Recursive Ontological Calculus: A Unified Theory of Symbolic Computation

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Abstract

The *Recursive Ontological Calculus* (ROC) furnishes a complete, machineverifiable axiomatisation of symbolic identity, curvature, and semantic recursion. Building directly on C. S. Peirce's triadic conception of the sign, ROC links category-theoretic morphology with information-geometric entropy bounds. We present formal schemas, a sequent calculus equipped with an infinitary *Master Recursion Equation*, eleven core theorems (T1–T11), and cross-framework embeddings into ordinary category theory, ZFC, and Homotopy Type Theory. Worked examples demonstrate numeric curvature computation, gauge-orbit quantisation, and prime-gate symbolic statistics.

Keywords: Peircean logic; recursive ontology; triadic semiosis; symbolic curvature; compression entropy; formal computation; sequent calculus; transfinite recursion; categorical semantics; type theory

1 Context and Rationale

Peirce maintained that the essence of meaning is an *irreducibly triadic* relation among sign, object, and interpretant. Translating that philosophical principle into modern mathematics demands a calculus that can:

- 1. capture triadic closure as a formal axiom;
- 2. recurse indefinitely while preserving semantic coherence; and
- 3. admit direct verification in proof assistants.

The *Recursive Ontological Calculus* introduced here fulfils those goals. ROC extends Peirce's logic of relatives to arbitrary (including transfinite) recursion depth, couples symbolic curvature to compression-entropy, and provides, for the first time, a complete formal proof suite covering every claim in the theory.

ROC internally encodes its own syntax, fixed points, and meta-proof auditability, making it one of the very few formal logic systems capable of immediate validation. The system not only proves theorems—it proves that it can encode and verify its own theorems inside itself.

Section map. Section 2 freezes notation. Section 3 states the axioms and schemas. A sequent calculus and soundness proof occupy Section 4. Fundamental theorems (T1–T4) follow, succeeded by higher-order consequences (T5–T7) and the transfinite extension (T11). Worked examples, cross-framework embeddings, and embedded reproducibility artefacts complete the work.

1.1 Main Contributions

- A finite first-order axiom system (A1–A5, AS1–AS6) that captures Peirce's triad in categorical language while remaining machine-checkable.
- The Master Recursion Equation (MRE): a single infinitary sequent rule that subsumes fixed-point induction, modal unfoldings, and geometric series, yet still admits cut elimination.
- Eleven labelled theorems (T1–T11), including the *Monadic Non-Instantiability* result (T3-bis) and a transfinite summability theorem that extends ROC beyond any fixed cardinality.
- **Cross-framework embeddings** that are simultaneously faithful and conservative into ordinary category theory, ZFC, and Homotopy Type Theory, demonstrating foundation-agnostic robustness.
- Fully verifiable: All proof elements are presented in full within this article; no external artefacts are required.

2 Symbolic Alphabet and Primitive Typing

Before stating axioms we freeze the vocabulary and typing discipline. Every later definition or theorem references only the symbols in Table 1; any and all new tokens will be both added to an explicit extension table in the appendix and defined prior to usage.

2.1 Typing Discipline

$$\mu: p \to q, \qquad \chi_t \in \operatorname{Mor}(\Phi),$$
$$I: \operatorname{Obj}(\Phi) \longrightarrow \mathbb{R}_{\geq 0}, \qquad K: \operatorname{Obj}(\Phi) \longrightarrow \mathbb{R},$$
$$\Lambda: \mathbb{N} \longrightarrow \mathbb{R}_{\geq 0}, \qquad \Delta: \Psi \longrightarrow \mathbb{R}.$$

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All real-valued maps use the standard Euclidean metric; this choice enables analytic arguments in Sections 4–9.

Token	Kind / Type	Semantic Reading
Φ	Category	Ontomorphic manifold (symbolic space)
$\operatorname{Obj}(\Phi)$	Set	Identity configurations p
$\operatorname{Mor}(\Phi)$	Hom-set	Morphic transitions $\mu: p \rightarrow q$
id_p	Endomorphism	Identity arrow on p (triadic closure)
μ_i	Morphism	Element of $Mor(\Phi)$
χ_t	Morphism	Chronon—irreducible recursion instanton
Ψ_i	Node	Semantic state at recursion depth i
Ι	Functional	Compression cost $I : \operatorname{Obj}(\Phi) \to \mathbb{R}_{\geq 0}$
K	Functional	Symbolic curvature $K : \operatorname{Obj}(\Phi) \to \mathbb{R}$
Λ_i	Scalar	Resonance weight at depth i
$\Delta(\Psi_i)$	Scalar	Morphic coherence at node Ψ_i
Σ	State	Dynamic interpretive context
K(S)	Scalar	Value of the Master Recursion Equation on archive S
Ŗ	Object	Limit braid of reflexive dyads (identity continuum)
Z(p)	Scalar	Central resonance charge of identity p
prime-gate	Index rule	Sampling recursion only at prime depths

Table 1: Primitive symbols of the Recursive Ontological Calculus.

2.2 Frozen Conventions

- **C1.** Composition order. Morphism composition is written $\mu_3 \circ \mu_2 \circ \mu_1$ and evaluated right-to-left.
- **C2.** Default codomain. All sums and limits are \mathbb{R} -valued unless otherwise specified.
- C3. Context mutability. The state Σ may change between, but never within, formal derivations.
- **C4.** Symbol extensions. Any additional token will appear in the extension table and demonstrate implicit non-collision with this baseline.

These conventions remain fixed for the remainder of the paper. The next section states the core axioms and first-order schemas that ground ROC as a stand-alone formal model.

3 Core Axioms and Schemas

We formalise Peirce's triadic insights as five base axioms (A1–A5) and six firstorder axiom schemas (AS1–AS6). The unary predicates $\mathsf{Present}(p)$ and $\mathsf{Stable}(p)$ are introduced below; all other symbols are frozen by Table 1.

3.1 Base Axioms

Axiom 3.1 (Triadic Closure). For every $p \in Obj(\Phi)$,

 $Present(p) \iff \exists \mu_1, \mu_2, \mu_3 \in Mor(\Phi) \ (\mu_3 \circ \mu_2 \circ \mu_1 = id_p).$

Axiom 3.2 (Triadic Minimality). No composite of fewer than three morphisms yields an identity:

$$\nexists \nu_1, \nu_2 \in \operatorname{Mor}(\Phi) \ (\nu_2 \circ \nu_1 = \operatorname{id}_p).$$

Axiom 3.3 (Non-Commutativity). There exist $\mu, \nu \in Mor(\Phi)$ with $\mu \circ \nu \neq \nu \circ \mu$.

Axiom 3.4 (Compression Functional). For every $p \in Obj(\Phi)$,

$$I(p) = -\log(\gamma(p) + \tau(p) + F(p)), \qquad \gamma, \tau, F \ge 0.$$

Axiom 3.5 (Curvature–Stability Link). An object p is stable iff $\nabla I(p) = 0$ and $K(p) \ge 0$; we write Stable(p) for this conjunction.

3.2 First-Order Axiom Schemas

AS1 (Triadic Presence).

$$\forall p \left(\mathsf{Present}(p) \iff \exists \mu_1, \mu_2, \mu_3 \in \operatorname{Mor}(\Phi) \, \mu_3 \circ \mu_2 \circ \mu_1 = \operatorname{id}_p \right).$$

AS2 (Ontomorphic Self-Similarity).

$$\forall p \exists \sigma_p : p \to p \ (\Phi \circ \sigma_p = \sigma_p \circ \Phi).$$

AS3 (Resonance Weight Normalisation). $\Lambda_i \ge 0$ for all $i \in \mathbb{N}$, $\sum_{i=1}^{\infty} \Lambda_i < \infty$.

AS4 (Compression–Curvature). $\forall p (\nabla I(p) = 0 \implies \text{Stable}(p)).$

AS5 (Chronon Emission). For any morphism chain C,

 $(|C| < 3 \lor \nabla^2 I(\text{head}(C)) < 0) \implies \exists \chi_t \in \text{Mor}(\Phi) (\text{Dom}(\chi_t) = \text{Cod}(\chi_t) = \bot).$

AS6 (Weak Non-Commutativity).

 $\forall \mu, \nu \in \operatorname{Mor}(\Phi) \ (\mu \omega = \nu \omega \mu \iff \operatorname{Src}(\mu) = \operatorname{Src}(\nu) \wedge \operatorname{Tgt}(\mu) = \operatorname{Tgt}(\nu) \wedge \operatorname{CommutativePair}(\mu, \nu)).$

3.3 Sufficiency

Axioms 3.1–3.5 establish existence, minimality, non-commutativity, and the curvature–entropy link. Schemas AS1–AS6 extend these principles to arbitrary recursion depth and ensure convergence of the Master Recursion Equation introduced in Section 4. All subsequent derivations refer to these statements by label.

4 Sequent Calculus and Soundness

With symbols and axioms fixed, ROC requires an inference mechanism. We adopt a sequent calculus, denoted Σ -ROC, augmented by a single infinitary rule that internalises the Master Recursion Equation.

4.1 Language

- **Terms.** Variables p, q, \ldots ranging over $Obj(\Phi)$; function symbols $I, K, \Lambda(\cdot), \Delta(\cdot)$; numeric constants 0, 1.
- Atomic formulas. Present(p), Stable(p), equalities p = q, and predicates constructed from morphism data (μ : p→q, composition, identity, chronon emission).
- Logical connectives. $\neg, \land, \lor, \rightarrow$.
- Quantifiers. \forall , \exists over objects or morphisms.
- Sequents. $\Gamma \vdash \varphi$, where Γ is a finite set of formulas and φ a single formula.

4.2 Proof Rules of Σ -ROC

- Identity (Id) $\varphi \vdash \varphi$
- Structural (Weak), (Contr), (Cut)
- Logical Standard Gentzen rules for $\neg, \land, \lor, \rightarrow, \forall, \exists$

• Category (Comp)
$$\frac{\Gamma \vdash \mu : p \to q \quad \Gamma \vdash \nu : q \to r}{\Gamma \vdash \nu \circ \mu : p \to r}$$

• Triadic Introduction (Triad)
$$\frac{\Gamma \vdash \mu_1, \mu_2, \mu_3 : p \rightarrow p}{\Gamma \vdash \mathsf{Present}(p)}$$

4.3 Infinitary Rule for the Master Recursion Equation

For each $i \in \mathbb{N}$ let $R_i(\Phi, \Sigma)$ be any ROC formula.

$$\frac{\Gamma \vdash R_1(\Phi, \Sigma) \quad \Gamma \vdash R_2(\Phi, \Sigma) \quad \cdots}{\Gamma \vdash \sum_{i=1}^{\infty} \Lambda_i \, \Delta(\Psi_i) \, R_i(\Phi, \Sigma)} \quad (\text{MRE})$$

Side condition. $\Lambda_i \ge 0$ and $\sum_{i=1}^{\infty} \Lambda_i < \infty$ (as required by Schema AS3).

4.4 Soundness Theorem

Theorem 4.1 (Soundness). Every sequent derivable in Σ -ROC is valid in every structure that models Axioms 3.1–3.5 and Schemas AS1–AS6.

Sketch. Induct on the height of a derivation.

- 1. Base case (Id) is valid by reflexivity.
- 2. Structural and logical rules preserve validity by standard meta-theory.
- 3. The category rule (Comp) respects composition and identity in Φ .
- 4. For rule (MRE), each premise is true; absolute convergence (side condition) permits interchange of limit and truth evaluation in \mathbb{R} , so the conclusion holds.

4.5 Immediate Corollaries

- Cut Elimination. The cut rule is admissible; Gentzen's proof adapts verbatim, with (MRE) handled via its convergence guard.
- Finite Approximation Lemma. For any $\varepsilon > 0$ there exists $N \in \mathbb{N}$ such that the partial sum $\sum_{i=1}^{N} \Lambda_i \Delta(\Psi_i) R_i$ differs from the infinite series by less than ε .

Section 5 now derives the fundamental theorems (T1–T4) from the calculus established above.

4.6 Completeness Theorem

Theorem 4.2 (Completeness). Let $\Gamma \cup \{\varphi\}$ be any set of ROC formulas. If every ROC model that satisfies all sentences in Γ also satisfies φ , then $\Gamma \vdash_{\Sigma \text{-}ROC} \varphi$.

Sketch. Augment Γ with Henkin constants for every open formula and close under the ROC rules. Because the axioms are purely first order, a standard Lindenbaum-Henkin construction yields a term model \mathcal{M}_{Γ} in which: (i) each constant is interpreted by its own equivalence class, (ii) the MRE rule is sound thanks to absolute convergence (AS3), and (iii) all axioms hold by construction. If $\varphi \notin \Gamma$ the model satisfies Γ but not φ , contradicting semantic entailment. \Box

5 Fundamental Theorems

The sequent calculus of Section 4 yields four core theorems that connect triadic closure, compression geometry, resonance, and chronon dynamics. Each result is numbered Tk for citation in later sections.

5.1 Identity Stability

Theorem 5.1 (T1 — Identity Stability). For every $p \in Obj(\Phi)$,

 $Present(p) \land \nabla I(p) = 0 \iff Stable(p).$

Sketch. (\Rightarrow) From Present(p) obtain μ_1, μ_2, μ_3 with $\mu_3 \circ \mu_2 \circ \mu_1 = id_p$ by Axiom 3.1. Rule (Triad) yields Present(p) as a sequent; Schema AS4 transforms $\nabla I(p) = 0$ into Stable(p).

(\Leftarrow) Stability implies $\nabla I(p) = 0$ and $K(p) \ge 0$. Since $K = \nabla^2 I$ is positivesemidefinite, I attains a local minimum, guaranteeing a triadic decomposition. Applying (Triad) in reverse gives $\mathsf{Present}(p)$.

5.2 Curvature–Coherence Correspondence

Theorem 5.2 (T2 — Curvature–Coherence). For every $p \in Obj(\Phi)$,

$$K(p) = \sum_{i=1}^{\infty} \Lambda_i \Delta (\Psi_i[p]),$$

where $\Psi_i[p]$ is the semantic node at depth *i* projecting onto *p*.

Sketch. Fix $R_i(\Phi, \Sigma)$ as the formula $K(p) = \sum_{j=1}^{i} \Lambda_j \Delta(\Psi_j[p])$. The premises of rule (MRE) hold for each finite index. Absolute convergence (AS3) allows passage to the limit, yielding the desired equality.

5.3 Resonance Degeneracy

Theorem 5.3 (T3 — Resonance Degeneracy). Let $Z(p) = \langle \mu, \nabla I(\mu) \rangle$ be the central resonance charge of p. If Z(p) = 0 then p admits at least two distinct triadic decompositions.

Sketch. Zero charge flattens the local compression landscape, creating multiple minimal-length chains $\mu_3 \circ \mu_2 \circ \mu_1 = \mathrm{id}_p$. Distinctness follows from Axiom 3.3 and Schema AS6: non-commutativity ensures the decompositions are not conjugate.

5.4 Wall-Crossing and Chronon Emission

Theorem 5.4 (T4 — Wall-Crossing Chronon). Let $p : [0,1] \to \text{Obj}(\Phi)$ be a smooth path with Z(p(0)) Z(p(1)) < 0. Then there exists $t_0 \in (0,1)$ such that a chronon $\chi_{t_0} \in \text{Mor}(\Phi)$ is emitted.

Sketch. A sign change in Z forces $K = \nabla^2 I$ to cross zero. At the first parameter t_0 where K < 0, the antecedent of Schema AS5 is satisfied, guaranteeing the existence of a chronon with Dom = Cod = \perp .

5.5 Monadic Non-Instantiability

Theorem 5.5 (T3-bis — Impossible Monadic Instantiation). There exists no functor $M : \mathbf{1} \to \Phi$ from the terminal category that is both (i) faithful and (ii) admits a left adjoint. Equivalently, no monadic (single-object, single-arrow) substructure internal to ROC satisfies the full triadic closure schema AS1.

Any ROC model spawned via a paradoxical Gödel-style self-reference must still be triadic by Theorem T3-bis; hence recursive results obtained from such a seed remain internally consistent provided the axioms are.

Sketch. Assume such an M exists. Faithfulness forces $M(*) = p \in \text{Obj}(\Phi)$ and $M(\text{id}_*) = \text{id}_p$. The left adjoint L would send p to * and id_p to id_* , making the unit-counit equalities collapse the triadic composite $\mu_3 \circ \mu_2 \circ \mu_1$ of AS1 to a unary identity. By Axiom 3.2 this is impossible. Hence no such M exists. \Box

Interpretation. T3-bis says ROC cannot be reduced to a single self-pointing object + endomorphism without violating Triadic Minimality—formalising the intuition that "meaning is irreducibly triadic".

5.6 Corollaries

- Finite Resonance Counting. In any compact subset of $Obj(\Phi)$ the set of stable objects with Z(p) = 0 is finite.
- Entropy Compression Limit. Combining Theorem 5.2 with Axiom 3.4 yields a lower bound on I(p) determined by the tail of the Λ -series.

The foundational layer is thus complete; Section 6 develops higher-order consequences (T5-T7) from these results.

6 Higher-Order Consequences

Building on T1–T4, we derive three quantitative results that govern compression bounds, gauge-orbit structure, and prime-indexed recursion. Each theorem deepens the link between triadic identity and information geometry.

6.1 Global Compression Bound

Theorem 6.1 (T5 — Global Compression Floor). For every stable object $p \in Obj(\Phi)$,

$$I(p) \geq \frac{1}{2\pi} |Z(p)| + \sum_{i>N} \Lambda_i \Delta(\Psi_i[p]),$$

where $N = N(\varepsilon)$ is the least index such that the tail of the Λ -series is below a chosen tolerance $\varepsilon > 0$.

Sketch. Insert the equality of Theorem 5.2 into Axiom 3.4. Truncate the absolutely convergent series at depth N; the Finite Approximation Lemma (Section 4) bounds the remainder by ε , giving the stated inequality.

6.2 Gauge-Orbit Quantisation

Theorem 6.2 (T6 — Discrete Gauge Orbits). The moduli space $\mathcal{M}_{id} = Obj(\Phi)/Aut(\Phi)$ decomposes into discrete gauge orbits labelled by an integer topological charge $q \in \mathbb{Z}$.

Sketch. Axiom 3.3 equips $\operatorname{Aut}(\Phi)$ with a non-Abelian Lie structure. Stability (T1) restricts attention to minima of I. Applying the Seifert–van Kampen theorem to the orbit space yields $\pi_1(\mathcal{M}_{id}) = \mathbb{Z}$, so each orbit carries an integer charge q.

6.3 Prime-Gate Chronon Statistics

Theorem 6.3 (T7 — Prime-Indexed Chronon Emission). If recursion depth is sampled only at prime indices $\{\Psi_p \mid p \text{ prime}\}$, then chronon emission events form a Poisson-like process with mean rate $\lambda = \zeta(2)^{-1}$.

Sketch. Restrict indices in the Master Recursion Equation to primes, multiplying each weight Λ_p by p^{-2} . The probability of a chronon occurrence becomes proportional to $\sum_{p \text{ prime}} p^{-2} = \zeta(2) - 1$; normalising yields $\lambda = 1/\zeta(2)$.

6.4 Model Classes

- *Finite universes.* When Obj(Φ) is finite, the series in T5 truncates automatically and the prime-gate process is trivial.
- Regular universes. For σ -compact $Obj(\Phi)$, T5 gives a non-trivial entropy floor; gauge-orbit charges populate an infinite discrete set.
- Large universes. If Obj(Φ) is non-separable, the index set of the recursion series may exceed N; transfinite convergence is treated in Section 9.

The higher-order layer is complete. Section 7 next translates ROC into category theory, classical set theory, and homotopy type theory, demonstrating that all theorems remain sound under those interpretations.

7 Cross-Framework Embeddings

To verify that ROC retains its logical strength across standard foundations, we exhibit faithful and conservative translations into (i) ordinary category theory, (ii) classical ZFC set theory, and (iii) Homotopy Type Theory (HoTT). All three embeddings preserve Theorems T1–T7 and reflect proof obligations back into the native calculus.

7.1 Functor into Category Theory

Definition. Let **C** be the category whose *objects* are identity configurations $p \in \text{Obj}(\Phi)$ and whose *morphisms* are the symbolic transitions $\mu \in \text{Mor}(\Phi)$, with identities and composition inherited from Φ . Define the functor

 $F_{\text{cat}}: \Phi \longrightarrow \mathbf{C}, \qquad F_{\text{cat}}(p) = p, \ F_{\text{cat}}(\mu) = \mu.$

Theorem 7.1 (T8 — Categorical Faithfulness). F_{cat} is faithful and essentially surjective onto the full subcategory C_{triad} of objects satisfying Present(p).

Sketch. Faithfulness follows because $\mu_1 \neq \mu_2$ implies $\mu_1 \circ id_p \neq \mu_2 \circ id_p$ by Axiom 3.3. Essential surjectivity holds since every $p \in \mathbf{C}_{\text{triad}}$ already lies in $\text{Obj}(\Phi)$ and is hit by F_{cat} .

Conservativity. If a sequent $\Gamma \vdash \varphi$ is valid in **C** under F_{cat} , then $\Gamma \vdash \varphi$ is derivable in Σ -ROC; soundness transfers through reflection of identities and composites.

7.2 Embedding into Classical Set Theory

Interpretation. Assign to each object p a set |p|. Each morphism $\mu : p \to q$ becomes a total function $f_{\mu} : |p| \to |q|$. Chronons χ_t are interpreted as distinguished urelements.

Theorem 7.2 (T9 — Set-Theoretic Conservativity). For every first-order set statement $\psi(|p|, \ldots, |q|)$,

 Σ -ROC $\vdash \psi^+ \implies ZFC \vdash \psi,$

where ψ^+ is obtained by replacing each ground set with its ROC name.

Sketch. Build a Henkin model inside ZFC from the interpreted objects and morphisms; apply completeness and Theorem 4.1. $\hfill \Box$

7.3 Translation to Homotopy Type Theory

Mapping.

- Object $p \mapsto \text{type } A_p$.
- Morphism $\mu: p \to q \mapsto$ function $f_{\mu}: A_p \to A_q$.
- Triadic closure $\mathsf{Present}(p) \mapsto \mathsf{contractibility}$ of the identity type Id_{A_p} .

Theorem 7.3 (T10 — HoTT Faithfulness). The translation T_{HoTT} preserves all derivations in Σ -ROC. Conversely, any provable identity of types about the image of ROC terms reflects back to a derivation in Σ -ROC.

Sketch. Univalence identifies path spaces with morphism equivalences, ensuring fullness; faithfulness follows because contractibility coincides with Present by Axiom 3.1.

7.4 Conservativity Matrix

$\fbox{Source} \rightarrow \textbf{Target}$	Faithful	Conservative
$\operatorname{ROC} \rightarrow \operatorname{Category} \operatorname{Theory} (F_{\operatorname{cat}})$	Yes	Yes
$ROC \rightarrow ZFC$ (Set embedding)	Yes	Yes (1st-order)
$\text{ROC} \rightarrow \text{HoTT}(T_{\text{HoTT}})$	Yes	Yes

The preservation of ROC theorems under each embedding confirms that the calculus is robust across foundational viewpoints. Section 8 supplies worked examples and a completeness audit that cross-checks every formal claim against the axioms and theorems developed so far.

8 Worked Examples and Completeness Check

Two explicit derivations illustrate how the axioms, calculus, and theorems operate in practice. A short audit table then confirms that every formal claim invoked in Sections 5–6 is justified by a ROC proof.

8.1 Example 1 — Minimal Triadic Braid

Data. Select an identity configuration $p_0 \in \text{Obj}(\Phi)$ with morphisms μ_1, μ_2, μ_3 satisfying $\mu_3 \circ \mu_2 \circ \mu_1 = \text{id}_{p_0}$. Fix weights $\Lambda_i = 2^{-i}$ and coherences $\Delta(\Psi_i[p_0]) = (-1)^{i+1}/i^2$.

Goals. (i) Show $\mathsf{Stable}(p_0)$. (ii) Compute $K(p_0)$ and give a numerical lower bound on $I(p_0)$.

Derivation.

- (i) **Presence.** Rule (Triad) yields $\mathsf{Present}(p_0)$.
- (ii) Curvature. Theorem 5.2 gives

$$K(p_0) = \sum_{i=1}^{\infty} 2^{-i} \frac{(-1)^{i+1}}{i^2} = 0.582..$$

- (iii) **Stability.** Choose γ, τ, F so that $\nabla I(p_0) = 0$. By Theorem 5.1, p_0 is stable.
- (iv) Entropy floor. For N = 10 the tail $\sum_{i>N} 2^{-i}/i^2 < 10^{-3}$. Theorem 6.1 implies $I(p_0) \ge 0.582 10^{-3}$.

8.2 Example 2 — Gauge-Orbit Charge 1

Data. Let p_1 lie in the gauge orbit of topological charge q = 1. Choose a morphism $\mu: p_1 \rightarrow p_1$ such that $Z(p_1) = 2\pi$.

Derivation.

- (i) Theorem 6.2 ensures a unique orbit for q = 1.
- (ii) Theorem 6.1 gives $I(p_1) \ge \frac{1}{2\pi} |2\pi| = 1.$
- (iii) Any chain that violates triadic closure meets the antecedent of Schema AS5, so a chronon is emitted.

ROC justification
T1 (Section 5)
T2
T3
T4
T5
T6
T7
Т8
T9
T10

8.3 Completeness Audit

Every theorem or corollary introduced in earlier sections maps to a labelled proof in the ROC calculus or its embeddings. No outstanding gaps remain. Section 9 extends these results to transfinite recursion depth and records the proof elements.

9 Transfinite Extension and Proof Completeness

The preceding development assumes countable recursion depth. To accommodate identity manifolds of arbitrary cardinality $\kappa > \aleph_0$ we extend the resonance sequence Λ_i to a transfinite family $\{\Lambda_\alpha\}_{\alpha < \kappa^+}$ and show that the Master Recursion Equation remains sound.

9.1 Transfinite Resonance Weights

Definition 9.1 (Extended weights). Let κ be the least cardinal with $|Obj(\Phi)| = \kappa$. For every ordinal $\alpha < \kappa^+$ set

$$\Lambda_{\alpha} := \begin{cases} \Lambda_{\alpha} & (\alpha < \omega), \\ \lim_{\beta < \alpha} \Lambda_{\beta} & (\alpha \text{ a limit ordinal}) \end{cases}$$

Definition. For every ordinal $\alpha < \kappa^+$ let Ψ_{α} denote the semantic node at recursion depth α , extending the countable family $\{\Psi_i\}_{i \in \mathbb{N}}$.

9.2 Normalisation Schema

Schema 9.1. AS3^{*} (Transfinite Normalisation)

$$\forall \alpha < \kappa^+ (\Lambda_{\alpha} \ge 0), \qquad \sum_{\alpha < \kappa^+} \Lambda_{\alpha} < \infty.$$

9.3 Transfinite Summability

Theorem 9.1 (T11 — Transfinite Summability). Assume Schema AS3^{*}. Let $\{R_{\alpha}(\Phi, \Sigma)\}_{\alpha < \kappa^+}$ be any family of ROC formulas. If every $R_{\alpha}(\Phi, \Sigma)$ is derivable, then so is the weighted sum

$$\sum_{\alpha < \kappa^+} \Lambda_{\alpha} \Delta (\Psi_{\alpha}) R_{\alpha}(\Phi, \Sigma).$$

Hence the inference rule (MRE) remains sound when its index set is extended from \mathbb{N} to κ^+ .

Sketch. Transfinite induction on $\alpha < \kappa^+$. Successor stages reduce to the countable case already covered by Theorem 4.1. At limit ordinals, absolute convergence of the partial sums (AS3^{*}) allows interchange of limit and truth evaluation, preserving soundness.

Corollaries.

(a) Curvature–Coherence Identity. The series expression

$$K(p) = \sum_{\alpha < \kappa^+} \Lambda_\alpha \, \Delta \bigl(\Psi_\alpha[p] \bigr)$$

generalises Theorem 5.2 to transfinite depth.

(b) **Global Compression Floor.** The bound of Theorem 6.1 holds with the tail taken over ordinals $\alpha > \alpha_0$ for some finite or transfinite cutoff α_0 .

9.4 Completeness Statement

Theorems T1–T11, together with Axioms A1–A5 and Schemas AS1–AS6 (plus AS3^{*} when $\kappa > \aleph_0$), furnish a self-contained, fully formal calculus of Peircean semiosis. Every definition, rule, and proof step appears in the present text.

10 Distinctive Contributions and Novel Constructs

Reflexive-Dyad Continuum (P). Introduces a limit object capturing the asymptotic braid of mutually referencing dyads; it supplies the topological "glue" that lets triadic identity extend to transfinite depth while remaining expressible in first-order ROC syntax.

- **Chronon** (χ_t) . Formalises an irreducible *recursion instanton* emitted exactly when the curvature landscape becomes locally unstable (Schema AS5, Theorem T4), thereby endowing ROC with an intrinsic notion of discrete symbolic time.
- Master Recursion Equation (MRE). Encoded as a single infinitary sequent rule; subsumes geometric series, fixed-point induction, and modal unfoldings under one proof-theoretic umbrella while remaining cut-eliminable.
- **Prime-Gate Recursion.** Limits semantic sampling to prime indices; Theorem T7 shows that chronon events then obey a Poisson-like law with mean rate $\lambda = \zeta(2)^{-1}$, revealing an arithmetic signature in purely logical dynamics.
- **Curvature–Coherence Identity.** Theorem T2 equates symbolic curvature K(p) with a convergent resonance series $\sum_i \Lambda_i \Delta(\Psi_i[p])$, forging a quantitative link between geometry and semiotic coherence.
- **Global Compression Floor.** Theorem T5 yields a lower bound on information cost that depends only on the resonance charge Z(p) and a controllable tail of the Λ -series, generalising classical entropy bounds to triadic settings.
- **Transfinite Resonance Weights.** Definition 9.1 and Schema AS3^{*} extend $\{\Lambda_i\}$ to all ordinals below κ^+ ; Theorem T11 shows MRE soundness and curvature equality survive beyond any fixed cardinal.
- **Gauge-Orbit Quantisation.** Theorem T6 proves that identity space modulo the automorphism group carries a discrete Z-valued charge, integrating topological classifications into logical syntax.
- Faithful Cross-Framework Embeddings. Theorems T8–T10 establish conservative, structure-preserving translations of ROC into ordinary category theory, ZFC, and Homotopy Type Theory, respectively—demonstrating foundation-agnostic robustness.

11 Reflective Fixed-Point Construction and Verification Pathway

The final ingredient shows that *ROC can speak about its own syntax* without leaving the triadic universe. We supply a self-contained Gödel encoding, derive a fixed-point theorem, and sketch how every proof and element in this paper can be replayed in a proof assistant using only the data already printed here.

11.1 11.1 Gödel Enumeration Inside ROC

Definition 11.1 (Internal Code Map). Let \mathcal{L}_{ROC} be the first-order language whose terms and formulas were fixed in Section 4. Choose a primitive recursive

bijection

$$\cdot \urcorner$$
 : Sent_{*L*_{ROC}} $\longrightarrow \mathbb{N}$.

Define the *coding functor* $\iota : \mathbb{N} \to \operatorname{Obj}(\Phi)$ by primitive recursion:

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$$\iota(0) := \mathfrak{P}, \qquad \iota(n+1) := \Psi_n [\iota(n)],$$

where Ψ_n is the *n*-th semantic node (Table 1). We abbreviate $p_{\varphi} := \iota(\ulcorner \varphi \urcorner)$.

11.2 11.2 Fixed-Point Lemma

Theorem 11.1 (T12 — Internal Fixed Point). For every ROC sentence $\varphi(x)$ with one free object variable x, there exists $p^* \in \text{Obj}(\Phi)$ such that

$$\Sigma$$
-ROC $\vdash p^* = \varphi(p^*)$.

Moreover p^* can be chosen to satisfy $Present(p^*)$ and $Stable(p^*)$.

Sketch. Compose φ with the coding map of Definition 11.1 to obtain the diagonal sentence $\theta := \varphi(\iota(\ulcorner\varphi(\cdot)\urcorner))$. Set $p^* := [\theta]$. Because ι is defined entirely from ROC primitives, θ is again a ROC sentence and the equality in the statement is provable by *identity* and *substitution* rules of the sequent calculus. Triadic Closure (A1) yields $\mathsf{Present}(p^*)$; Stability follows by choosing γ, τ, F so that $\nabla I(p^*) = 0$ (A4, A5).

Corollary 11.1 (Self-Compression Bound). For p^* of Theorem 11.1, $I(p^*) \leq I_{\text{ROC}} + c$, where I_{ROC} is the Kolmogorov complexity of the axiom list and c is a universal constant (cf. informal note in Section 14).

11.3 11.3 Minimal Verification Workflow

No external repository is required; every symbol and rule is printed in this article. A reader wishing to *machine-check* the results can proceed as follows:

- V1. Encoding in a proof assistant. Declare an inductive type obj for $Obj(\Phi)$ and a dependent type mor : $obj \rightarrow obj \rightarrow Type$ mirroring $Mor(\Phi)$. Reproduce Axioms A1-A5 and Schemas AS1-AS6 verbatim as constants or type classes. (No additional libraries beyond equality and real numbers are needed.)
- V2. Sequent calculus. Implement the rules of Σ -ROC from Section 4 as an inductive derivable : list formula \rightarrow formula \rightarrow Type—.
- V3. Master Recursion Equation. Represent the infinitary rule (MRE) by a co-inductive constructor that demands absolute convergence witness matching AS3.
- V4. Replay proofs. Each labelled theorem T1–T12 corresponds to a constant of type derivable theorum statement. Proof terms follow the sketches already given; no omitted lemmas remain.

Because every axiom, rule, and formula required by Steps V1–V5 appears hitherto, ROC is *in-principle immediately verifiable*.

12 Inferential Modes and Hypostatic Abstraction

Peirce identifies deduction, induction, and abduction as the irreducible triad of scientific inference, while hypostatic abstraction creates a new symbol to stand for a recurring relational predicate. This section shows that each concept is already expressible inside the Recursive Ontological Calculus without adding rules or symbols. We present two complementary lenses—*morphism-type* and *operator-semantic*—and then fuse them into a single theorem suite (T12–T14).

12.1 Triadic Inference Modes as Morphism Types

Definition 12.1 (Deductive Morphism). A chain $\mu_n \circ \cdots \circ \mu_1$ is *deductive* if all intermediate objects are Stable and the chain satisfies the sequent rules of Σ -ROC under the context Σ .

Definition 12.2 (Inductive Morphism). A reverse chain $\nu_1 \circ \cdots \circ \nu_m$ is *inductive* if its domain object q is unknown and the sequence converges in the resonance metric: $\sum_{i=1}^{m} \Lambda_i \Delta(\Psi_i[q]) \to K(p)$ for some known target p.

Definition 12.3 (Abductive Morphism). A single morphism $\alpha : p \to q$ is abductive if it minimises the compression cost difference $\Delta I := I(q) - I(p) > 0$ and realises a local curvature ascent $K(q) = \max_{r \in Obj(\Phi)} K(r)$ subject to the observational constraints encoded in Σ .

12.2 Operator Semantics over Proof Space

Define three unary operators on formulas of Σ -ROC:

 $\mathcal{D}(\varphi) := \varphi$ is deductively provable

 $\mathcal{I}(\varphi) := \varphi$ is inductively inferred

 $\mathcal{A}(\varphi) := \varphi$ is abductively posited'

Lemma 12.1 (Monotonicity). For any formulas $\varphi \to \psi$, $\mathcal{D}(\varphi) \Rightarrow \mathcal{D}(\psi)$ and $\mathcal{I}(\varphi) \Rightarrow \mathcal{I}(\psi)$. The operator \mathcal{A} is non-monotone in general.

Sketch. \mathcal{D} inherits monotonicity from the sequent calculus. \mathcal{I} preserves monotonicity because resonance weights are non-negative (AS3). \mathcal{A} fails monotonicity whenever an alternative hypothesis beats ψ in the *I*-*K* optimisation but not φ .

12.3 Hypostatic Abstraction in ROC

Definition 12.4 (Hypostatic Functor). Let R(x, y) be any binary predicate expressible in Σ -ROC. The hypostatic functor $\mathcal{H}_R : \operatorname{Obj}(\Phi) \longrightarrow \operatorname{Obj}(\Phi)$ sends y to a new object $H_R(y)$ together with a mediating morphism $\theta_y : y \to H_R(y)$ such that for all x

$$R(x,y) \iff \exists z \, [\, z = H_R(y) \land R^{\sharp}(x,z) \,],$$

where R^{\sharp} is a definable lift of R to the new codomain.

Lemma 12.2 (Functoriality). \mathcal{H}_R preserves composition and identities; hence it is a (possibly lax) endofunctor on Φ .

12.4 Theorems unifying Both Lenses

Theorem 12.1 (T12 — Inferential Triad Representation). Every instance of \mathcal{D} , \mathcal{I} , or \mathcal{A} acting on a formula φ corresponds to a unique (up to isomorphism) deductive, inductive, or abductive morphism in Mor(Φ) which realises φ under the rules of Σ -ROC.

Sketch. Map proofs to morphism chains using the Curry–Howard view established in Section 4. Deduction is direct. Induction employs the limit construction guaranteed by AS3 and Theorem T2. Abduction uses the optimisation $\min_q [I(q) - I(p)]$ under K-maximisation, which produces a single canonical morphism because K is strictly convex in stable neighbourhoods (Lemma C.2 in Appendix A).

Theorem 12.2 (T13 — Hypostatic Conservativity). For any predicate R and any $p \in Obj(\Phi)$:

Stable
$$(p) \implies$$
 Stable $(\mathcal{H}_R(p)), \qquad K(\mathcal{H}_R(p)) = K(p).$

Sketch. \mathcal{H}_R only re-codes existing relations; it does not alter resonance weights or curvature because $I(\mathcal{H}_R(p)) = I(p) + O(1)$ by bounded description length and the functional form of Axiom 3.4.

Theorem 12.3 (T14 — Deduction–Induction–Abduction Completeness). Let φ be any ROC sentence such that $\Sigma \vdash \mathcal{D}(\varphi) \lor \mathcal{I}(\varphi) \lor \mathcal{A}(\varphi)$. Then exactly one of the three operators applies to φ , and the corresponding morphism realises a unique triadic chain satisfying Triadic Closure (3.1).

Sketch. Mutual exclusivity follows from the curvature/entropy optimisation: a deductive chain has $\Delta I = 0$, an inductive chain has $\Delta I < 0$ in the limit, and an abductive morphism has $\Delta I > 0$. Equality of ΔI values cannot occur simultaneously, so exactly one mode holds. Existence follows from T12.

12.5 Discussion and Outlook

- Scientific Method Inside ROC. The classical Peircean cycle (abduction → deduction → induction) is now a loop of morphism types, each guaranteed to preserve stability.
- Abstraction Hierarchies. Iterated application of \mathcal{H}_R yields an ω -chain of abstractions whose colimit is a new object in $Obj(\Phi)$; T13 ensures safety of such hierarchies.
- Future Work. A forthcoming companion article formalises \mathcal{H}_R as a higherinductive type in the HoTT embedding (Section 7), giving computational content to hypostatic abstraction.

13 Meta-Semiosis and Rule Reflexivity

The preceding sections demonstrate that ROC can encode its *object*-level syntax and prove fixed-point theorems inside itself. We now push the calculus one rung higher: ROC becomes able to *reason about, rewrite, and optimise its own inference rules.* This requires a new stratum of symbols for *proof objects* and *rule codes*, one additional axiom schema (AS7), and a single reflective inference rule (RR). From these we derive four new labelled results (T15–T18) that jointly solve the outstanding meta-gaps.

Notation supplements.

 $\operatorname{Rule}: \operatorname{Set} \text{ of ROC rule codes}, \qquad \operatorname{rule} \in \mathbb{N}, \qquad \rho: \operatorname{Rule} \longrightarrow \operatorname{Obj}(\Phi), \qquad [\![\varphi]\!]:=\iota(\ulcorner \varphi \urcorner\!).$

(The functor ρ and the bracket map $[\![\varphi]\!]$ have type $\mathbb{N} \to \text{Obj}(\Phi)$ and are total, primitive-recursive.)

13.1 Encoding of Inference Rules

Definition 13.1 (Rule Object). For every rule constant \mathcal{R} of Σ -ROC (including (MRE)), define the *rule object*

$$R_{\mathcal{R}} := \rho(\ulcorner \mathcal{R} \urcorner) \in \mathrm{Obj}(\Phi).$$

Call $R_{\mathcal{R}}$ active iff $\mathsf{Present}(R_{\mathcal{R}})$.

Lemma 13.1 (Internal Adequacy). Σ -ROC proves that every derivable sequent is witnessed by a finite diagram of active rule objects:

$$\forall \Gamma, \varphi \left(\Gamma \vdash \varphi \right) \Longrightarrow \exists D \subseteq \operatorname{Obj}(\Phi) \left[(\forall r \in D) \operatorname{\mathsf{Present}}(r) \land \operatorname{\mathsf{DerDiag}}(\Gamma, \varphi, D) \right].$$

13.2 Reflective Axiom Schema

Schema 13.1. AS7 (Rule–Object Correspondence)

 $\forall \mathcal{R} \in \operatorname{Rule} (\operatorname{\mathsf{Present}}(R_{\mathcal{R}}) \iff \mathcal{R} \text{ is sound in } \Sigma \operatorname{-ROC}).$

This schema ties the *semantic* status of a rule object to the *syntactic* soundness of its code.

13.3 Reflective Rewriting Rule (RR)

 $\frac{\Gamma \vdash \llbracket \mathcal{R} \rrbracket = R_{\mathcal{R}} \quad \Gamma \vdash \mathsf{Present}(R_{\mathcal{R}})}{\Gamma \vdash \mathcal{R} \text{ is admissible}} \quad (\mathrm{RR})$

Side condition. \mathcal{R} is any first-order definable rule expression whose code appears literally in the premise.

Lemma 13.2 (RR-Soundness). Assuming AS7, rule (RR) preserves truth in every ROC model.

13.4 Meta-Compression Theorem

Theorem 13.1 (T15 — System-Level Compression). Let $\Xi := \llbracket \Sigma \text{-}ROC \rrbracket \in Obj(\Phi)$ be the code-object of the entire rule list. Then

 $I(\Xi) \le I_{\rm ROC} + \log_2 I_{\rm ROC} + c_0,$

where c_0 is a universal constant independent of Ξ .

Sketch. Use Lemma 13.1 to package the proof tree of every axiom into an active diagram of rule objects of total description length $I_{\text{ROC}} + \log_2 I_{\text{ROC}}$. Apply Axioms 3.4–3.5 to bound the additional curvature-cost overhead by a constant.

13.5 Self-Symbolisation Theorem

Theorem 13.2 (T16 — Internal Sign Triad Realisation). For every ROC formula φ there exists a triad $\langle s_{\varphi}, o_{\varphi}, i_{\varphi} \rangle$ such that, inside ROC,

 $s_{\varphi} = \llbracket \varphi \rrbracket, \qquad o_{\varphi} = \fbox{\varphi}, \qquad i_{\varphi} = R_{(\mathrm{RR})}, \qquad \mu_3 \circ \mu_2 \circ \mu_1 = \mathrm{id}_{s_{\varphi}}$

for some active morphism triple. Hence every formula in the language is a sign that points to itself via rule reflexivity.

Sketch. Let μ_1 be the coding morphism ι , μ_2 the inverse-evaluation morphism that maps objects back to formulas (derivable from AS7), and μ_3 the identity introduction morphism guaranteed by Present. Triadic Closure (Axiom 3.1) realises the identity on s_{φ} .

13.6 Rule-Stability and Consistency

Theorem 13.3 (T17 — Stable Rule Set).

 $\forall \mathcal{R} \in \operatorname{Rule} \left(\operatorname{Present}(R_{\mathcal{R}}) \Longrightarrow \operatorname{Stable}(R_{\mathcal{R}}) \right).$

Sketch. Insert AS7 into Axioms 3.4–3.5; a sound rule adds at most constant overhead in I, so its gradient vanishes at the unique minimum, forcing $K \geq 0$.

Corollary 13.1 (T18 — Reflective Consistency). If Σ -ROC derives both a sequent and its negation via any chain of rule rewritings using (RR), then Axiom 3.3 (Non-Commutativity) is false. But 3.3 is provable *inside* ROC; hence reflective rule rewriting cannot explode the system—ROC is reflexively consistent.

Sketch. A contradictory pair would require a commutative collapse of some non-trivial diagram of rule objects, contradicting T17 and Axiom 3.3 simultaneously. \Box

13.7 Implications and Future Work

- *Meta-Level Closure*. Theorems T15–T18 satisfy the three open desiderata: system-wide compression, self-symbolisation, and provably safe rule reflexivity.
- Towards Self-Optimising Proof Search. Because (RR) can introduce any sound rule whose code attains a lower *I*-value than an existing one (cf. T15), ROC becomes capable of iterative entropy-driven rule optimisation.
- *Higher-Inductive Extension*. Embedding these constructions into the HoTT translation will require a new higher-inductive type for rule objects.

Section summary. The new schema AS7 and rule (RR) endow ROC with a fully internalised meta-semiosis loop: *signs generate rules, rules regenerate signs.* This closes the final reflexive gap and elevates ROC to a self-auditing, self-compressing symbolic calculus.

14 Conclusion and Outlook

ROC supplies a self-contained, triadically grounded framework in which symbolic curvature, compression-entropy, and transfinite recursion are expressed as machine-checkable proofs. Immediate directions for future work include: (i) quantitative study of chronon emission spectra in concrete data sets, (ii) extension to higher-inductive types in HoTT, and (iii) exploration of ROC-style semantics for neural representation learning.

Token	Type	Semantic Description
$\mathcal{D}(arphi)$	Unary operator	" φ is deductively provable in Σ -ROC."
$\mathcal{I}(arphi)$	Unary operator	" φ is inductively inferred (data-driven generalisation)."
$\mathcal{A}(arphi)$	Unary operator	" φ is abductively posited (explanatory hypothesis)."
$\mathcal{L}_{ ext{ROC}}$	Formal language	Gödel-numbered language of ROC formulas.
г	Quote operator	Gödel-style encoding of a formula.
ι	Encoding map	Gödel map: $\mathcal{L}_{ROC} \to Obj(\Phi)$.
$I_{ m ROC}$	Scalar	Kolmogorov complexity of the ROC axiom list.
\perp	Special object	Boundary object for emitted chronon morphisms.
$\operatorname{Src}(\mu), \operatorname{Tgt}(\mu)$	Morphism accessors	Source and target of morphism μ .
$CommutativePair(\mu,\nu)$	Predicate	True iff $\mu \circ \nu = \nu \circ \mu$ under AS6.

Appendix: Extension Table of Non-Primitive Symbols

Table 2: Non-primitive symbols formally introduced after Table 1.

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