Problems and Prescriptions in Psychiatric Explanation

A normative analysis of explanatory framing effects

Abstract: A growing body of research suggests that different kinds of explanations of mental illness can have striking effects on their audiences' attitudes and inferences. But it is surprisingly difficult to account for why this is. In this paper, I present a "normative model" of explanatory framing effects, which I claim does a better job of capturing the empirical data than do models that foreground changing causal or metaphysical judgments. On the normative model, different explanations will tend to differently affect their audience's reasoning because each encodes a different picture of the kind of problem represented by the explanandum, and therefore the kinds of responses to it that are normatively apt to pursue. For example, a biological explanation of depression will convey to its audience that depression is a specifically biological problem, and therefore that appropriate responses to it should be directed at biological facts and norms. The communication of this normative information is, I argue, importantly different from communicating that depression has biological causes. For example, we can often combine different causal explanations, but it's not clear that we can combine different characterizations of a problem. This might explain why philosophers and clinical experts sometimes seem to regard different explanations of mental illness as competing or mutually incompatible, despite their appreciation for the causal complexity of these conditions.

1. Framing the problem

There's a lot we don't understand about mental illness. But one thing almost everyone does understand is that there typically isn't a single explanation, much less a *simple* explanation, for why someone develops psychiatric symptoms. Mental illness is a very complicated kind of phenomenon, with many very complicated kinds of causes. And you don't need a clinical license or a philosophy degree to recognize that, in view of this complexity, many different kinds of facts are going to be relevant to whether and how a person develops a psychiatric condition. For example, most of us would agree that, if a person's genes had been very different, they would probably have had a very different kind of psychological life. But most of us think that the same would be true if a person had been systematically abused, or were constantly hopped up on cortisol, or had tended towards an obsessive kind of perfectionism about their lives.

Intuitively, then, we understand that many factors can make real differences to people's psychological outcomes. We also understand that these factors don't necessarily compete. A person's psychological condition isn't caused by their genetics *rather than* their neurochemistry

or cognitive traits, just as an election isn't won by individual ballots *rather than* a politician's campaign platform. Even if we don't have much of a philosophical vocabulary for defending it, most of us know that things are more complicated than "your genes made you feel it." Clinicians and researchers clearly recognize this, as evidenced by their increasingly impassioned calls for "holistic" or "biopsychosocial" approaches to mental illness. But even people who know much less than experts do often talk about the importance of different explanatory factors—say, psychological trauma, neurotransmitter levels, and personality traits—in a single breath.

A substantial body of empirical literature, however, seems to tell a strikingly different story. Across a range of correlational and experimental studies, researchers have found that providing people with information about one particular explanatory factor (say, genetics), rather than some other factor (say, trauma), tends to influence their reasoning about mental illness in startling and systematic ways. In other words, we now have strong evidence for the significance of "explanatory framing effects" in psychiatry: the particular kinds of explanation of symptoms people focus on seem to really *matter* for many of their downstream beliefs, attitudes, and behaviors, however broad-minded about mental illness they might otherwise appear to be. What is even stranger is that these effects don't seem to affect only the unwashed (or at least under-educated) masses. They also emerge in studies of expert psychiatrists and psychologists, as well as people with first-hand experience of psychiatric symptoms.

In this paper, I'll argue that these effects are even more puzzling and philosophically interesting than first meets the eye. I'll also suggest a new way of making sense of them. But my route to this conclusion will be somewhat unconventional. After reviewing some of the empirical research on explanations of mental illness, and raising some specific questions about its results, I'm going to step back and reflect on the nature of explanation more generally. I'll argue that explanations—in psychiatry, but also elsewhere—don't serve only to convey information about the causes of an outcome. At least sometimes, they have an intrinsically normative function: they serve to characterize an outcome as representing a particular kind of *problem* or *issue*.

This way of thinking about explanation is, I think, compelling in its own right, and inherits additional credibility from recent work on norms in causal reasoning. But it also neatly illuminates the explanatory framing effects we observe in psychiatry. If explanations are in the business of defining problems, people's changing inferences about mental illness across explanatory contexts will not seem so strange: they can be understood as reasonable responses to changing information about the kind of "wrongness" a mental illness represents. If I am right, however, these effects need not reflect something unique about the way we think about mental illness. They might instead reflect something much deeper about the nature of explanation, and the role it can play in our cognitive economy.

2. Explanatory framing effects in psychiatry: a review of the evidence

In the last several decades, researchers have started to observe some surprising trends associated with giving people different kinds of explanations—for example, broadly biological, psychological, or environmental explanations—of even the very same psychiatric symptoms. In

this section, I'll provide an overview of some of the most striking and robust kinds of effects to have emerged in this literature. These can, for present purposes, be grouped into three basic categories. There are, first, studies that supply evidence for changes to *prognostic* reasoning associated with receiving different explanations of mental illness; second, studies that investigate the different inferences that people make about appropriate *interventions*; and, third, studies that supply evidence for changes to the valence and strength of various *interpersonal attitudes*.

After I introduce some of the evidence for these effects, I'll present a few reasons for thinking that these data are more philosophically interesting than immediately meets the eye. At a first pass, the bare fact that people respond differently to different explanatory information might seem unsurprising. But I'll argue that there are both empirical and theoretical grounds for finding these responses puzzling, and for trying to understand what might account for them.

A. Influence on prognostic beliefs and attitudes

Some of the clearest and most consistent evidence for the differential effects of explanatory framings concerns people's thinking about psychiatric *prognoses*. A substantial body of research now suggests that, when people are given broadly biological explanations of mental illness, they tend to have bleaker views about the future course of these conditions than when they receive psychological or environmental explanations: they think that episodes of illness will last longer, recur more often, involve more severe symptoms, be less responsive to interventions, and require more extended treatment (for a review of much of this evidence, see Lebowitz & Appelbaum, 2019). One influential meta-analysis, which reviewed data from 28 experimental studies, yielded evidence for a significant association between what the authors call "biogenetic explanations" of mental illness—that is, explanations that invoke facts about genes, brains, or biochemistry—and various forms of "prognostic pessimism" (Kvaale et al., 2013).¹ A number of studies conducted since have further corroborated these results (see, e.g., Lebowitz et al., 2013; Haslam & Kvaale, 2015; Loughman & Haslam, 2018; Lebowitz & Ahn, 2018; Zimmerman et al., 2020).

One particularly striking feature of this literature, and one to which I will return at length below, is that it has produced evidence for the association between biological explanations of mental illness and prognostic pessimism across very different demographics. Intuitively, you might not expect members of the general public, people actively struggling with psychiatric symptoms, and clinical experts to react in the same way to information about the biological bases of mental illness. You might even think that—to the extent that this information would affect prognostic thinking at all—it would encourage *optimism* at least among clinicians and people experiencing psychiatric symptoms. After all, being able to identify the biological causes of mental illness seems like an important step towards engaging with it as a medical problem much like any other, for which we typically have targeted, evidence-based treatments. In this way, biological explanations could precisely brighten our outlooks on the course of mental illness.

¹ A meta-analysis of correlational studies by the same authors produced similar results: see Kvaale et al. (2012). I borrow the term "prognostic pessimism" from Lebowitz and Appelbaum (2019).

But recent research tells a different story. Prognostic pessimism emerges as either an effect or correlate of biological explanations not just with ordinary audiences (see, e.g., Phelan, 2005; Bennett et al., 2008), but also with people actively experiencing psychiatric symptoms (Lebowitz et al., 2014; Gershkovich et al., 2018; Lebowitz et al., 2021). For example, when people with anxiety symptoms were given genetic or neurobiological explanations of panic disorder, they were more likely to think that a person with this disorder would need an extended course of treatment, would be unlikely to recover, and were more likely to harm themselves or others, relative to both participants who received psychological explanations and a control group (Lam & Salkovskis, 2007). Similar effects have been observed among people with a diagnosis of generalized anxiety disorder when given biological explanations of GAD (Lebowitz et al., 2014). People with symptoms of depression were also less confident that they would be able to recover when given sham "evidence" for a genetic predisposition to MDD, in terms of which their symptoms were presumptively explained (Lebowitz & Ahn, 2018; Kemp et al., 2014).

Experts probably aren't immune from the pessimism effect, either. Although there has not yet been much research directed specifically at assessing prognostic pessimism among mental health professionals, a recent study found that medical doctors who explained schizophrenia by reference to biogenetic causes were more skeptical about the likelihood of patients' recovery, and more convinced of the need for lifelong pharmacological interventions, than those who explained it by appeal to psychosocial causes (Magliano et al., 2019).³ We also have evidence that psychiatrists, psychologists, and social workers who endorse biological explanations for a mental illness are more likely to believe that recovery will require medication, and are less optimistic about the potential efficacy of psychotherapy (Ahn et al., 2009; Lebowitz & Ahn, 2014). Given that most psychiatric medications are taken for significant periods of time, and are increasingly prescribed for long-term or "maintenance" therapy, there is reason to suspect that these inferences track more overtly pessimistic judgments about the likely persistence or chronicity of illness. In any case, it clearly suggests that biological explanations can increase clinicians' pessimism about at least some routes to recovery from psychiatric conditions.

B. Influence on reasoning about interventions

Another dimension across which different kinds of explanations seem to have a significant differential effect concerns people's judgments about appropriate *interventions*. Converging lines of evidence suggest that members of the general public, people experiencing symptoms of mental illness, and even expert clinicians tend to reason differently about treatment options for a given mental health problem in response to being presented with different explanatory

² A similar "sham genetics" test was conducted on *asymptomatic* participants, and found—stunningly—that they were suddenly more likely to believe that they had experienced depressive symptoms in the past (Lebowitz & Ahn 2017). See also Schroder et al., 2020 for correlational evidence of this association in a sample of inpatients.

³ These results map neatly onto evidence collected from lay populations for the relationship between biomedical explanations and prognostic pessimism about schizophrenia (Bennett et al., 2008), which is at least a preliminary basis for suspecting that the same kinds of effects we find in the general population might carry over to experts.

information. In particular, people seem to consistently prefer interventions that are "categorically congruent" with the kinds of explanations of mental illness they accept. For example, when given broadly biological explanations of clinical symptoms, participants in various studies were more likely to prefer treatment by medication over psychotherapy; but they made the inverse judgment when the same or similar symptoms were explained psychosocially or environmentally (Proctor, 2008; Deacon & Baird, 2009; Marsh & Romano, 2016; Magliano et al., 2019).

Importantly, this preference doesn't seem to be just a "brute" preference, which might be fully explained in terms of some implicit intuition that explanations and interventions should track phenomena of similar categorical kinds. When people reason about some set of symptoms in light of, say, biological explanations, they don't seem to simply assume that pharmacological interventions "make more intuitive sense" than psychotherapy: they also predict that medication will be more *effective* relative to psychotherapy, and that psychotherapy will be *less effective in general*. For example, one study found that participants presented with genetic explanations for either alcohol use disorder or gambling disorder believed that medication was significantly more likely to be clinically helpful, and that psychotherapy was significantly less likely to be helpful, relative to people who received non-genetic explanations (Lebowitz & Appelbaum, 2017; see also Lebowitz et al., 2021). A similar pattern emerges when people are provided psychological explanations of a clinical vignette: they tend to predict, in such cases, that psychotherapy will be a more effective or more credible intervention than medication (Iselin & Addis, 2003).⁴

Crucially, this preference for "explanation-congruent interventions" does not seem to be limited to specific populations. They recur in studies of lay audiences (Marsh & Romano, 2016; Deacon & Baird, 2009; Iselin & Addis, 2003), people with clinical symptoms (Lebowitz et al., 2021; Iselin & Addis, 2003), and, strikingly, mental health professionals (Ahn et al., 2009; Lebowitz & Ahn, 2014). So they reflect inferences that appear to be surprisingly pervasive and robust.

C. Influence on personal and interpersonal ascriptions

Different explanations of mental illness also seem to influence people's judgements about persons who experience mental illness, as well as—and perhaps relatedly—their interpersonal attitudes towards them. One especially consistent finding in this domain is that biological explanations of clinical symptoms tend to be associated not only with diminished ascriptions of blameworthiness for a person's having those symptoms, but also with weakened ascriptions of agential capacity more generally. In an influential study by Miresco & Kirmeyer (2006), for example, psychiatrists' ratings of the "neurobiological etiology" of mental illness symptoms were negatively correlated with their judgments of a person's "responsibility" for them (where

⁴ There is also evidence for subtler distinctions within the domain of broadly non-biological explanations. For example, Kim & LoSavio (2009) found that psychological symptoms that were explained in terms of a person's internal psychological makeup or dispositions — e.g., their individual choices or behaviors — were judged to be in greater need of professional psychological treatment than people with the same symptoms whose behaviors were explained in terms of environmental causes (e.g., their childhood environment, or even other people's behaviors).

these encompassed a wide range of judgements about blameworthiness, agential control, intention, capacity for change, and so on). Responsibility judgments were, however, positively correlated with ratings of "psychological" etiology.⁵

A similar effect has been reproduced in clinical samples. For example, two studies found that explaining depression to people with depressive symptoms by appeal to "chemical imbalances" diminished their self-blame, but also weakened their perception of their own agency with respect to recovering from or even managing their symptoms (Deacon & Baird, 2009; Kemp et al., 2014). The same pattern has emerged in samples from the general public. People provided genetic rather than non-genetic explanations of a person's psychological condition, for example, tend to reduce both their ascriptions of blame and their general ascriptions of agency and self-control (Lebowitz & Appelbaum, 2017). In one striking study, healthy participants even rated themselves as less able to control their drinking when they were told—baselessly—that they had a genetic predisposition to alcoholism (Dar-Nimrod, Zuckerman, & Duberstein, 2013).

Many other broadly interpersonal judgments seem to be modulated by different explanations of mental illness. In one particularly unsettling study, mental health clinicians reported feeling less empathy for hypothetical patients when their symptoms were explained biologically than when explained psychosocially (Lebowitz & Ahn, 2014). In fact, this effect persisted even when *both* biological and psychosocial explanations were provided, so long as the biological information was foregrounded. In a related vein, experimenters have found that, when people's psychiatric symptoms were framed in terms of stressful life events, both laypeople and clinicians judged them to be less psychologically "abnormal" than when these explanatory contexts are not provided (Ahn, Novick, & Kim, 2003; Kim, Paulus, Gonzalez, & Khalife, 2012; Weine and Kim, 2018).⁶ Both of these effects fit neatly with a number of experimental and correlational studies that link biological explanations of mental illness with greater endorsement of negative stereotypes, including heightened perceptions of people with psychiatric symptoms as potentially unpredictable or dangerous (for review, see Haslam and Kvaale, 2015; Angermeyer et al., 2018; Baek et al., 2022).

3. What's so strange about framing effects?

Considered individually, the studies I've reviewed above might seem straightforward enough. Each supplies evidence that people respond differently to different explanatory information. But this, you might think, is just what we should expect. Explanations tell us about causes, and different kinds of explanations tell us about different kinds of causes. So it's not surprising that people's beliefs about mental illness often change in concert with the kinds of

⁵ Intriguingly, judgments of psychological and neurobiological etiology were inversely correlated. I'll return to this finding, which is further bolstered by evidence from more recent studies, in section 5 below.

⁶ Although these results don't bear directly on more specific contrasts between biological and psychological or environmental explanations, they are suggestive—especially once we consider that biological explanations tend to cite "internal problems", which are those more often perceived as evidence for clinically significant abnormality and the need for treatment (Kim and LoSavio, 2009).

explanations they accept. If I were to tell you that depression is explained by heightened levels of cortisol, it would only be natural for you to infer that dysregulated cortisol *causes* depression, and perhaps even that it is the *most potent* or *most relevant* cause of depression. But if I instead told you that depression is explained by maladaptive cognitive styles, you are likely to think that it is instead people's habits of thought—for example, habits of ruminating or catastrophizing—that is the causal factor most relevant to predicting and intervening in their being depressed.

At a first pass, this seems like a neat explanation. But I think that the empirical data, when taken together, present a picture that is much stranger than this simple analysis would suggest. One way to get a sense for this is to notice that the specific effects that have emerged in the empirical literature should seem overtly unreasonable. To put the point more bluntly: people come out of these studies looking exceptionally stupid. Whether they are laymen or experts, they seem to consistently make the same extremely rudimentary mistakes in their reasoning. For example, we've seen that participants consistently judge that a psychiatric condition is likely to be especially severe, or that it can only be managed by medication, when they learn that it has some broadly biological causes. But these inferences are pretty clearly unfounded. For example, it seems plausible that *most* human outcomes can be understood, in principle, in terms of biology. Few people believe that there are facts about human beings that somehow float free of biological underpinnings. But it certainly doesn't follow that all human states will face a poor prognosis, or call for an immediate course of medication. Perhaps more importantly, many health conditions that have clear biological causes—such as gum disease, obesity, or diabetes—aren't especially severe. And they can often be managed by behavioral or environmental interventions (e.g., by people making changes to their diet), rather than strictly biological ones (e.g., surgery).

Of course, it's not impossible that ordinary people sometimes forget to think through these complexities, or even that they are pervasively ignorant about them. But I think it's hard to accept that people simply don't know that mental illness has many complicated causes, or that there are always going to be *some* biological factors relevant to its development. It should, however, be even harder to accept that mental health professionals—highly trained psychiatrists, psychologists, and social workers—are likewise naive. But the evidence indicates that expert judgments track the very same patterns as laypeople's. Even practiced clinicians seem to think that conditions that are explained biologically will face especially poor prognoses, and can really only be managed by biological interventions. And they seem to think the inverse, *mutatis mutandis*, for psychological or environmental explanations.

What is even stranger is that clinicians seem to make these and related judgments in a manner that is totally inconsistent with some very basic tenets of clinical reasoning. For example, experts in these studies often seem to assume that, if a biological factor can explain some set of symptoms, psychological or environmental factors couldn't very successfully explain it, and couldn't be leveraged in order to treat it. In other words, they appear to reason as though explanations and interventions are implicitly "competitive" or "exclusionary": the availability of a good biological explanation or intervention leads them to think that all other kinds of

explanations or interventions are *less plausible* (see, e.g., Ahn et al., 2009; Miresco and Kirmeyer, 2006; Lebowitz & Ahn, 2014).

But this should be shocking. Mental health professionals know better than anyone that there are typically many causes of a mental health problem, that these causes typically complement one another in complicated ways, and that effective interventions can target any, many, or even none of them. These are all foundational principles of the biopsychosocial model of mental illness, which is commonly regarded as the presiding "psychiatric orthodoxy" (Pilgrim, 2002; Ghaemi, 2010/2011; see also Bolton & Gillett, 2019). And we know that practiced clinicians tend to endorse these principles. For example, they clearly understand that mental illnesses don't usually have a single cause (Ahn et al., 2009), that different causal explanations are often complementary (Harland et al., 2009; Proctor, 2008; Brog & Guskin, 1998), and that effective treatments need not target any particular causal pathway (Ahn et al., 2006). But this rich causal understanding appears to be completely belied by the actual judgments they make.

In light of these striking contrasts between what clinicians *know* (in principle) and what they seem to *do* (in practice), an analysis of the data that hinges on the assumption that they are simply ignorant, or that they are inveterately sloppy causal reasoners, should start to seem much less convincing. But, of course, we might reach for a more complicated story. For example, many researchers have at some point suggested that, if even experts are susceptible to such obvious errors, there must be powerful covert intuitions, heuristics, or cognitive biases that distort their reasoning. One especially common proposal is that people's judgments in these cases are guided by implicit dualist or essentialist intuitions, rather than by beliefs they might actively endorse.⁷

Maybe this proposal is onto something: maybe deep-seated intuitions do often quietly guide people's thinking and jam up their judgments, irrespective of their level of expertise. But even if this is true, I do not think it yields a very satisfying general-purpose account of the data we observe. For one thing, there is just not much evidence for the impact of these intuitions—and recent experimental studies that have sought to capture the influence of essentialist intuitions, in particular, did not find the effects we observe in the wider literature on framing effects in psychiatry (Peters et al., 2020). We also know that mental health professionals often actively and conscientiously disavow dualism and essentialism. For example, Ahn et al. (2006) found that expert clinicians generally resisted the suggestion that mental illnesses have causal essences, and tended to believe that, even if there *were* one basic kind of cause for a mental illness, effective psychiatric interventions would not need to target it.⁸

This points to an even more general problem with the "big, bad biases" hypothesis. This is that, if implicit biases really are to blame for the errors in experts' reasoning, actively correcting for these biases should go a long way towards warding them off. But in some of the most striking

⁷ We have already seen some examples of this interpretative line: recall, for example, the suggestion by Ahn and colleagues that clinicians' apparently competitive judgments about the different causal bases of mental illness might be driven by illicit inferences from an intuition of explanatory exclusion (e.g., "if a genetic explanation is relevant, other explanations must be irrelevant").

⁸ In their discussion of this result, Ahn and colleagues emphasize that even complete *novices* endorsed essentialist views at a much lower rate than they did for medical disorders, and at comparable rate to their essentialist judgments about nominal kinds like "trees planted in the year 2002" and "dogs whose names begin with 'F'" (2006: 766).).

studies to date, participants were explicitly reminded of the importance of reasoning about mental illness in a non-reductive, pluralistic way. For example, Ahn et al. (2009) stressed to participating clinicians that "biological, psychological, and environmental causes [are] non-mutually exclusive domains that could be overlapping". On a free recall task, participants then volunteered an average of 5.4 different causes for various mental disorders, and judged a full third of them to be "both biological and psychological" in nature. But these same clinicians later seemed to think that conditions with a significant biological basis would not have a very significant psychological and environmental basis, and vice versa. If implicit bias were really to blame for these effects, it is difficult to see why explicit correction did not mitigate them.⁹

So there are deep problems with familiar attempts to explain explanatory framing effects. Appeals to deep-seated biases, or indeed to judgments about causal relevance, do not explain some of the strangest features of the empirical data, especially the "exclusionary" character of people's explanatory judgments. More generally, and even more damningly, these analyses would have us accept that mental health professionals are nothing like the expert clinical reasoners we tend to think they are. After all, the apparent errors in their judgments are perfectly congruent with laypeople's, and perfectly incongruent with widely endorsed principles of clinical and causal reasoning. So we seem forced to say that clinicians are as likely as is the proverbial man off the street, or the typical undergraduate, to reason in extremely crude ways about mental illness—to think things like "every psychiatric condition has one kind of cause and one good intervention", or "the mind and the brain must be completely different systems", or "if something can be explained by a biological factor, that must be the only explanation we can give".

On reflection, however, this claim should seem deeply uncharitable. It should also seem downright implausible. Although the assumption of ignorance is often casually thrown about in the literature, it seems to me that such a damning conclusion about the poverty of expert reasoning should come as a last resort, not a first guess. ¹⁰ If clinicians are consistently displaying a distinctive pattern of judgment, it seems like good interpretive practice to at least wonder whether something deeper might be going on. And there are, I think, richer and more satisfying ways of making sense of this broader picture. I now want to consider a novel analysis of just this kind. I will call this the "normative model" of explanatory framing effects.

_

⁹ We find further evidence for this general pattern in Miresco & Kirmeyer (2006), which studied psychologists' and psychiatrists' clinical reasoning vis-a-vis causal attributions. "Instead of treating [biological and psychological explanations] as different levels of explanation," the authors report, "[their] endorsement of biologically construed bases of behaviors (e.g., genes, brain structures, neurotransmitters) appears to be *inversely related* to their endorsement of psychologically construed bases of behaviors (e.g., intentionality, desire, motivations)." In other words, experts seem to consistently reason as though biological and non-biological explanations of mental illness are fundamentally in tension with one another. Note also that ~30% of clinicians in Miresco and Kirmeyer's study correctly guessed the experimenters' hypothesis — but their responses were not statistically different from other respondents'. This suggests, as the authors note, that *even explicit awareness of the research question* did not impact experts' tendency to reason about different kinds of causal attributions in a dualistic, competitive fashion.

¹⁰ For instance, in their discussion of their 2009 study results, Ahn and colleagues caution us that "new discoveries of genetic influences on a mental disorder could inspire possibly inaccurate inferences [by clinicians] that the disorder is no longer psychologically or environmentally influenced." But this should seem incredible. Even your average undergraduate would probably not make inferences quite this silly.

4. Causes, Norms, and Explanations

Before I start filling out the normative model, it will be helpful to think for a moment about explanations more generally. In particular, I want to take a quick step back to consider what it is we are really *doing* when we explain things. One extremely intuitive answer to this question—so intuitive, in fact, that you might not think there are viable alternatives to it—is that we explain things to one another in order to share causal information. In other words, we seek explanations primarily because we want to acquire true beliefs about the causes of some fact or event.¹¹

As it turns out, however, there are good reasons for thinking that ordinary explanations do not track unvarnished facts about causal structure. If this is right, it suggests that the "causal communication" picture of explanation is *anemic*, even if otherwise correct. There are important and even essential features of ordinary explanations that it simply doesn't capture. One initial way of getting a feel for this is by reflecting on a fact very familiar to philosophers of science, which is that explanations are always selective and partial. Explanations never describe *all* the causes of an event: instead, they filter down facts about general causal structure in light of various communicative, interpretive, and pragmatic norms relevant in a particular context.

Research suggests, however, that our explanatory practices are influenced by implicit norms in even deeper ways than those indicated by considerations of mere relevance or contextual utility. For example, we now have a great deal of evidence that people's causal ascriptions—and therefore, it would seem, their causal-explanatory judgments—are influenced by considerations of moral valence, moral responsibility, statistical normality or abnormality, and norms of proper functioning (see, e.g., Kahneman & Tversky, 1982; Alicke 1992; Alicke et al., 2011; Hitchock and Knobe, 2009; Icard et al., 2017; Kirfel & Lagnado, 2018; Kirfel et al., 2024; Statham, 2020; Sytsma et al., 2012). When, for instance, the actions of two different people bring about some effect, but only one of them was not supposed to have acted as they did, people tend to say that it is the rule-breaker's actions (rather than the rule-follower's) that caused what happened. Similarly, when the functionality of a mechanism depends on the functions of many of its parts, but one part is functioning as designed and the other is functioning counter to design, people tend to say that the part that is *not* functioning as designed is the one that explains the mechanism's breaking down—even when an intervention into either of these parts would be sufficient to fix it (Hitchock and Knobe, 2009).

In this way, people in search of explanations seem to reason in light of "normalizing" counterfactuals. When trying to understand why something happened, they consider what would have happened if something more normal occurred instead. This suggests that people are sensitive to lots of surprisingly rich background norms about what's good, what's typical, or even what's purposeful when they reason about what caused what, and what explains what. An obvious question that arises in this context is why exactly this is. Various possible answers have

¹¹ This analysis, of course, doesn't capture the character of non-causal explanations. And even if we restrict ourselves to causal explanation, there are typically going to be further constraints—e.g., norms of relevance, nomological character, predictive force, counterfactual dependence—placed on the kinds of causal facts that can be properly explanatory. But these philosophical subtleties need not concern us here.

already been carefully explored in the recent literature on norms in causal-explanatory judgment, so I won't say much on the matter here. One very convincing proposal, however, highlights the important role that explanations play in guiding our future action. The basic thought here is that, if we want to change an outcome, we usually want to do so by making something go *better*—and this often means making sure something goes "less wrong", or becomes "less unusual", or functions "more optimally". Focusing on abnormal events in our explanations therefore helps us zero in on the most suitable possible interventions, by helping us see what *should* be made better in order for an outcome to change in the best possible way.¹²

I think this line of thinking captures something important. In fact, the "normative model" of explanatory framing effects, which I will now introduce, can be regarded as a variation on this theme. But it also stands to deepen our appreciation of the general theme, by providing a fuller picture of how and why we might arrive at our judgments of normality, suitability, and relevance.

The Normative Model

The central idea underlying what I am calling the "normative model" is simple. It is just this: if explanations are sensitive to underlying judgments about the *normality* or *abnormality* of different nodes in a causal structure, and therefore judgments about the *suitability* or *unsuitability* of different ways of intervening in it, this is probably because they are sensitive to underlying judgments about which kinds of *problems* an outcome represents or implicates. In other words, in light of all the evidence for the impact of normative judgments on people's causal-explanatory reasoning, it seems very plausible to suppose that presenting people with different explanations of an outcome conveys broader normative information about—can reflect or further reinforce implicit judgments about—what exactly has "gone wrong" such that this outcome came about. In this way, different explanations would invite us to think not just in terms of different possible causal histories, but also in terms of different possible *kinds of wrongness*. And that is to say that they would encourage us to think in terms of different possible *problems*.

This proposal might sound suspiciously esoteric when considered in the abstract. But I think the basic idea it tracks is extremely intuitive. To see this, start by considering a very simple case. Suppose that my friend recently failed their qualifying exams, and I asked them *why* they failed. Here are two possible answers they might give me:

- (1) I failed because the exam focused on Hegel's Science of Logic!
- (2) I failed because I didn't focus on studying Hegel's Science of Logic!

I think it's clear that these explanations are not tracking different *causal* facts. If the exam was on a particular text that my friend did not know much about, both facts about the exam's contents, on the one hand, and facts about the state of my friend's knowledge, on the other, jointly led to their receiving a failing grade. In other words, these explanations are naturally interpreted as pointing to different features of the same causal structure. This structure licenses

¹² See Hitchcock & Knobe (2009) and Phillips et al. (2019) for more detailed developments of this view.

various counterfactuals: for example, if the exam had instead been on many different texts, *or* if my friend had instead mastered the Doctrine of the Concept, they would have passed rather than failed. But it's precisely because my friend didn't know much about Hegel that the first counterfactual is true, and because the exam only tested knowledge of Hegel that the second is.

On reflection, however, it should seem equally clear that each of these explanations communicates something very different about what went wrong with the exam. And, by the same measure, each communicates a very different picture of how the exam could have gone right. Consider (1). This explanation suggests, especially when pronounced with a certain level of righteous indignation, that the problem with the exam was that it examined the wrong things. The question my friend is implicitly inviting me to consider here is something like this: "why did a qualifying exam, which really ought to assess general philosophical competence, focus entirely on one marginal and arcane text?" To the extent that I accept her explanation, I will probably think this question is a fair one. And so I will probably start considering counterfactuals that involve ways in which the exam could have been better (more fairly, more aptly) designed. The relevant interventions suggested by these counterfactuals would then involve protesting or endeavoring to change this design—say, petitioning the department chair to declare the exam invalid, or pressuring the faculty examiners to rethink their standards of professional assessment.

Explanation (2), however, does something very different. It suggests that the problem with the exam was not the nature of its design, but rather my friend's *lack of preparation* for it. In light of this, it immediately invites the consideration of different questions (e.g., "why didn't you study more Hegel?"), different counterfactuals (e.g., "what if you had studied more Hegel?"), and different interventions ("master the method of determinate negation", "acquire a better understanding of the German Idealists", etc). But this is not because the second explanation explicitly or implicitly disputes any of the counterfactuals suggested by the first explanation, or indeed the efficacy of the interventions implied by them. It's still true that if the exam would have been designed differently, or if the exam results had been declared invalid, my friend would not have failed. Invoking (2) has the distinctive effect it does not by denying any of these causal or counterfactual features of the exam's outcome, but rather by communicating that the *real issue* with this outcome—the thing that really went wrong, and therefore the thing that really should be made right—is that my friend did not do a good enough job of preparing for it. This naturally suggests that the right kind of solution to the issue, the *real* solution, will involve changes to my friend's study habits and philosophical literacy, not changes to the nature of the exam.

So here we have two different explanations which, when considered in terms of their descriptive content, are not just consistent but fully complementary. Each is true precisely because the other is. But they seem to license very different ways of thinking about the outcome they jointly explain, by encouraging us to think in terms of different kinds of problems. In other words, they give us different senses of what kind of "wrongness" my friend's failure represents.¹³

¹³ You might think that this gloss only works because "failing an exam" is *intrinsically problematic*. Would the same kind of analysis work if we were dealing with an ordinary explanation of some humdrum event? I think it often will. I use the language of "problems" here loosely, to indicate there is a particular way in which things veered off their normal course. But some such "veering off-course" is typically what makes us seek explanation in the first place. If

There are three things that it is extremely important to notice here. The first is that there is no *empirical* fact of the matter about which of these explanations is the better one. We couldn't simply inspect the world, or our best causal models, in order to determine that one of these explanations gets things right and the other gets things wrong, or that one is more and the other less adequate. This is because to say "the real problem here is X" is not to make an empirical claim about the way a situation has actually shaken out. It is to make an intrinsically normative claim about how we *ought to think about* its stakes and significance. When we ask "what was the problem that led to this outcome?", we are asking for a normative picture of how a good outcome should have been brought about. But even the full set of causal and historical facts is consistent with many such pictures.

The second thing to notice is that presenting a situation in light of a particular kind of problem involves the transmission of *complex* normative information. In other words, conveying "the problem with outcome O is feature F" isn't just a matter of communicating a single claim about the kind of badness, wrongness, or strangeness represented by a particular event. When my friend tells me that they failed the exam because it was on the *Science of Logic*, they're not *just* telling me that this happens to be a bad text to examine people on. (They do not mean to convey that the exam should have been on the *Wissenschaftslehre* instead.) They're rather saying something like "think about qualifying exams in light of changing norms of philosophical importance, academic competence, or fairness to students of different backgrounds or interests". Or indeed: "do not simply think about these exams in light of particular students' preparedness."

This is important, because it makes sense of why explanations that foreground different problems might reasonably recruit many different kinds of downstream inferences. They don't just lead us to think "F is bad with respect to O" or "let's focus on changing F". Instead, they tell us something like "think about O in light of the norms relevant to F-ness." In this way, the presentation of a problem can influence our thinking about a situation very generally, by shifting our focus to specific kinds of default states, variables, relationships, and standards of assessment in our further thinking about and acting upon it. When we point to a problem, as when we point to an explanation, we are pointing to those features of a situation that we think are most important for really understanding and exploring it. This pointed focus is obviously useful, because it organizes our interactions with an outcome of interest: it ensures that we reason about and respond to it in a way that is guided and systematic, rather than chancy and haphazard.

This leads me to one last and especially critical point. This is that the "narrowing of normative focus" characteristic of problem-based reasoning—that is, the pressure it puts on us to

everything is going precisely as expected, or precisely as I think it should, I probably won't ask searching questions about why this is the case. If I find myself confused or puzzled, it's usually because something isn't going the way I thought it would (the clear skies have suddenly turned stormy; my computer isn't booting; my friend is late to dinner; my brother can't get a job; my partner is feeling ill; etc.). Of course, sometimes these unexpected happenings are *positive* (my brother wins a Nobel; my partner makes an abrupt recovery). But I think we can understand these happenings as representing a *solution* to a particular kind of problem (e.g., the problem of illness). Or you might think of "problems" as generic "violations of norms", rather than as a concept associated with a specifically negative valence. In this way, any deviation from a normal state suggests a "problematic" interruption of the ordinary course of things. I want to maintain the language of "problems" here because I think we have a deep and intuitive grasp of the general importance of problems, which is not necessarily true of "norm-violations."

regard an outcome as representing a specific kind of wrongness or strangeness—naturally leads us to think in terms of there being some kind of "root" problem at issue in any particular case. Although I can't defend this point in fullness here. I think it's an important fact about our ordinary way of thinking about problems that we often talk about "the real problem" with a situation (as opposed to any number of problems it might happen to exhibit), and the importance of finding "real solutions" to it (as opposed to the mere "quick fix"). What this suggests is that coming to understand a problem often involves coming to understand an outcome as having a kind of normative "core." This is why it is so natural to think, once we take an outcome to represent a particular kind of problem, that we can only really understand it by thinking about it in a particular way—namely, in light of the particular wrong-making or strange-making features that make it the problem it is. And it is why we think that we can only meaningfully respond to it by changing these features in the right way—by bringing them back in line with the right norms. When we have a sense of the "real problem", we will often discount unrelated features, and inappropriate interventions, as more or less irrelevant.

This is where talk of problems can start to seem importantly different from talk of causes.¹⁴ It is fairly easy to think about an event as having various different causes. In fact, we all simply know that every event has a complex causal history. It is much more difficult, however, to think of an event as representing different kinds of "root problems." For example, I can pretty easily accept that my friend's failing their qualifying exam is caused by facts about both their preparation and the nature of the examination. But once I think of their exam outcome as reflecting a basic *design* problem, it becomes difficult for me to think that it also, simultaneously, reflects a basic problem with my friend's preparedness. If the exam shouldn't have been on Hegel, the fact that my friend didn't study Hegel is, in a way, besides the point. Although it is true, it is not what is really concerning. The real problem is that the exam did not serve to assess graduate students in a fair and methodologically well-grounded way; so the real solution would be to ensure that it does. This would be true even if we could reliably change the outcome in other ways (say, by giving advance warning of the examiners' Hegelomania, or providing a cheat sheet, or allowing for do-overs). Although these would all be effective interventions, they would only be "quick fixes". They would not address the real issue.

If this is right, it might explain why many people, including many philosophers, often seem to regard different explanations of at least some outcomes as competing or mutually incompatible, despite their appreciation for the complexity of causal history. When they say things like "these explanations can't both be right!", or "if this explanation is good, then this other one can't be very good!", they might not be calling out to us from the depths of explanatory chauvinism. Similarly, when they say "only this intervention would be really effective", they might not be experiencing sudden amnesia with respect to all other possible interventions. They might, instead, simply be appropriately responding to the claim to exclusivity implicit in our judgments about "real problems," and the "real solutions" that would rightly resolve them.

¹⁴ Or, rather, where it will start to seem a lot like talk of "actual causes."

5. Problems and prescriptions in psychiatric explanation

Let's return to explanations of mental illness. My angle on this should now seem fairly clear: I think that many of the puzzling effects that researchers have observed when giving people different explanations of psychiatric symptoms will begin to make a great deal of sense once we think of these explanations as pointing towards different kinds of "real problems". In fact, I think that the normative model can help us make sense of these effects not only from a diagnostic perspective, but also from a *rationalizing* perspective. In other words, it can help us see why it might actually be reasonable to make at least some of the inferences that people do.

Recall that the normative model invites us to think about explanations as encoding information about problems, or divergences from a particular kind of "normal", non-problematic case. Even at a very abstract level, this idea should seem to translate quite naturally into the explanatory context of psychiatry. Explanations of mental illness center on *illnesses*, which are essentially and even paradigmatically "problems." And it's obvious that there are many ways of understanding what kinds of problems these are, and what kind of "unproblematic" states they should be contrasted with. In fact, you might think that this is reason to remain devoutly agnostic about the deeper nature of psychopathology. Amid such thorny metaphysical thickets, it can seem prudent to leave the bigger questions for the scientists and philosophers to hash out.

But if the normative model is right, it will be extremely difficult to divide up the intellectual labor in this way. This is because the model suggests that we are often implicitly coming down on these questions, even in the apparently innocuous activity of giving and receiving explanations of psychiatric symptoms. In other words, it predicts that our choices about which factors to foreground in explanations of mental illness are influenced by, and will themselves influence, our general sense of what the problem represented by that illness really is. This suggests that when I say, for instance, "Sally is depressed because of a neurotransmitter imbalance," what I am saying is not just "abnormal neurotransmitter levels are causally related to her depression". I am also saying that "Sally's problem—call it 'depression'—is really a neurotransmitter problem." If, however, I explain Sally's depression by reference to cognitive traits (say, "Sally is depressed because she ruminates"), the model predicts that my audience will infer not only that Sally's depression is caused by her cognitive habits, but also that her problem is really a cognitive one. Similarly, if I say "Sally is depressed because she's been out of a job all year", my audience will take me to be saying that her depression is a problem of economic precarity—in other words, that it is basically a social or environmental problem.

This way of analyzing the impact of different explanatory claims should seem very intuitive. It also illuminates the intuitive basis for an assumption which should seem otherwise strange, and strangely prolific across psychiatrists (and, I suspect, philosophers). This is the assumption that explanations of mental illness can *compete* for explanatory power. We've seen, for example, that clinicians often seem to think that a condition that has a good biological explanation cannot have a very good psychological explanation. But these same clinicians also think that biological and psychological causes of mental illness often work together. In fact,

many claim that at least some causal factors are simultaneously *both* biological and psychological in nature. So why would they ever conclude that conditions that can be explained biologically could not be otherwise explained? The normative model produces a simple answer: once a condition is conceived as a basically biological problem, explanations that do not make reference to biological norms, or invoke features relevant to these norms, will simply seem inapt.

The analyses suggested by the normative model are, however, not only intuitive in the abstract. They also supply very compelling explanations of precisely the kinds of effects that researchers have observed when studying the impact of different explanatory framings of mental illness on people's judgments. To see this, let's reflect on how these explanations might run.

Consider first **changes to interventional inferences.** We've seen that when people are presented with biological explanations of psychiatric symptoms, they tend to think that medication, but not psychotherapy, will be an effective treatment. But when they are presented with psychological explanations of these same symptoms, they infer exactly the reverse. This should seem very strange. Why would anyone think that interventions can only be effective if they target particular kinds of causes? Broad clinical consensus explicitly militates against this.

The normative model, however, makes this preference for congruent kinds of interventions much more comprehensible. This is because it is quite reasonable, as we have seen, to think that a real solution to a particular kind of problem—that is, a solution that *genuinely resolves* it—will address the very features that made it problematic to begin with. If I tell you, for example, that I keep missing my afternoon appointments because I have a problem with waking up before 2pm, it should seem obvious that the way for me to *really* solve this problem is to deal with my habit of oversleeping. Of course, I could also simply start to schedule my meetings in the evenings. This would clearly be a neat and effective intervention upon an undesirable outcome. But I think it would, just as clearly, not be a "real solution" to the central issue.

Real solutions are interventions that change an outcome in precisely the right way, rather than in any old way. And this is something we care a lot about. Think here of the familiar charge that something is just a "band-aid" or a "stopgap", and the deep skepticism and disdain that these epithets convey about proposed solutions to a problem (e.g., depression, income inequality, or racial prejudice). One reason these charges are so powerful, I think, is that they don't usually dispute the *possibility* of implementing many different kinds of interventions upon an outcome. What they dispute is the normative *appropriateness* of pursuing them in lieu of a real solution to the issue, which would aptly and non-incidentally correct for it.

The importance of this distinction is very clear in the case of mental illness. If, for example, I think that anxiety is fundamentally a biological problem, it seems very reasonable to think that I can only get a "real fix" by addressing the specifically biological wrongness at issue: the correct course of action is to bring the anxious person back in line with biological norms. Cognitive behavioral therapy might then seem to be only a "coping mechanism", or perhaps even a temporary "band-aid" to wear until the real treatments kick in. But the inverse would be true if anxiety were understood in terms of psychological, social, or environmental problems. Once I see anxiety symptoms under these lights, it would be hard not to conclude that medications

would be, even if effective, only a stopgap measure. The kinds of treatment that would seem genuinely appropriate and potentially helpful would likely involve, say, psychodynamic explorations of emotional history, or support in challenging social norms.

Now consider **changes to prognostic beliefs.** As we've seen, studies have repeatedly found that broadly biological explanations of psychiatric symptoms lead to significantly greater pessimism about the course of mental illness than do psychological or environmental explanations of those very same symptoms. But this is, again, very odd. Why should simply learning that a mental illness has biological causes lead us to think that its symptoms will be more severe, more chronic, and less responsive to treatment? Biological mechanisms are not necessarily harder (and in fact often easier) to intervene upon than other sorts of causal factors.

But we can start to make sense of these pessimistic inferences if biological explanations of mental illness communicate that mental illness is a fundamentally *biological problem*. After all, problems—unlike mere causal facts—are the kinds of things that we feel compelled to resolve, rather than simply to understand or manipulate. And pessimism is a perfectly natural response to encountering problems that we cannot get a meaningful normative grip on. I can only reasonably be optimistic about the resolution of an issue if I have a pretty clear idea of what it would mean to *solve* it, and a pretty firm basis for thinking that I *could* solve it. But biological problems, at least as they arise in the context of mental illness, are precisely not issues of this kind. We don't usually understand what they involve, and so they tend to leave us stumped and confused.

Suppose, for example, I tell you Dave is depressed because he has a "neurotransmitter problem". What can you now realistically infer about his prospects? What can you reasonably assume about how to rightly respond to his distress, how to tell whether such a response has been effective, how long his distress will take to fully resolve, even whether it can be effectively resolved at all? Unless you happen to be a neurophysiologist (and even if you are), these questions are likely to leave you somewhat bewildered. And a similar difficulty will arise when we turn to genetic problems. What would it even look like to solve a genetic problem? Most of us don't really know what genetic facts involve, much less what genetic "problems" involve, much less what might be done to meaningfully correct them (we certainly can't intervene directly on the genome!). So if we learn that Dave's suffering is a specifically genetic problem, we probably won't feel very clear-eyed or hopeful about his prospects. We will likely find it difficult to envision how his problems could be meaningfully addressed.

We will, however, have a much easier time getting a firm and reassuring grip on problems of other kinds. For example, the category of psychological problems is extremely familiar to us. We all deal with various issues related to our thoughts, feelings, and cognitive habits, at least in some way or to some degree, and so we are well-practiced at making sense of the general class to which they belong. We intuitively know how to assess—at least in a sketchy and preliminary way—when and in what respect someone has a psychological problem, and what it would mean to see it genuinely resolved. We also know first-hand, and in light of a lifetime's worth of evidence, that people can and often do find such solutions. Much the same is true, I think, for most social, cultural, and environmental problems. Like psychological problems, these are the

kinds of issues on which we already have a strong "normative grip": we are well-equipped to understand why they're bad, and what might really make them better. So we are less likely to feel pessimistic on principle when we learn that someone must grapple with them.

Finally, consider the observed **changes to interpersonal attitudes**, such as effects on attributions of agency, stereotyping, and even baseline levels of empathy. It would be very odd, I think, if these effects were down to our simply learning that some states and behaviors have biological causes. All human states and behaviors have biological causes; people with mental illness are no different in *this* respect. These results would make a great deal of sense, however, if they were tracking changing views of real problems. This is because some problems—for example, "neurotransmitter problems"—are extremely difficult to think about as problems of more or less ordinary agents. They are conceptually so far removed from the ordinary vocabulary of personal and interpersonal life that it can take real effort to integrate them into a familiar psychological or moral framework. (Even professional moral philosophers sometimes struggle to do so.) For this reason, we might have a hard time figuring out how to morally and rationally engage with the people whose problems they are. In the face of invitations to prediction and imaginative projection, we might find ourselves rudderless. Reaching for stereotypes would then be one strategy for compensating for this felt sense of uncertainty.

If, however, we think of someone's suffering in terms of broadly familiar psychological problems—say, issues with rage, rumination, social anxiety, or self-control—we can much more easily employ our ordinary conceptual and interpersonal tools to try to understand and help them. For example, we might try to reason with them, criticize or defend their behavior, attempt to convince them to change their minds or habits, advise them to talk to their friends or loved ones, encourage them to find a psychotherapist, and so on. We will, in short, regard them as agents with whom we ought to rationally engage in a familiar, interpersonal sort of way. And this is exactly what researchers report in the empirical literature. As we've seen, when people are given psychological explanations of psychiatric symptoms, they tend to think that psychotherapy is the best kind of treatment; they are less likely to think of people with these symptoms as deeply abnormal; they are more inclined to hold them accountable for their behavior, and to assume that they can control or change their thoughts and feelings; and they are not disinclined from pursuing extended or particularly intimate forms of social contact with them. All of these effects are perfectly consistent with, and indeed predicted by, the normative model. They would seem to follow from an invitation to attend to particular, familiar problems, rather than those that are particularly foreign.

6. Conclusion

I began this paper by introducing some puzzling results from recent research on explanatory framings of mental illness. This literature suggests that people consistently respond in surprising ways to different explanations of even the very same psychiatric symptoms. I have argued that these systematic impacts on the reasoning of so many people, including experts,

should make us curious about what might be driving them. And I've suggested that explaining the data away as mere evidence of ignorance is uncharitable to the point of implausibility.

But there is a way of making sense of these data that does not require such uncharitable and implausible assumptions. If, as I have been suggesting, explanations of psychiatric symptoms don't simply communicate facts about their causal history, but also motivate judgments about the "real problem" they represent, the fact that people reason differently across explanatory contexts should no longer seem surprising. Different explanations of mental illness center different kinds of norms in our reasoning, in such a way as naturally informs a broad range of further judgments about psychiatric conditions, and what it would take to meaningfully address them.¹⁵

This analysis does not, however, only neatly account for some otherwise puzzling effects. It also captures the overall reasonability of the basic *mechanism* by which these effects are generated. Although a detailed defense of this claim will have to be left to another occasion, I think it should already be fairly easy to see how thinking in terms of problems can serve as a powerful cognitive strategy. Even in very simple cases—as, for example, when moping about a failed exam, or a missed appointment—we can often understand what is wrong, strange, or unusual about an outcome in a number of different ways. But a sensitivity to "real problems" attunes us to those of its features we really *should* care about, by attuning us to norms that can guide our general reasoning about and responses to its occurrence. This guidance is crucial, especially over the longer run of inquiry and action, in focusing our thinking about particular kinds of outcome in ways we deem normatively apt—which, importantly, need not coincide with those that would be strategic for the purposes of locally optimized predictions or interventions. ¹⁶

If this is right, representations of problems are *functional*. They are the means by which we get a handle on how generally to think about an outcome, especially in the face of plausible alternatives. But this, in turn, suggests that the problem with explanatory framing effects is not that people are unduly responsive to such representations. It is rather that they do not recognize that this is what they are doing. In the context of psychiatry, however, this is an oversight we can ill afford. Whenever we are confronted with psychiatric conditions, we are confronted with difficult choices about how to think about them. Is the basic problem represented by my depression, for example, the fact that my neurotransmitters are out of whack, or is it rather that I have succumbed to obsessive self-criticism? Or is it, perhaps, that I am profoundly isolated, or existentially adrift, or living in conditions of extreme economic precarity?

¹⁵ Importantly, this claim need not be restricted to psychiatry. And a recent series of experiments by Nettle et al. (2023), which aimed to assess people's responses to different kinds of explanations of human behavior *in general*, produced effects very similar to those we observe in explanations of mental illness. This neatly complements the more general hypothesis I've been suggesting here. If problem-based reasoning is simply a feature of explanatory reasoning, then its influence should not be restricted to specifically psychiatric explanations.

¹⁶ This marks an important difference between the normative model I've considered here and more familiar accounts of norms in explanation. These familiar accounts tend to emphasize the role of norms in guiding us to optimal interventions, often with the implication that explanations are directed at maximizing their efficacy or reliability. The normative model, however, shows how we would go about determining which interventions are optimal *in the right kind of way*. In so doing, it deepens the role that norms play in guiding explanatory reasoning.

As far as I can tell, there is no non-normative information that could dictate a single, uncontroversially correct answer to these questions.¹⁷ This is because satisfying answers to them are not decided by the causal facts; they are decided by our sense of what the facts should have been and should be. To endorse one answer over others is, in other words, to assume a kind of responsibility for adopting a particular normative view—and this is a responsibility that cannot be cleanly offloaded onto the scientist, the metaphysician, or the empirical data. How best to adjudicate this responsibility is an issue for another day. But, as with all such matters, the first point of business is to recognize that we are regularly summoned to judgment in this way. And here, I think, the normative model gives us just the resources we need.

References

- Ahn, W. K., Flanagan, E. H., Marsh, J. K., & Sanislow, C. A. (2006). Beliefs about essences and the reality of mental disorders. *Psychological Science*, *17*(9), 759-766
- Ahn, W. K., Proctor, C. C., & Flanagan, E. H. (2009). Mental health clinicians' beliefs about the biological, psychological, and environmental bases of mental disorders. *Cognitive science*, *33*(2), 147-182.
- Ahn, W. K., Kim, N. S., & Lebowitz, M. S. (2017). The role of causal knowledge in reasoning about mental disorders. In *The Oxford handbook of causal reasoning* (2017), ed. Waldmann, 603-618.
- Ahn, W. K., Novick, L. R., & Kim, N. S. (2003). Understanding behavior makes it more normal. *Psychonomic Bulletin & Review*, *10*(3), 746-752.
- Alicke, M. D. (1992). Culpable causation. *Journal of personality and social psychology*, 63(3), 368.
- Alicke, M. D., Rose, D., & Bloom, D. (2011). Causation, norm violation, and culpable control. *The Journal of Philosophy*, 108(12), 670-696.
- Baek, C. H., Kim, H. J., Park, H. Y., Seo, H. Y., Yoo, H., & Park, J. E. (2023). Influence of biogenetic explanations of mental disorders on stigma and help-seeking behavior: a systematic review and meta-analysis. *Journal of Korean Medical Science*, 38(3).

¹⁷ At this juncture, you might want to resist the need for nominating a "real problem" at all. Shouldn't we insist that there are often many equally important problems with a situation of interest, rather than a single "root" problem? My answer is that we *can* say this, but it seems to me that we shouldn't. Although I cannot defend this claim in fullness here, I suspect that judgments about "real problems" serve an ineliminable function in our cognitive economy. We can, however, rest content with a more modest claim: if there are even some cognitive benefits to reasoning in terms of basic problems, and if evidence suggests we do reason in this way, there are strong (though defeasible) grounds for taking this seriously. There are also clear pragmatic grounds for assuming that we cannot really dispense with it.

- Bennett, L., Thirlaway, K., & Murray, A. J. (2008). The stigmatising implications of presenting schizophrenia as a genetic disease. *Journal of genetic counseling*, 17, 550-559.
- Bolton, D., & Gillett, G. (2019). The Biopsychosocial Model of Health and Disease: New Philosophical and Scientific Developments. Palgrave Pivot.
- Brog, M. A., & Guskin, K. A. (1998). Medical students' judgments of mind and brain in the etiology and treatment of psychiatric disorders: a pilot study. *Academic Psychiatry*, 22(4), 229-235.
- Dar-Nimrod, I., Zuckerman, M., & Duberstein, P. R. (2013). The effects of learning about one's own genetic susceptibility to alcoholism: a randomized experiment. *Genetics in medicine*, 15(2), 132-138.
- Deacon, B. J., & Baird, G. L. (2009). The chemical imbalance explanation of depression: Reducing blame at what cost?. *Journal of Social and Clinical Psychology*, 28(4), 415-435.
- Gershkovich, M., Deacon, B. J., & Wheaton, M. G. (2018). Biomedical causal attributions for obsessive-compulsive disorder: Associations with patient perceptions of prognosis and treatment expectancy. *Journal of Obsessive-Compulsive and Related Disorders*, 18, 81-85.
- Ghaemi, S. N. (2010). The rise and fall of the biopsychosocial model: Reconciling art and science in psychiatry. Johns Hopkins University Press.
- Ghaemi, S. N. (2011). The biopsychosocial model in psychiatry: A critique. *American Journal of Psychiatry*, 121(1), 451-457.
- Harland, R., Antonova, E., Owen, G. S., Broome, M., Landau, S., Deeley, Q., & Murray, R. (2009). A study of psychiatrists' concepts of mental illness. *Psychological medicine*, *39*(6), 967-976.
- Haslam, N., & Kvaale, E. P. (2015). Biogenetic explanations of mental disorder: The mixed-blessings model. *Current Directions in Psychological Science*, 24(5), 399-404.
- Hitchcock, C., & Knobe, J. (2009). Cause and norm. The Journal of Philosophy, 106(11), 587-612.
- Icard, T. F., Kominsky, J. F., & Knobe, J. (2017). Normality and actual causal strength. *Cognition*, 161, 80-93.
- Iselin, M. G., & Addis, M. E. (2003). Effects of etiology on perceived helpfulness of treatments for depression. *Cognitive therapy and research*, 27, 205-222.
- Kahneman, D., & Tversky, A. (1982). On the study of statistical intuitions. Cognition, 11(2), 123-141
- Kemp, J. J., Lickel, J. J., & Deacon, B. J. (2014). Effects of a chemical imbalance causal explanation on individuals' perceptions of their depressive symptoms. *Behaviour research and therapy*, *56*, 47-52.
- Kendler, K. S. (2005). Toward a philosophical structure for psychiatry. *American journal of Psychiatry*, *162*(3), 433-440.
- Kim, N. S., & LoSavio, S. T. (2009). Causal explanations affect judgments of the need for psychological treatment. *Judgment and Decision Making*, 4(1), 82-91.
- Kim, N. S., Paulus, D. J., Gonzalez, J. S., & Khalife, D. (2012). Proportionate responses to life events influence clinicians' judgments of psychological abnormality. *Psychological assessment*, 24(3), 581.
- Kirfel, L., Harding, J., Shin, J., Xin, C., Icard, T., & Gerstenberg, T. (2024). Do as I explain: Explanations communicate optimal interventions.

- Kirfel, L., & Lagnado, D. (2018). Statistical norm effects in causal cognition. In T. T. Rogers, M. Rau, X. Zhu, & C. W. Kalish (Eds.). Proceedings of the 40th Annual Conference of the Cognitive Science Society. Madison, WI: Cognitive Science Society.
- Kvaale, E. P., Haslam, N., & Gottdiener, W. H. (2013). The 'side effects' of medicalization: A meta-analytic review of how biogenetic explanations affect stigma. *Clinical psychology review*, 33(6), 782-794.
- Kvaale, E. P., Gottdiener, W. H., & Haslam, N. (2013). Biogenetic explanations and stigma: A meta-analytic review of associations among laypeople. *Social science & medicine*, *96*, 95-103.
- Lam, D. C., & Salkovskis, P. M. (2007). An experimental investigation of the impact of biological and psychological causal explanations on anxious and depressed patients' perception of a person with panic disorder. *Behaviour research and therapy*, 45(2), 405-411.
- Lebowitz, M. S., Ahn, W. K., & Nolen-Hoeksema, S. (2013). Fixable or fate? Perceptions of the biology of depression. *Journal of consulting and clinical psychology*, 81(3), 518.
- Lebowitz, M. S., & Ahn, W. K. (2014). Effects of biological explanations for mental disorders on clinicians' empathy. *Proceedings of the National Academy of Sciences*, 111(50), 17786-17790.
- Lebowitz, M. S., & Appelbaum, P. S. (2017). Beneficial and detrimental effects of genetic explanations for addiction. *International Journal of Social Psychiatry*, 63(8), 717-723.
- Lebowitz, M. S., & Ahn, W. K. (2018). Blue genes? Understanding and mitigating negative consequences of personalized information about genetic risk for depression. *Journal of genetic counseling*, 27, 204-216.
- Lebowitz, M. S., & Appelbaum, P. S. (2019). Biomedical explanations of psychopathology and their implications for attitudes and beliefs about mental disorders. *Annual Review of Clinical Psychology*, 15, 555-577.
- Lebowitz, M. S., Dolev-Amit, T., & Zilcha-Mano, S. (2021). Relationships of biomedical beliefs about depression to treatment-related expectancies in a treatment-seeking sample. *Psychotherapy*, 58(3), 366.
- Loughman, A., & Haslam, N. (2018). Neuroscientific explanations and the stigma of mental disorder: a meta-analytic study. *Cognitive Research: Principles and Implications*, *3*(1), 43.
- Magliano, L., Ruggiero, G., Read, J., Mancuso, A., Schiavone, A., & Sepe, A. (2020). The views of non-psychiatric medical specialists about people with schizophrenia and depression. *Community mental health journal*, *56*, 1077-1084.
- Marsh, J. K., & Romano, A. L. (2016). Lay judgments of mental health treatment options: The mind versus body problem. *MDM policy & practice*, *I*(1), 2381468316669361.
- Miresco, M. J., & Kirmayer, L. J. (2006). The persistence of mind-brain dualism in psychiatric reasoning about clinical scenarios. *American Journal of Psychiatry*, 163(5), 913-918.
- Nettle, D., Frankenhuis, W. E., & Panchanathan, K. (2023). Biology, society, or choice: How do non-experts interpret explanations of behaviour?. *Open Mind*, 7, 625-651.
- Peters, D., Menendez, D., & Rosengren, K. (2020). Reframing mental illness: The role of essentialism on perceived treatment efficacy and stigmatization. *Memory & Cognition*, 48(8), 1317-1333.

- Phelan, J. C. (2005). Geneticization of deviant behavior and consequences for stigma: The case of mental illness. *Journal of health and social behavior*, 46(4), 307-322.
- Phillips, J., Morris, A., & Cushman, F. (2019). How we know what not to think. *Trends in Cognitive Sciences*, 23(12), 1026-1040.
- Pilgrim, D. (2002). The biopsychosocial model in Anglo-American psychiatry: Past, present and future?. *Journal of Mental Health*, *11*(6), 585-594.
- Proctor, C. C. T. (2008). Clinicians' and laypeople's beliefs about the causal basis and treatment of mental disorders. Yale University.
- Schroder, H. S., Devendorf, A., & Zikmund-Fisher, B. J. (2023). Framing depression as a functional signal, not a disease: Rationale and initial randomized controlled trial. *Social Science & Medicine*, 328, 115995.
- Schroder, H. S., Duda, J. M., Christensen, K., Beard, C., & Björgvinsson, T. (2020). Stressors and chemical imbalances: Beliefs about the causes of depression in an acute psychiatric treatment sample. *Journal of Affective Disorders*, 276, 537-545.
- Statham, G. (2020). Normative commitments, causal structure, and policy disagreement. *Synthese*, 197(5), 1983-2003.
- Sytsma, J., Livengood, J., and Rose, D. (2012). "Two Types of Typicality: Rethinking the Role of Statistical Typicality in Ordinary Causal Attributions." *Studies in History and Philosophy of Science Part C*, 43: 814-820.
- Weine, E. R., & Kim, N. S. (2019). Systematic distortions in clinicians' memories for client cases: Increasing causal coherence. *Journal of Experimental Psychology: Learning, Memory, and Cognition*, 45(2), 196.
- Zimmerman, H., Riordan, B. C., Winter, T., Bartonicek, A., & Scarf, D. (2020). Are New Zealand psychology students more susceptible to essentialist explanations for mental illness? Neuroessentialism and mental illness stigma in psychology and non-psychology students. *New Zealand Journal of Psychology*, 49(3), 16-22.