and therefore been imposed on animals that have been highly manipulated and 429 controlled. How can one meaningfully draw from ethology in neuroscience when their goals for studying 430 behavior differ?

431 Similar to the challenges associated with animal models and behavioral testing, there will be
432 disagreement depending on which tradition one draws from. However, in addition to having various
433 experimental aims, there can be epistemic differences in theoretical commitments as well. This generates
434 conflict with the concept of 'naturalistic' insofar as two groups could be opposing each other's understanding
435 of naturalistic.

Another salient example of when differences in 'tradition' matter is with respect to
 representationalist commitments. There are dramatic consequences if one ascribes to a literal interpretation of

- 438 brain patterns representing the 'real' behavior or if one is simply using such language heuristically. These
- 439 differences separate how research can link across different practices with researchers not even recognizing
- 440 when this is the case. Consider a naturalistic researcher who uses context to re-conceptualize olfactory
- 441 behavior as extended and environmentally embedded (Jacobs 2023), whereas another sees olfaction as
- 442 represented or mapped 'in the brain' (Brann and Datta 2023). While both may be committed to more
- 443 naturalistic studies, their attitudes about what is in fact going on neuronally may be radically different, with
- 444 one putting more of an emphasis on environment and embodied behavioral approaches, while the other tries to
- 445 articulate the 'olfactory code' from a purely computational point-of-view.

446 **Box 2: Animal Behavior Under Various 'Traditions' and Explanations**

447

Ethological: A behavior-based science that is in the business of observing animal behavior and explaining what the animal is doing for the animal itself

Preferred Explanations: ethologists had strong commitments to 'innate' mechanisms, acquired releasing mechanisms, imprinting, drive intensity, fixed action patterns (escape response from Mollusk *Tritonia*), and more.
Ethological explanations made use of physiological information but did not reduce behavior to them, nor localize function to specific areas of the body
Especially interested in reproductive behavior
Self-described "animal watchers" (Tinbergen)
Field-based research
Neuroethology: Study of how animal behavior is realized by the central nervous system.
Preferred Explanations: Delineating what capacities a brain should have to realize the complexity of animal behaviors. Some neuroethologists claim that the nervous system evolved to produce behavior (Camhi 1984).
Interested in the comparative physiology of behavior (principles of neural function are studied in various animals)
How does the nervous system solve specific problems; these can include mating (such as a female sparrow or frog detecting, discriminating, and orienting toward a male call), escape responses, how animals use light to seek food,

shelter, detect predators, or orient for navigation, and prev catching (frogs).

-Going between 'field' and 'lab' sites

Behavioral Ecology: Studies that try to delineate the ecological factors that can drive behavioral adaptations. -Preferred explanations are rooted in evolutionary principles; seen as a 'successor' to ethology with less demand for theoretical coherence

- Population dynamics and models; examining the genetic basis of behavior, behavioral syndromes,

- Topics can include parental care

- Field-based research

Evolutionary Biology (of Behavior):

-Preferred explanation: Ultimate source of explanation is natural selection

- Interested in fitness, selection (sexual selection), variation, and retention

- Looking at behavior in groups, not just individual behavior

-Interested in genomic changes associated with behavioral differences

- Field or lab-based

Computational analysis of behavior (Computational ethology/ computational ecology):

- Preferred explanation is in the language of computation and conceiving of behavior as information processing - Engaged in simulations of behavior; prioritizes prediction

- Interested in substituting human decisions on behavioral motifs with computerized detections

-Lab-based research; drawing from datasets

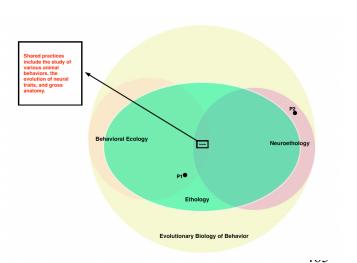


Figure 2: Although many of these traditions share overlap, their explanatory aims can differ in important respects. For example, while all these traditions notably study natural behaviors such as threat detection or foraging, the representationalist commitments of neuroethologists who subscribe to innate behaviors are going to look radically different from those of neuroethologists. The scale of explanation will also differ between them, such as an explanation that meets the criteria for overlapping traditions (P1) but fails to satisfy assumptions within another (P2).

466

467 Keeping Mediated Models

468 The animal models, tools, technologies, and traditions that make up the landscape of naturalistic neuroscience

469 have generated conversations that overshadow the theoretical commitments and goals that inform how those

470 technologies are supposed to meet one's modeling aims.

471 This piece tempers enthusiasm for the conventional framework of naturalistic studies by challenging the idea

472 that capturing 'real' behavior is hindered by the traditional strategies for experimental control. The

473 conventional framework that describes naturalistic behavior reduces away the many theoretical or epistemic

474 commitments that underlie behavioral studies. One might try to understand how an animal's behavior changes

475 in new contexts and meet other demands, such as knowing how animals compare to one another, or how to

476 make animals comparable in the first place. Many of these strategies leverage control to fit specific goals,

477 undermining the idea that there is any single *privileged* approach to study behavior.

478 However, recognizing how 'natural' is used as a stand-in term for various experimental aims corrects

479 perspectives on experimentation in more ways than one. For example, neglecting assumptions of objectivity

480 have historically led researchers to harmful outcomes. The term 'natural' has uniquely been a social signifier

481 of exclusion with roots in scientific studies, whether in antiquated discussions about assertive, ambitious

482 women, or more recent history of homosexual behavior. These studies may seem unrelated to the current topic

483 because naturalistic studies are trying to dispense with bias to reveal 'true' behavioral patterns. And yet, the

484 patterned use of 'un/natural' is the same: In the same way that labeling homosexuality as 'unnatural' because

485 of biological differences was about hidden values, labeling a bar pressing rats 'unnatural' because of its

486 differences to wild type rats is about hidden epistemic aims. Failing to acknowledge these aims and the role of

487 the experimenters behind them irresponsibly masks the differences with the word 'natural'.

488 However, even if there were an option to do naturalistic neuroscience in a way that abolished the scientist as a

489 mediator of observation, no one should want that. For one, it would be experimentally intractable and generate

490 unintelligible results. Although it is true that humans do not use sonar or electroreception to locate their food, 491 use smell or magnetoreception to navigate, or see with polarization or infrared, we came to know most of 492 these differences in other animals from previous empirical research. This demonstrates an irony about the 493 study of naturalistic behavior, where much of the reason for knowing that studying 'naturalistic' behavior is 494 preferable to behavior confined and controlled environments precisely emerges from the fact that those 495 behaviors were first studied in controlled settings (Clarkson et al. 2018). However, a second reason we should 496 not eliminate the human observer is that it makes empirical observations of the world intelligible to us. It is 497 our experiences that give us the capacities for modeling decisions in neuroscientific experiments (Nemati 498 2024). Part of the reason for this predicament is that neuroscience is a science that inherently relies on 499 modeling and abstracting from complexity to proffer appropriate explanations (Chirimuuta 2024). Unlike 500 some classic ethological practices that were simply in the business of documenting animal activities, there are 501 different requirements for the kinds of mechanistic and causal explanations neuroscience should be giving us. 502 Moving forward, it would benefit us to take a historical lens to the technological improvements that have 503 made naturalistic studies of behavior possible, as well as the theoretical assumptions embedded in them. We 504 now raise many questions about behavior because it is now possible to capture the dynamic and multi-505 dimensional features of an environment and of brain activity. Shifts from traditional views of brain modularity 506 (Anderson 2021) have allowed scientists to favor probabilistic distributions of neural and behavioral activity 507 that rely on more neural data and population-level activity over linear statistical models of discrete variables 508 (Brown et al. 2004; Cunningham and Yu 2014; Pang et al. 2016). Dynamical and adaptive thinking (Fairhall 509 et al. 2001) emphasizes the changing brain, encouraging the study of the brain's robust plasticity (Gomez-510 Marin 2014). Neuroscientists can also now simultaneously record the activity of very large numbers of 511 neurons, from many different brain regions, as the animal engages in a specific task (Neuropixels), enabling 512 analyses of neural networks (Bassett and Sporns 2017; Bassett et al. 2018) while powering dynamical 513 explanations and use of dynamical systems theory itself (Izhikevich 2007; McClelland et al. 2010; Ross 514 2022). Finally, improved devices, such as better GPS technology, microphone arrays, motion sensors, and 515 sophisticated cameras, now capture complex behavior with more storage space to do it. 516 Twenty years in the making, these shifts share a heightened regard for behaviorally relevant naturalistic and 517 environmental factors. Yet, understanding how these tools embed their own assumptions is important for 518 knowing how certain studies of behavior are privileged over others. 519 While much work remains to be done to show how modeling aims link to experimental outcomes, talking past 520 one another can have more serious epistemic consequences for experimentation, such as when experimenters 521 put focus on experimental choices when their underlying assumptions do in fact differ. Not recognizing that 522 we are asking different questions may generate miscommunication and the illusion of reproducibility errors, 523 as was the case in two similar odor studies that got varied mechanistic explanations on the basis of different

524 tasks (Federick et al. 2017). It also bears consequences by distracting researchers when there are quick

- 525 explanations for why an experiment fails. Rather than adopt a seemingly better model or task, or using a more
- 526 advanced tool to measure behavior, as has often been suggested, it may do behavioral neuroscience good to
- 527 accept that not all experimental goals require such approaches.

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